Is Slow Slip in Subduction Zones for Real?

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Abstract

The Slow-Slip hypothesis is postulated on two observations– existence of tectonic tremors and their spatio-temporal correlation with anomalous slow reversals in horizontal geodetic measurements. The above observations have led geoscientists to believe that the down-dip portion of the plate interface is slowly shearing and releases energy gradually in the form of tremor. However, numerous observations and scientific findings are poorly explained by the Slow-Slip hypothesis. Here, we show that periodic seismic activity and geodetic changes, result from the episodic buckling of the overriding continental crust and its rapid collapse on the subducting oceanic slab. According to the Episodic Buckling and Collapse hypothesis, geodetic measurements, previously inferred as slow slip, are the surficial expressions of slowly-evolving buckling and rapid collapse of the over-riding plate, while tremor swarms result from the striking of the collapsing overriding plate on the subducting slab (as opposed to slipping or shearing).

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7	•	Numerous observations and scientific findings are poorly explained by the Slow-
8		Slip model of subduction zones
9	•	By employing all existing observations, we develop an Episodic Buckling and Col-
10		lapse model of the subduction zone, wherein the overriding continental crust buck-
11		les upwards and landwards because of compressive stress from the subducting slab,
12		and then collapses on the slab as fluid pressure in the LVZ is released
13	•	Geodetic measurements, previously inferred as slow slip, are the surficial expres-
14		sions of slowly-evolving buckling, while the relatively short-lived tremor results
15		from the striking of the rapidly collapsing overriding plate on the subducting slab
16	•	Proposed subduction zone model has major implications for forecasting of megath-
17		rust earthquakes and for magma transport from the mantle to the crust

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18 Abstract

The Slow-Slip hypothesis is postulated on two observations existence of tectonic tremors 19 and their spatio-temporal correlation with anomalous slow reversals in horizontal geode-20 tic measurements. The above observations have led geoscientists to believe that the down-21 dip portion of the plate interface is slowly shearing and releases energy gradually in the 22 form of tremor. However, numerous observations and scientific findings are poorly ex-23 plained by the Slow-Slip hypothesis. Here, we show that periodic seismic activity and 24 geodetic changes, result from the episodic buckling of the overriding continental crust 25 and its rapid collapse on the subducting oceanic slab. According to the Episodic Buck-26 ling and Collapse hypothesis, geodetic measurements, previously inferred as slow slip, 27 are the surficial expressions of slowly-evolving buckling and rapid collapse of the over-28 riding plate, while tremor swarms result from the striking of the collapsing overriding 20 plate on the subducting slab (as opposed to slipping or shearing). 30

³¹ Plain Language Summary

Nearly a couple of decades ago, geoscientists discovered interesting deep seismic events 32 in subduction zones (which they termed tectonic tremor) and found that these phenom-33 ena had a strong spatio-temporal correlation with surficial displacements. This remark-34 able spatio-temporal correlation led them to postulate the slow-slip hypothesis wherein 35 a part of the continental-oceanic interface shear slowly over a few days or weeks (as op-36 posed to conventional earthquakes that span a few seconds). However, numerous obser-37 vations and findings are poorly explained by the slow-slip hypothesis. We employ all ex-38 isting observations and research to develop the Episodic Buckling and Collapse model 39 of the subduction process. We show that tremor and surficial displacements, previously 40 associated with so-called "slow slip", in fact result from the episodic buckling of the over-41 riding continental crust and its rapid collapse on the subducting oceanic slab. 42

⁴³ 1 Tectonic Tremor, Slow Slip, and their Episodic Nature

Obara (2002) observed non-impulsive "noisy" records on Hi-net seismograms in south-44 west Japan. Such seismic records, commonly referred to as tectonic tremors (or non-volcanic 45 tremors), are different from impulsive earthquakes as well as volcanic tremors. Tectonic 46 tremors may last for hours, days, or weeks at a stretch (Wech et al., 2009; Beroza & Ide, 47 2011). More interestingly, tectonic tremors are episodic as first reported by Obara (2002) 48 - they repeat with near clock-like regularity. In the Nankai subduction zone, the peri-49 odic interval between two consecutive tremor episodes varies between 3 and 9 months 50 (Obara, 2011; Obara et al., 2011, 2012), while in Cascadia, the interval ranges between 51 12 and 15 months. Moreover, within each tremor episode, the tremor migrates up-dip 52 (Shelly et al., 2007; Wech et al., 2009; Ghosh et al., 2010; Obara et al., 2012) as well as 53 along-strike of the plate interface (Shelly et al., 2007; Wech et al., 2009; Obara et al., 2012). 54

During the same period, scientists also discovered reversals on horizontal GPS records 55 (Hirose et al., 1999; Dragert et al., 2001) lasting several days that they attributed to slow-56 slip along the interface between the overriding and subducting plates. Subsequently, Miller 57 et al. (2002) reported that these slow earthquakes in Cascadia occurred nearly period-58 ically every 14.5 months. In a major development, Rogers and Dragert (2003) discov-59 ered that the periodic slow earthquakes in Cascadia observed by Miller et al. (2002) co-60 incide with tectonic tremor, both temporally and spatially. They termed this phenomenon 61 Episodic Tremor and Slip (ETS). Thereafter, Obara et al. (2004) also observed the pres-62 ence of ETS in the Nankai subduction zone in Japan. However, instead of GPS, Obara 63 et al. (2004) employed tiltmeter records where they observed anomalies in surface tilt also coinciding temporally and spatially with tectonic tremor activity. Scientists also use 65 the term Slow-Slip instead of ETS to emphasize that tremor and slip are the same phe-66 nomenon. 67

Existing hypotheses on physical processes explaining slow-slip and tremors are well summarized by J. Gomberg (2010), Beroza and Ide (2011), and Vidale and Houston (2012). The mechanics of slow-slip, tremors and their periodic nature, however, is a topic of intense debate among seismologists and remains largely unresolved because of the lack of adequate explanation of multiple physical phenomena and scientific findings using the Slow-Slip hypothesis. We elucidate some of these discrepancies below.

