

Suprathermal Magnetospheric Atomic and Molecular Heavy Ions At and Near Earth, Jupiter, and Saturn: Observations and Identification

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Abstract

We compare the long-term suprathermal heavy ion composition measured at three planets using functionally identical charge-energy-mass ion spectrometers, one on Geotail, orbiting Earth at $\sim 9-30$ Re, the other on Cassini, in interplanetary space, during Jupiter flyby, and then in orbit around Saturn. O^+ , a principal suprathermal ($\sim 80-220$ keV/e) heavy ion in each magnetosphere, derives primarily from outflowing ionospheric O^+ at Earth, but mostly from satellites and rings at Jupiter and Saturn. Comparable amounts of Iogenic O^+ and S^+ are present at Jupiter. Ions escaping the magnetospheres are: O^+ and S^+ at Jupiter; C^+ , N^+ , O^+ , H_2O^+ , $CO^+(N_2^+)$, and O_2^+ at Saturn; and N^+ , O^+ , N_2^+ , NO^+ , O_2^+ , and Fe^+ at Earth. Generally, escaped atomic ions (molecular ions, MI) at Earth and Saturn have similar (higher) ratios to O^+ compared to their magnetospheric ratios; Saturn's H_2O^+ and Fe^+ ratios are lower. At Earth: after O^+ and N^+ , ionospheric origin N_2^+ , NO^+ , and O_2^+ (with proportions $\sim 0.9:1.0:0.2$) dominate magnetospheric heavy ions, consistent with recent high-altitude/latitude ionospheric measurements and models; average ion count rates correlate positively with geomagnetic and solar activity. At $\sim 27-33$ amu/e: Earth's MIs dominate over lunar pickup ions (PUIs) in the magnetosphere; MIs are roughly comparable to lunar PUIs in the magnetosheath; and lunar PUIs dominate over MIs beyond Earth's bow shock. Lunar PUIs are detected at $\sim 39-48$ amu/e in the lobe and possibly in the plasma sheet at very low levels.

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Key Points:

- Earth's magnetospheric N_2^+ , NO^+ , and O_2^+ (~43%, ~46%, and ~10%, respectively) argue for ionospheric ion outflow at ~350-550 km altitude
- Outside Earth and Saturn's magnetospheres, levels of heavy molecular (atomic) ions relative to O^+ are higher (similar) compared to inside
- Heavy molecular to lunar pickup ion ratios are $\gg 1$, ~ 1 , and < 1 in Earth's magnetosphere, the sheath, and the solar wind, respectively

Please Note: Full page versions of the Figures (most with their full caption) are inserted on the first page after the first citation. My version of MSWord inserted extra blank lines (which I could not adjust) at the insertion point.

Please Note: Two versions of Figure 7 are included, one arranged in portrait view, the other in landscape view, for comparison. The content is identical in each, just the arrangement is different.

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32 functionally identical charge-energy-mass ion spectrometers, one on Geotail, orbiting Earth at
33 $\sim 9\text{-}30 R_E$, the other on Cassini, in interplanetary space, during Jupiter flyby, and then in orbit
34 around Saturn. O^+ , a principal suprathermal ($\sim 80\text{-}220$ keV/e) heavy ion in each magnetosphere,
35 derives primarily from outflowing ionospheric O^+ at Earth, but mostly from satellites and rings at
36 Jupiter and Saturn. Comparable amounts of Iogenic O^+ and S^+ are present at Jupiter. Ions
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38 Saturn; and N^+ , O^+ , N_2^+ , NO^+ , O_2^+ , and Fe^+ at Earth. Generally, escaped atomic ions (molecular
39 ions, MI) at Earth and Saturn have similar (higher) ratios to O^+ compared to their
40 magnetospheric ratios; Saturn's H_2O^+ and Fe^+ ratios are lower. At Earth: after O^+ and N^+ ,
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42 heavy ions, consistent with recent high-altitude/latitude ionospheric measurements and models;
43 average ion count rates correlate positively with geomagnetic and solar activity. At $\sim 27\text{-}33$
44 amu/e: Earth's MIs dominate over lunar pickup ions (PUIs) in the magnetosphere; MIs are
45 roughly comparable to lunar PUIs in the magnetosheath; and lunar PUIs dominate over MIs
46 beyond Earth's bow shock. Lunar PUIs are detected at $\sim 39\text{-}48$ amu/e in the lobe and possibly in
47 the plasma sheet at very low levels.