Here, we present a model of the subduction process developed by utilizing all the 74 existing geodetic observations, imaging studies, geologic inferences, seismological anal-75 ysis, and previously unused geodetic data. According to this model, geodetic observa-76 tions previously interpreted as slow slip, are in fact a surface manifestation of the buck-77 ling of the overriding continental crust and its subsequent rapid collapse on top of the 78 subducting oceanic slab. The said collapse-related striking of the continental crust on 79 the subducting slab results in tremors and the collapse itself shows up as rapid rever-80 sals in the horizontal GPS component. The proposed subduction model has significant 81 and direct implication for forecasting of megathrust earthquakes and provides a 'breath-82 ing' mechanism for the upwelling and flow of magma from the mantle to the shallow crust. 83 A preliminary version of this model was initially proposed in Behura et al. (2018) and 84 has been modified here. 85

⁸⁶ 2 Published Observations and Findings

Table 1 summarizes various geodetic observations, seismological studies, imaging research, and geologic findings, all of which should provide constraints for any model of the subduction zone. In addition, Table 1 summarizes how these observations fit the two subduction zone models – the Slow-Slip and the Episodic Buckling and Collapse hypotheses. Thereafter, in section 3, we use these observations to develop the Episodic Buckling and Collapse model and explain how other scientific findings are reasonably explained by it.

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2.1 Geodetic Observations

In addition to the reversals in horizontal GPS recordings, similar and more prominent reversals are observed on the vertical GPS component (Douglas, 2005; Miyazaki et al., 2006; Heki & Kataoka, 2008; Behura et al., 2018). Magnitude of the vertical displacements cannot be satisfactorily explained by the Slow-Slip hypothesis as it assumes only relative sliding between the subducting slab and the overriding plate.

Tiltmeter recordings (Obara et al., 2004; Hirose & Obara, 2005, 2010) show significant bulging of the surface prior to slow slip and subsequent contraction coinciding with slow slip. Although temporal changes in tiltmeter recordings can be resonably explained by the Slow-Slip hypothesis, accounting for the spatial changes through slow slip is more challenging.

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2.2 Fluids and the Low-Velocity Zone

Numerous studies (Eberhart-Phillips et al., 2006; Matsubara et al., 2009; Audet
et al., 2009; Bell et al., 2010; Toya et al., 2017) clearly demonstrate the presence of fluids at the plate interface characterized by a seismic Low-Velocity Zone (LVZ). It is widely
believed that slab dehydration generates aqueous fluids which then travel upward because of buoyancy forces and accumulate at the plate interface and mantle wedge. Seismologists believe that these fluids lubricate the plate interface thereby aiding slow slip
and aseismic slip.

III In Cascadia, evidence of fluids come from the work of (Audet et al., 2009) who employ teleseismic data to show the presence of a zone with anomalously high Poisson's ra-

Observations	Slow-Slip	EBC	References
GPS Horizontal	 ✓ 	1	(Hirose et al., 1999; Dragert et al., 2001)
GPS Vertical	X	1	(Douglas, 2005; Miyazaki et al., 2006; Heki & Kataoka, 2008; Behura et al., 2018)
Tiltmeter recordings	✓(?)	1	(Obara et al., 2004; Hirose & Obara, 2005, 2010)
Presence of fluids in LVZ			(Eberhart-Phillips et al., 2006; Matsubara et al., 2009; Audet et al., 2009; Bell et al., 2010; Toya et al., 2017)
Large fluid pressure in LVZ	✓(?)	1	(Audet et al., 2009; Toya et al., 2017)
Low effective stress	×	1	(Rubinstein et al., 2007; Bell et al., 2010)
Episodic fluid drainage	×	1	(Nakajima & Uchida, 2018)
Thick LVZ	✓(?)	1	(Toya et al., 2017; Audet & Schaeffer, 2018)
LVZ Geometry and their up-dip & down-dip extents	✓(?)	1	(Hansen et al., 2012; Toya et al., 2017; Au- det & Schaeffer, 2018)
Occurrence of tremors	1	1	(Obara, 2002)
Tremor source mechanism	√ (?)	?	(Shelly et al., 2006; Wech & Creager, 2007; Ide et al., 2007; Bostock et al., 2012; K. Ohta et al., 2019)
Spatial extent of tremors	✓(?)	1	(Wech et al., 2009; Kao et al., 2009; Audet et al., 2010; Audet & Schaeffer, 2018)
Tremor migration patterns	×		(Shelly et al., 2007; Wech et al., 2009; Kao et al., 2009; Ghosh et al., 2010; Boyarko & Brudzinski, 2010; Obara et al., 2011, 2012)
Tremor migration reversals	×	1	(Houston et al., 2011; Obara et al., 2012)
Absence of tremors on old crusts	X	1	(Schwartz & Rokosky, 2007)
Variable tremor and slow slip periodicity	×	1	(Wallace & Beavan, 2010)
Tremors located down-dip of LVZ	X		(Peterson & Christensen, 2009; Audet & Schaeffer, 2018)
Crustal seismicity	×	1	(Nicholson et al., 2005; Shelly et al., 2006; Bostock et al., 2012)
Mantle helium correlation with tremor location	✓(?)	1	(Umeda et al., 2007; Sano et al., 2014)
Paleo-uplift and subsidence	X	1	(Dragert et al., 1994; Sherrod, 2001; Leonard et al., 2004; Shennan & Hamilton, 2006)

Table 1: List of observations and results used in constructing the Episodic Buckling and Collapse model of subduction zones. Symbols \checkmark correspond to an adequate explanation of the observation provided by a theory, while \checkmark represents the lack of a reasonable explanation. Hypothesis that have been proposed to explain observations but have significant drawbacks are denoted by the symbol \checkmark (?), while ? is used when no study exists.

tio extending from the margin all the way to the corner of the mantle wedge. Presence
of fluids in the tremor region in Shikoku is evident from the tomographically-derived low
velocities by (Shelly et al., 2006) and (Matsubara et al., 2009).

Other studies show that the plate interface is overpressured (Audet et al., 2009; Toya et al., 2017). (Rubinstein et al., 2007; Bell et al., 2010) find extremely low effective normal stresses in subduction zones. Excepting buoyancy recharging the plate boundary with hydrous magmatic fluids, the Slow-Slip model provides little explanation of the cause of overpressure and their periodic nature.

Recent findings by Nakajima and Uchida (2018) shed new light on the movement 123 of fluids at the plate boundary. They analyze seismic data spanning more than a decade 124 over Japan and demonstrate that "seismicity rates and seismic attenuation above the 125 megathrust of the Philippine Sea slab change cyclically in response to accelerated slow 126 slip." They interpret these findings to represent "intensive drainage during slow slip events 127 that repeat at intervals of approximately one year and subsequent migration of fluids into 128 the permeable overlying plate." Although Nakajima and Uchida (2018) provide an ex-129 planation of these observation in the context of the Slow-Slip hypothesis, it is unclear 130 what forces drive the fluids in and out of the plate boundary. 131

The spatial extent and geometry of the LVZ are clear from the work of Hansen et 132 al. (2012); Toya et al. (2017); Audet and Schaeffer (2018). Toya et al. (2017); Audet and 133 Schaeffer (2018) report a thick LVZ with thicknesses averaging a few kilometers in the 134 Cascadia Subduction Zone. All of them also report the thickening of the LVZ with in-135 creasing depth. It is unclear how such a thick ductile zone could be generating tremor. 136 Audet and Schaeffer (2018) also note that the LVZ does not extend into the locked zone; 137 and on the down-dip side, it truncates at the mantle wedge. They conclude that the na-138 ture of the LVZ remains ambiguous and provide a couple of hypothesis explaining the 139 increasing thickness of the LVZ with depth. These hypothesis, however, do not provide 140 a definitive explanation of the periodic nature of slow slip. 141

2.3 Tremor

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Since the first reporting by Obara (2002), tremor in subduction zones has been widely
observed all over the world. Several researchers have reported that tremor has a dominant thrust-type focal mechanism (Shelly et al., 2006; Wech & Creager, 2007; Ide et al.,
2007; Bostock et al., 2012), thereby providing a significant boost to the proponents of
the Slow-Slip hypothesis. As the subducting slab slides underneath the continental crust
during slow slip, it generates tremor with predominant thrust-type focal mechanism.

Tectonic tremors are usually located in a narrow spatial interval oriented in a strikeparallel direction (Wech et al., 2009; Kao et al., 2009; Obara et al., 2010; Audet et al., 2010; Audet & Schaeffer, 2018). The down-dip boundary is close to the mantle wedge, while the up-dip boundary extends a few kilometers from the mantle wedge. In the light of the Slow-Slip model, multiple explanations of their depth extent have been proposed, all of them revolving around variations in slip properties of the plate boundary due to temperature and pressure changes.

Multiple studies (Peterson & Christensen, 2009; Audet & Schaeffer, 2018) image the tremor swath to the down-dip side of the LVZ. Audet and Schaeffer (2018) interpret these observations as reflective of transitions in plate coupling and slip modes along the dip. If such transitions are indeed present, the processes that result in such changes along the plate boundary are open to question.

Tremors exhibit peculiar migration characteristics. Wech et al. (2009); Obara et al. (2011) observe up-dip and radial tremor migration. Obara et al. (2010, 2012) show a bimodal distribution of tremors in the Nankai subduction zone, with tremors from the along-strike migration concentrated on the up-dip side, while tremors from up-dip migration distributed over the entire tremor zone. Other studies (Houston et al., 2011; Obara
et al., 2012) report rapid reverse tremor migration where tremors migrate in the opposite direction of along-strike migration at much faster speeds. It is unclear from the SlowSlip hypothesis as to what physical phenomena might result in such migration patterns.

Schwartz and Rokosky (2007) find no evidence of slow slip and tremors in north-169 east Japan which has a thick old crust, while younger and thinner crusts in the Nankai 170 subduction zone exhibit an array of slow slip events with varying periodicity. Wallace 171 and Beavan (2010) report an interesting correlation between temporal characteristics of 172 slow slip events and their depth of occurrence in the Hikurangi subduction margin of New 173 Zealand. They note that the longest duration, and largest slow slip events occur at large 174 depths, while the shortest duration, smallest, and most frequent slow slip events are usu-175 ally shallow. Although the degree of plate coupling (Wallace & Beavan, 2010) can ex-176 plain some of these observations, it is unclear how plate coupling can explain the vari-177 able periodicity and duration of the slow slip events. 178

179 2.4 Crustal Seismicity

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Significant crustal seismicity is observed in Cascadia (Nicholson et al., 2005; Kao
et al., 2005; Bostock et al., 2012) and Nankai (Shelly et al., 2006) subduction zones. A
majority of the reported crustal seismicity is located at shallow depths and a few kilometer above the tremor zone and further landward. The Slow-Slip hypothesis does not
provide a satisfactory explanation either of the origin of such seismicity or for the spatial correspondence between shallow crustal seismicity and deep tremor.