48 **1. Introduction**

49 [1.0] A comparison of heavy ion composition in and near the magnetospheres of Earth, Jupiter,
50 and Saturn is presented in order to (a) more clearly identify, better characterize, and fully
51 understand the different magnetospheric heavy atomic ion and molecular ion (MI) populations of
52 the three magnetospheres, and (b) demonstrate and characterize the uniquely different responses

53 to atomic and molecular ions provided by the time-of-flight, total-energy ion spectrometers used
54 in this and numerous other studies. These two objectives are intertwined and interdependent, so
55 that either one cannot clearly be presented without significant cross-referencing; therefore, both
56 are addressed herein, rather than separately. Of note, the first observations of MI in Earth's ring
57 current and near-Earth lunar pickup ions (PUI) were made with this class of instrument by
58 Klecker et al. (1986) and Hilchenbach et al. (1992), respectively. That Earth's ionosphere
59 contributes significantly to its magnetospheric O^+ and H^+ ion populations is known from
60 persistent investigation and repeated observation (see e.g., Shelley et al., 1972; Yau et al., 1993,
61 2011, 2012; Strangeway et al., 2005; Moore et al., 2014, and references therein). Twenty-four
62 years ago, Peterson et al. (1994) noted: "Twenty years after the discovery that significant fluxes
63 of O^+ flow out of the ionosphere (Shelley et al., 1972), there exists little or no quantitative
64 information about the relative importance of the various physical processes responsible for the
65 energization and extraction of O^+ and other heavy ions from the Earth's ionosphere". Now,
66 nearly a quarter of a century later, although continual research (e.g., Yau et al., 1993; 2011;
67 Peterson et al., 1994; Ogawa et al., 2010; Andersson et al., 2004; Wilson et al., 2004; Redmon et
68 al., 2014; Strangeway et al., 2005; Haaland et al., 2012; Yu & Ridley, 2013; Skjæveland et al.,
69 2014; Moore et al., 2014; Foss et al., 2017; Shen et al., 2018; and Seki et al., 2019) has clarified
70 much about thermospheric/ionospheric ion upflow, downflow, outflow, and chemistry - there is
71 still much to be learned. The study of Earth's MI populations remains important in that much of
72 the extant O^+ in its magnetosphere is affected by the altitude and local time of MI interactions
73 during outflow. Likewise, the origination location of MI populations at Jupiter and Saturn
74 determines the level of dissociation that creates a number of the atomic ion populations there.
75 Various disturbances in both the sun and the interplanetary medium can result in significant

76 planetary magnetospheric responses such as Earth's geomagnetic storms and substorms, which
77 directly affect the planet's thermosphere, ionosphere, and magnetosphere and the extant particle
78 populations, both ionized and neutral, therein. Effects including enhanced upward neutral winds,
79 plasma wave activity during disturbed conditions at and above ~110 km altitude, and F region
80 altitude variations occur from low to high geographic latitudes before, during, and after the more
81 intense phases of magnetospheric disturbances (see e.g., Price and Jacka, 1991; Danilov and
82 Lastovica, 2001; Goncharenko et al., 2004; Blagoveshchenskii, 2013).