2.5 Mantle Helium

Sano et al. (2014) report interesting findings and suggest the existence of fluid path-187 ways from the mantle to the trench in the Nankai subduction zone. They note, "a sharp 188 increase in mantle-derived helium in bottom seawater near the rupture zone 1 month af-189 ter the earthquake. The timing and location indicate that fluids were released from the 190 mantle on the seafloor along the plate interface. The movement of the fluids was rapid, 191 with a velocity of \approx 4km per day and an uncertainty factor of four. This rate is much 192 faster than what would be expected from pressure-gradient propagation, suggesting that 193 over-pressurized fluid is discharged along the plate interface." It is debatable as to what 194 forces mantle fluids to squirt out in the vicinity of the rupture zone during megathrust 195 earthquakes. 196

Furthermore, Umeda et al. (2007) observe a close spatial correspondence between mantle helium and tremors. They report a high flux of mantle helium over regions experiencing tremors and a low flux in areas adjacent to those lacking tremors. Reconciling these observations with slow slip had proved to be challenging.

201 **2.6 Paleo-Uplift and Subsidence**

Evidence of large-scale and periodic continental deformation can be found in ge-202 ologic records. Sherrod (2001) find evidence of abrupt sea level changes and rapid sub-203 mergence in Puget Sound, Washington State. They estimate a maximum subsidence of 204 approximately 3 m. Leonard et al. (2004) report a maximum subsidence of 2 m during 205 the 1700 great Cascadia earthquake. In Alaska, Hamilton and Shennan (2005); Hamil-206 ton et al. (2005); Shennan and Hamilton (2006) report rapid subsidence measuring 2 m. 207 It is unclear from the Slow-Slip model as to how the crust can experience an uplift in 208 excess of 2 m over a period of 500 years. 209

²¹⁰ **3** Episodic Buckling and Collapse

The Slow-Slip hypothesis depicts a plate interface that is frictionally locked at shallow depths and transitions into a slow-slip zone down-dip. Below this transition zone, geoscientists believe that the subducting slab slides continuously at a steady rate consistent with plate motion. The key assumption in these models is that the overriding continental plate is in physical contact with the subducting oceanic slab all along the plate interface.

The Episodic Buckling and Collapse model, on the other hand, is based on the hy-217 pothesis of a buckling overriding plate that detaches itself down-dip from the subduct-218 ing slab, while being in contact in the locked seismogenic zone. According to this model, 219 the observed low-velocity zone (LVZ) is neither a part of the continental crust nor the 220 subducting slab. Instead, it is a fluid-filled cavity created between the two plates because 221 of the buckling of the overriding continental plate. An interplay of plate deformation, 222 pressure differentials, and pressure release control the fluid flow in and out of this cav-223 ity and also generate seismicity in the form of tectonic tremor, low-frequency and very-224 low-frequency energy releases. 225

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3.1 Euler Buckling

Under compressive stresses slender beams spontaneously bend to form curved shapes 227 (Timoshenko & Gere, 1961). In subduction zones, the overriding continental crust acts 228 as a collection of parallel slender beams (because of the plane stress imposed by the sub-229 ducting slab) and buckles under the immense compressive stress applied by the subduct-230 231 ing slab. A schematic scenario of buckling experienced by the continental crust is shown in Figure 1. The seaward locked zone and the landward thick continental crust result 232 in an Euler buckling mode where both ends are fixed (Timoshenko & Gere, 1961). The 233 seaward end, however, can slide because of the landward movement of the oceanic crust 234 (Figure 1). Such a buckling mode results in not only horizontal displacements but also 235 significant vertical strain in the continental crust. 236

Note that the short-term buckling and collapse cycles described below are sequences 237 that make up each long-term megathrust cycle. Therefore, each megathrust cycle can be 238 considered to be one centuries-long buckling and collapse cycle which in turn is made up 239 of numerous short-term cycles. Dragert et al. (1994); Sherrod (2001); Leonard et al. (2004); 240 Hamilton and Shennan (2005); Hamilton et al. (2005); Shennan and Hamilton (2006) 241 present evidence of such long-term buckling and collapse cycles. At the start of the megath-242 rust buckling cycle, the continential crust is in direct contact with the subducting slab at 243 all depths. However, with each short-term buckling cycle, there is a net positive accumu-244 lation of strain within the continental crust, and progressive vertical detachment of the 245 crust and slab as depicted below. 246

Below, we describe the various temporal phases of the short-term buckling process within each cycle and the multiple physical phenomena occurring within each of the phases.

249 **3.2** Phase T_0

Because only the seaward edge of the plate interface (accretionary wedge and seis-250 mogenic zone) is 'locked' while the rest of the interface can slide, the overriding plate 251 will buckle under the forces of the subduction process. Given the slowly developing sub-252 duction processes, the system will exhibit Euler's fundamental model of buckling – with 253 the locked portion of the continental plate acting as one fixed end and the thick conti-254 nental crust further inland serving as the other fixed end of the buckling system. Fig-255 ure 2 shows a schematic of the buckling and collapse process occurring in subduction zones. 256 Phase T_0 corresponds to a state within the buckling cycle where the tectonic stresses 257



Figure 1: Schematic of Euler buckling mode with both ends fixed. Stress P is applied by the subducting slab at the locked zone (seaward fixed end). The landward fixed end results from the immoveable backarc continental crust. Locations A, B, C, and D, correspond to the positions on the continental crust shown in Figure 2 with their net displacement analyzed in Figure A1.

on the overriding continental plate are minimal (phase T_0 , Figure 2). A magmatic-fluidfilled cavity exists between the overriding plate and the subducting slab.

$_{260}$ 3.3 Phase T_1

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As the oceanic slab subducts, compressive stresses build up within the overriding plate, thereby pushing it upward and landward (phase T_1 , Figure 2). The overriding plate starts buckling further to accommodate the additional strain, wherein the deep continental crust overlying the transition zone and the mantle wedge buckles away from the subducting slab and possibly the mantle.

3.3.1 Fluid Flow

The above deformation enlarges the size of the fluid-filled cavity and drives down the pore-pressure inside it, which in turn results in upwelling of magmatic fluids from the wedge region towards the cavity (Figure 3a). This process is slow and occurs for majority of the cycle. For example, in Cascadia, phase T_1 continues for majority of the 14 months. Because this phase evolves slowly, pressure equilibrium is maintained throughout the phase as progressive buckling is accompanied by steady fluid upwelling.

273 3.3.2 Low Effective Stress

We expect the effective stress of the system to be close to zero and any small stress perturbations may lead to escape of fluids through faults, fractures, fissures (and potentially magma vents), and also result in minor collapse of the overriding plate thereby generating tremor. Evidence of low effective normal stress comes from observations that tremors may not only be triggered by earthquakes (Brodsky & Mori, 2007; Miyazawa et al., 2008; Rubinstein et al., 2007; Peng & Chao, 2008) but also, more interestingly, by tides (Shelly et al., 2007; Rubinstein et al., 2008; Hawthorne & Rubin, 2010).

Surface bulging due to buckling is consistent with the tiltmeter measurements (phases $T_2, T_3, and T_4$, Figure 2) as reported by Hirose and Obara (2005); Obara et al. (2004) who observe that the surface is dome shaped during tremor episodes. It would be interesting to study and quantify the temporal evolution in spatial patterns of tiltmeter measurements.

²⁸⁶ 3.4 Phase *T*₂

Progressive buckling will result in continual opening of faults and fractures, with the openings starting at shallow depths and progressing downwards. At a certain critical state, right before the fracture and fault openings reach the fluid-filled cavity, buckling exhibits the maximal horizontal and vertical displacements of the overriding plate (phase T_2 , Figure 2) within each cycle.

Phase T_2 also corresponds to the maximal extensional stress on the top of the over-292 riding plate and the maximal volume of the fluid cavity within each cycle. The struc-293 ture of the fluid cavity would be similar to what has been observed by Hansen et al. (2012); 294 Toya et al. (2017); Audet and Schaeffer (2018) – thickening of the LVZ with increasing 295 depth. Our model suggests that the LVZ extends into the continental Moho and trun-296 cates to the landward-side of the mantle wedge. The weak continental Moho reflectiv-297 ity observed in the Cascadia subduction zone by Haney et al. (2016) is evidence of the 298 LVZ extending landward into the continental Moho. Detailed imaging studies are needed 299 to establish the precise landward-extent of this fluid cavity. 300

The time between Phases T_0 and T_2 corresponds to gradual buckling and slow upwelling of fluids. Such gradual deformations and steady fluid flow do not emanate any seismic energy in the vicinity of the plate boundary. However, the continual buckling and bulging of the overriding continental plate result in opening of strike-parallel and transverse faults resulting in significant crustal seismicity as observed by Nicholson et al. (2005); Shelly et al. (2006); Bostock et al. (2012). The shallow crust is expected to house a majority of this seismicity because it experiences the maximum strain.

3.5 Phase T_3

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3.5.1 Fluid Cavity Collapse

As soon as the fault and fracture openings reach the fluid-filled cavity, the magmatic fluid escapes into the overriding plate (most likely accompanied by phase change from liquid to gaseous) and consequently drops the pressure inside the cavity dramatically (Figure 3b).

As a result, the cavity starts collapsing as illustrated in phase T_3 of Figure 2. The rapid reversal observed in horizontal GPS measurements is a result of the collapse-related seaward horizontal displacement and not from so-called slow slip. As shown below and as expected, changes in vertical displacement are even more substantial.

Wells et al. (2017) demonstrate substantial evidence of regional faults extending to the plate interface. The distribution of mantle helium in eastern Kyushu by Umeda et al. (2007) is consistent with the above picture. Umeda et al. (2007) observe a close correspondence of mantle helium (in hot springs) with the occurrence of tremor – the flux of mantle helium is low in areas lacking tremors, while it is high above regions experiencing tremors.

324 3.5.2 Fluid Flow

The rapid collapse of the continental plate will dramatically increase the fluid pressure inside the cavity, which in turn will push the fluid up-dip, down-dip, and along-strike (phases T_3 , and T_4 , Figures 2 and 3).

Also, there is a distinct possibility that the high fluid pressure fluids breaks flow barriers within conduits and asperities housed in the locked zone and the accretionary prism, leading to the up-dip escape of some magmatic fluids along the locked zone through the accretionary prism (Figure 3b). The collapsing continental plate will also push fluids along-strike at the plate boundary as shown below in phase T_4 .

333 3.5.3 VLFEs

We hypothesize that the so-called shallow very-low-frequency earthquakes (VLFEs) observed in accretionary prisms result from the rapid flow of magmatic-fluid brought about by the collapsing continental crust.

Multiple researchers have reported the close spatial and temporal correspondence 337 of shallow very-low-frequency earthquakes (VLFEs) in the accretionary prism with deep 338 tremor and short-term slow slip events. Obara and Ito (2005) report shallow VLFEs on 339 the up-dip side of the locked zone in the Nankai trough. Because the accretionary prism 340 contains out-of-sequence thrusts and fault splays, Obara and Ito (2005) speculate that these fault planes might provide pathways for fluid flow from the subducting slab. More 342 recently, the work of Liu et al. (2015); Nakano et al. (2018) shows the close temporal as-343 sociation between shallow VLFEs in the accretionary prism with deep short-term slow 344 slip events. Liu et al. (2015) provide clear evidence of the occurrence of VLFEs predom-345 inantly at the onset of short-term slow slip. They also show that these VLFEs have thrust-346 type focal mechanism. 347

We do not expect any seismicity at the plate boundary (due to plate motion or fluid flow) during the buckling phase (phases T_0 , and T_1 , Figure 2) but expect different forms of energy release (at multiple locations on the plate boundary) during the collapse phases (phases T_3 , and T_4 , Figure 2) arising from plate striking as well as fluid flow.

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3.5.4 Other Explanations for Cavity Collapse

The locked zone experiences substantial stress because of the buckling continental plate. Another possible scenario for the overriding plate collapse could be the minor and temporary decoupling of the locked zone when frictional forces in the locked zone are exceeded. Focal mechanisms of such seismic activity should be close to thrust-type. However, the lack of significant conventional seismicity (high frequency) in the locked zone prior to tremors is a strike against this possibility. Any future discovery of lockedzone conventional seismicity immediately preceding tremor activity will add substantial credibility to this potential scenario.

It is also possible that a combination of the above two processes – fluid flow and locked-zone decoupling, might be occurring. Future research efforts on understanding the dynamic processes at locked zone and the accretionary prism will shed more light on the dominant mechanism.

3.6 Phase T_4

3.6.1 Tectonic Tremor Origin

The rapidly collapsing overriding plate strikes the subducting oceanic slab, thereby generating tectonic tremor (phase T_4 of Figure 2). Tremor source mechanisms at sub-

duction zones should therefore be dominantly of the Compensated Linear Vector Dipole 369 (CLVD) type, with a possible minor thrusting component arising from the relative plate 370 motion. Researchers have, however, observed a dominant thrust-type focal mechanism 371 for tremor (Shelly et al., 2006; Wech & Creager, 2007; Ide et al., 2007; Bostock et al., 372 2012). That said, there is considerable similarity between the focal mechanisms of thrusting-373 type and of CLVD-type. In the absence of full-azimuth and wide-angle sampling of a fo-374 cal sphere, one might mistake a CLVD mechanism as a thrust-type mechanism (espe-375 cially if one is looking for it). 376

377 The atypical lower-boundary geometry of the buckled continental plate explains why tremors truncate at the continental Moho (phase T_4 , Figure 2) and are observed 378 lying within a narrow band up-dip along the plate interface (phase T_4 , Figure 2, Wech 379 et al., 2009; Peterson & Christensen, 2009; Audet & Schaeffer, 2018). Audet et al. (2010) 380 note that "the peak occurrence of tremors roughly coincides with the intersection of the 381 plate interface with the overlying continental crust-mantle boundary". In addition, as 382 supporting frictional forces are overcome, the lower portion of the continental crust wedge 383 strikes the subducting slab first (phase T_4 , Figure 2), followed by a progressive collapse of the continental crust along the up-dip (and radial) direction (phase T_4 , Figure 3c) -385 interpreted as up-dip and radial tremor migration in several studies (Wech et al., 2009; 386 Obara et al., 2011). 387

3.6.2 Fluid Flow

388

As the overriding continental crust collapses with the lower edge hitting the sub-389 ducting slab first, some of the fluids are pushed landward along the continental Moho, 390 while most of the fluids are pushed up-dip and along-strike (Figure 3c). It is likely that 391 as the lower edge hits the subducting slab, it cuts off hydraulic communication between 392 the up-dip fluid cavity and the down-dip mantle wedge, thereby trapping fluid in the cav-303 ity. As described above, the collapse also increases the pore-pressure in the cavity, with-394 out which the up-dip rate of collapse (parameter that controls tremor migration rate) 395 would be larger than the ones observed by Wech et al. (2009) and Obara et al. (2011) 396 in Cascadia and Japan, respectively. In the latter part of phase T_4 , the lagging end of 397 the high-pressure fluid pocket collapses (creating tremors), thereby pushing the fluid pocket 398 up-dip and parallel to the strike along the plate boundary. 399

Similar to shallow VLFEs, we hypothesize that deep low-frequency earthquakes (LFEs and VLFEs), observed by many researchers (e.g. Ito et al., 2007, 2009; Matsuzawa et al., 2009; Obara, 2011), correspond to the rapid sloshing of magmatic fluids brought about by the hastened collapse of the overriding plate. The up-dip location of deep VLFEs with respect to that of tremor indicates that most of the magmatic fluid is pushed up-dip in phases 3 and 4 (Figure 3b and 3c).

The periodic changes in seismicity rates and attenuation and their correspondence 406 with accelerated slow slip, as reported by Nakajima and Uchida (2018), corroborates the 407 above model of fluid flow in and out of the fluid cavity. The 'breathing' mechanism of 408 magmatic fluid flow driven by periodic plate deformation in subduction zones might be 409 the dominant mechanism (and not buoyancy) of magma transport from the upper man-410 411 the to the crust and might even be responsible for the creation of the Aleutian Volcanic Arc in Alaska and its volcanism as evident from the focusing of partial melt under the 412 arc. 413

414 3.6.3 Tremor Migration

The locked zone prevents the fluid pocket from moving further up-dip and therefore the fluid pocket migrates parallel to the margin and down-dip of the locked zone as depicted in Figure 3c. In the latter part of phase T_4 , we believe that the trapped fluids

move predominantly along-strike until fluids are lost to the overlying permeable crust. 418 For the fluids to migrate along-strike, the plates need to detach from each other. We posit 419 that the detachment process results in the observed along-strike tremor migration (Wech 420 et al., 2009; Obara et al., 2011, 2012). Because of the low fluid-pressure in the latter stages, 421 the rate of detachment is expected to be lower than the initial up-dip collapse rate – which 422 explains the slower along-strike tremor migration with respect to up-dip migration (Wech 423 et al., 2009; Houston et al., 2011; Obara et al., 2011, 2012). This model also explains the bimodal distribution of tremors in the Nankai subduction zone (Obara et al., 2012) with 425 tremors from the along-strike migration concentrated on the up-dip side while tremors 426 from up-dip migration are distributed over the entire tremor zone. 427

Some studies (Houston et al., 2011; Obara et al., 2012) also report rapid reverse 428 tremor migration where tremors migrate in the opposite direction of along-strike migra-429 tion at much faster speeds. We postulate that rapid tremor reversal happens when a mi-430 grating high-pressure fluid pocket encounters a permeable zone such as a fault or frac-431 ture zone, or a magma vent or dike (Figure 3c). As fluid escapes through these fissures, 432 the leading edge of the fluid pocket collapses rapidly. This collapse is in the direction 433 opposite to the migrating fluid front and occurs at a much faster rate given the loss of 434 pore pressure in the fluid pocket. 435

Note that the fluid cavity does not fully collapse within each cycle, instead there is
a partial collapse. However, with each passing cycle we expect the size of the fluid cavity to increase. Only when the frictional forces in the locked zone are overcome during
the megathrust earthquake, does the fluid cavity completely collapse.

Note that tremors and so-called slow slip events display a wide range of periodic-440 ity in the Nankai and Hikurangi subduction zones (Schwartz & Rokosky, 2007; Wallace 441 & Beavan, 2010; Obara, 2011) – with the seismicity characteristics clearly correlated to 442 the depth of the seismicity (Wallace & Beavan, 2010). The Episodic Buckling and Col-443 lapse model provides a reasonable explanation for these observations. A thinner crust 444 is more easily buckled than a thicker one; at the same time, the thinner crust can ac-445 commodate a lesser degree of strain energy than a thicker one. Hence, a thinner crust 446 will undergo more cycles of episodic buckling and collapse than a thicker one within the 447 same time period, all the while releasing lesser seismic energy in each cycle. 448

449 4 Discussion

Although majority of the strain in the overriding plate is released when it collapses, 450 a small portion of the strain is retained in every cycle. Over hundreds of buckling and 451 collapse cycles, the small retained strains add up and this strain energy is stored in the 452 overriding continental plate. A critical state is attained where the forces exerted by the 453 stored elastic energy (due to compression) and gravitational potential energy (stored in 454 the uplifted continental crust) equates the frictional forces in the seismogenic zone. This 455 state of deformation exhibits the maximal horizontal and vertical displacements of the 456 overriding plate. When the frictional forces are exceeded, the stored energy is released 457 in the form of a megathrust earthquake. Evidence of these inter-seismic crustal defor-458 mations corresponding to megathrust earthquakes is found in long-term geologic records 459 (Dragert et al., 1994; Sherrod, 2001; Leonard et al., 2004; Hamilton & Shennan, 2005; 460 Hamilton et al., 2005; Shennan & Hamilton, 2006) and may be interpreted as large time-461 scale versions of the buckling process that take centuries to develop. The rapid subsi-462 dence, observed by Sherrod (2001); Leonard et al. (2004); Hamilton and Shennan (2005); 463 Hamilton et al. (2005); Shennan and Hamilton (2006) on geologic records, occurs dur-464 ing the express subsidence of the overriding continental plate. 465

As the continental plate completely collapses after a megathrust earthquake, the horizontal component of the GPS shows large seaward displacements and the vertical manuscript submitted to Geophysical Research Letters



Figure 2: Schematic illustration of the different phases of the Episodic Buckling and Collapse model of the subduction process and the structural changes therein. The subducting oceanic crust is outlined by black lines and the black arrows represent the direction and magnitude of the slab velocity. The overriding continental crust is represented by the solid brown lines. Red and blue arrows represent the magnitudes of the instantaneous horizontal and vertical velocities, respectively, of a point in the continental crust wedge. Dots in Phases T_0 , T_2 , and T_4 represent vectors of magnitude zero. The tilt magnitude and direction are denoted by the arrows in cyan. The side-view of CLVD focal spheres are shown along the plate interface. Temporal motion of locations A through D on the continental crust surface are analyzed in Figure A1 below.



Figure 3: Schematic illustration of magmatic fluid flow during each Episodic Buckling and Collapse cycle. a,b, Two-dimensional crosssections for phases T_1 and T_3 are shown while c, phase T_4 is shown in three-dimensions. In c, only the basal surface of the continental crust is shown for clarity. The subducting oceanic crust is outlined by black lines and the black arrows represent the direction and magnitude of the slab velocity. The overriding continental crust is represented by the solid brown lines and the mantle is demarcated by the thick red line. Green arrows represent the flow direction of magmatic fluids. Boundary of the original cavity generated during buckling is marked by the dashed blue line.

component shows significant subsidence. The large "aseismic afterslip", following megath-468 rust earthquakes and observed in multiple studies (J. S. Gomberg et al., 2012; Rolan-469 done et al., 2018), is simply the horizontal projection of the seaward surface displace-470 ment of the overriding continental plate. Also, because the overriding plate gradually 471 collapses while pushing fluids out (instead of sliding on the oceanic slab), there is no seis-472 mic energy released – it is assisting. The magmatic fluids are most likely pushed out along-473 strike and to the trench along the ruptured plate boundary as evidenced by the signif-474 icant increase in mantle helium in the seawater and reported by Sano et al. (2014). 475

As suspected by several geoscientists, the periodic release of stored energy in subduction zones in the form of fluid flow and seismic events, during each Episodic Buckling and Collapse cycle, indeed prevents megathrust earthquakes from occurring more frequently. A back-of-the-envelope calculation shows that if not for the episodic energy release, the Cascadia region would be experiencing one megathrust earthquakes every 54 years.

Therefore, we believe that the key to forecasting megathrust earthquakes in a costeffective fashion is to monitor long-term trends (in the order of decades and centuries) in ground deformation through multi-component GPS and tiltmeter recordings. In addition, use of a dynamic modulus of continental crust in numerical simulation of deformation will also help improve megathrust forecasting.

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Appendix A GPS Analysis 742

The hypothesis of episodic slow slip has been postulated by employing solely hor-743 izontal GPS recordings. Here, we use all three components of GPS recording (two hor-744 izontal and one vertical) to demonstrate the 3D deformation of the continental crust over 745 time and how their magnitudes relate to tremor location. 746

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A1 Reliability of Vertical GPS Measurements

Uncertainty in vertical GPS measurements is approximately 3 times that of hor-748 izontal measurements. More importantly, we recognize that seasonal variations in sur-749 face mass variations can have substantial impact on vertical GPS measurements Blewitt 750 et al. (2001); Dong et al. (2002); Bettinelli et al. (2008). 751

Here, however, we ignore the effect of seasonal changes on vertical GPS measure-752 ments because it is extremely challenging to decouple the effect of seasonal surficial mass 753 changes from displacement due to tectonic deformation. This task become especially chal-754 lenging in Cascadia where the episodic deformation cycle spans 13–14 months which is 755 close to seasonal cycles (12 months). 756

- Nonetheless, we observe that 757
- vertical GPS measurements are large and in many cases an order of magnitude 758 larger than horizontal displacements, 759
- there is a close correspondence between sudden changes in horizontal displacements 760 (horizontal GPS reversals) and rapid vertical GPS measurements on numerous occasions, and 762
- vertical cyclic displacement patterns (Figure A3 and A4) show close spatial cor-763 respondence with spatial tremor patterns in Cascadia and Alaska.
- Given the above observations, one might argue that vertical displacements observed con-765 tain significant imprints of tectonic deformation. 766

A2 Data Processing 767

Prior to hodogram analysis, GPS data are detrended using a 1001 point median 768 filter to eliminate long-term trends, and thereafter filtered using a 11-point median fil-769 ter to suppress short-term noise bursts. GPS stations with significant noise that could 770 not be corrected from using the above filtering operations were not used in the analy-771 sis. 772

Computation of the net vertical and horizontal GPS displacements was done by 773 fitting ellipsoids to the hodograms. Projection of the major axis of the ellipse on the ver-774 tical direction and the horizontal plane yield the net vertical and horizontal displacements, 775 respectively. 776

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A3 Displacements due to Buckling and Collapse

Figure A1 shows the expected temporal evolution of the vertical (blue) and hor-778 izontal (red) displacements of four locations A, B, C, and D, (phase T_0 , Figure 2) on the 779 surface of a continental plate through a buckling and collapsing cycle. The magnitude 780 of the horizontal displacement is expected to decrease monotonically from the corner of 781 the accretionary wedge (location A) landward as depicted by the decreasing range of the 782 horizontal displacement moving from A through D. The vertical displacement, however, 783 is small at location A, attains a maximum at location C, and tapers off to a small value 784 further landwards (location D). 785

An efficient technique to analyze and quantify such multi-component data is to gen-786 erate hodograms which are a display of the motion of a point as a function of time. Fig-787 ure A1 shows the hodograms for each of the four locations A, B, C, and D on the right. 788 The path followed by a particle during the buckling phase is different from that followed 789 during the collapse phase, thereby resulting in hysteresis of the particle motion. Note 790 that such hysteresis demonstrates a non-linear particle motion (Figure A1) as opposed 791 to an expected linear motion for the case of slow slip. Moreover, it is clear from the hodograms 792 that the horizontal displacement decreases monotonically from the corner of the accre-793 tionary wedge (location A) landward, while the vertical displacement attains a maximum 794 right above the narrow tremor zone. 795

Figure A2 shows an example of a hodogram obtained from GPS data. This data comes from the Albert Head GPS site on Vancouver Island in Victoria, British Columbia - the data for which was originally employed by Rogers and Dragert (2003) to hypothesize the process of slow slip. Note the hysteresis and the prominent vertical displacement observed at this site which is quite similar to the pattern expected for surface location C (Figure A1) right above a tremor belt. Other studies (Wech et al., 2009; Wells et al., 2017) indeed map significant tremor activity beneath this GPS site.

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A4 Horizontal and Vertical Displacements in Cascadia and Alaska

We generate hodograms for all the GPS measurements at sites in the Cascadia sub-804 duction zone and in Alaska and thereafter compute the vertical displacement, horizon-805 tal displacement, and their ratio. These attributes for Cascadia and Alaska are shown 806 in Figures A3 and A4, respectively. Note that in both cases, the horizontal displacement 807 decreases monotonically from the margin landwards; while the vertical displacement in-808 creases as one moves landwards from the margin, attains a maximum, and decreases there-809 after. The belt of maximum vertical displacements along the Cascadia margin has a close 810 correspondence to the tremor maps generated by Wech et al. (2009); Wells et al. (2017). 811 Similarly, the maximum vertical displacements in Alaska encompass the tremor activ-812 ity mapped by Y. Ohta et al. (2006) and Peterson and Christensen (2009) (in addition 813 to showing locations where additional tremor activity could be expected). 814



Figure A1: Time-dependent detrended displacements (left column) and corresponding hodograms (right column) of points A through D (Figure2) during a single cycle of Episodic Buckling and Collapse. Horizontal displacement X is shown in red and vertical displacement Z in blue. The different phases of the subduction cycle are also denoted.



Figure A2: East, North, and vertical components of GPS data and corresponding hodogram from the Albert Head GPS site on Vancouver Island in Victoria, British Columbia and corresponding hodogram on the right. All data have been detrended and filtered. The hodogram is displayed in the form of projections on the three orthogonal planes.



(a) Vertical displacement

(b) Horizontal displacement



(c) Vertical-Horizontal Ratio

Figure A3: Measures of surface deformation in Cascadia subduction zone. a, Net vertical displacement and b, net horizontal displacement computed from GPS measurements, and c, their ratio. All color scales have been truncated to expose the patterns.



(a) Vertical displacement





(c) Vertical-Horizontal Ratio

Figure A4: Measures of surface deformation in Alaska. a, Net vertical displacement and b, net horizontal displacement computed from GPS measurements, and c, their ratio. All color scales have been truncated to expose the patterns.