83 [1.1] While progress on many details regarding outflow has been made, that is, the models are
84 better and there are more observations fostering better understanding, we still appear to lack
85 some important ion composition information from the upper ionosphere/thermosphere that might
86 help us better understand and characterize ionospheric outflow at Earth. That is, beyond the
87 overview of the Yau et al. (1993) data by Peterson et al. (1994) and the very recent high altitude
88 measurements by Foss et al. (2017) and the ring current measurements by Seki et al. (2019),
89 there has been little resolution of the vertical interactions affecting ions during outflow. To our
90 knowledge the literature still does not present an overall consensus as to what chemistry and
91 which processes/conditions/mechanism(s) at what latitudes and altitudes result in the variability
92 of outflowing ionospheric ions (see e.g., Welling and Liemohn, 2016). For example, in the recent
93 statistical study by Shen et al. (2018) of electromagnetic-wave-related ion heating at ~350-700
94 km altitude, the majority of ionospheric ion heating events were found to be associated with core
95 ion downflows rather than upflows. Only recently has quantitative in-situ observational
96 assessment of the relative contributions of N_2^+ , NO^+ , and O_2^+ , three fundamental components of
97 ionospheric chemistry, been revisited by Foss et al. (2017), who reported conclusions generally
98 consistent with the earlier result from Yau et al. (1993) and Peterson et al. (1994) that

99 ionospheric O_2^+ is a minor constituent at ~ 400 km altitude. In the ring current at $3.5 \leq L < 6.6$
100 (where L is McIlwain's L parameter), Seki et al. (2019) find that keV-energy MI are commonly
101 observed during geomagnetically active periods associated with magnetic storms, substorms, and
102 high-speed solar wind streams; the MI are not detected above background levels in the ring
103 current during quiet intervals and their detection probability increases with increasing
104 geomagnetic disturbance level. Our paper cannot identify the causes or details of outflow
105 processes because the outflow energization processes are at core (sub-keV) plasma energies in
106 the high ionosphere/thermosphere, energies and regions we do not sample. However, Geotail,
107 which orbits at several to tens of planetary radii, carries our instrumentation which measures
108 suprathermal energy, ~ 80 - 200 keV, ions in the high energy tail of ion distributions. This
109 instrumentation provides information on the relative abundances of the MI that have flown out of
110 the ionosphere. Cassini's complementary observations at Jupiter and Saturn provide us not only
111 with clarifying observational evidence about the number and composition of energetic heavy
112 ions that populate their magnetospheres, but with several critical observations which help
113 improve our understanding of both instruments' responses at all three planets. The information
114 provided herein may provide incentive for various future focused investigations of Earth's
115 ionosphere and thermosphere using new or existing instrumentation and measurements.

116 [1.2] Two years of ion composition observations from the AMPTE/CCE spacecraft demonstrated
117 that, after O^+ , N^+ is the next most important ionospheric origin ion in the storm-time ring current
118 (Gloeckler and Hamilton, 1987). Regardless of this, most studies of terrestrial ionospheric heavy
119 ion outflow to this day discuss one heavy ion species, O^+ , completely ignoring N^+ (e.g., Mall et
120 al., 2002; Christon et al., 2002; Ilie & Liemohn, 2011), knowledge of which is important in
121 understanding the chemistry of Earth's outflow processes. At suprathermal energies in the outer

122 ring current-to-plasma-sheet region, Christon et al. (2002) find that the average flux ratio of
123 escaped N^+ relative to O^+ is $\sim 40\%$ ($\sim 20\%$) during solar minimum (maximum). Using
124 Geotail/STICS data, they found that at ≥ 9 -10 Re during solar minimum, the average dayside
125 ~ 10 – 210 keV/e N^+/O^+ flux (PHA) ratio is ~ 0.36 - 0.40 (~ 0.38 - 0.42) over ~ 1 - 2 years, with N^+/O^+
126 ~ 1 for ~ 18 hours on one contributing Geotail orbit, while during solar maximum, the average
127 N^+/O^+ flux (PHA) ratio is ~ 0.22 - 0.23 (~ 0.24 - 0.26) over ~ 1 - 2 years. In a collaborative companion
128 study, Mall et al. (2002), used concurrent Wind/STICS data to find that the average nightside ~ 8 -
129 38 Re magnetospheric ~ 10 – 210 keV/e N^+/O^+ density ratio was ~ 0.45 - 0.6 from 1995 to 1997
130 (solar minimum) and decreases in 1997 monotonically to ~ 0.20 - 0.23 where it remained from
131 1998 through mid-2000 (solar maximum). The two studies, taken together, clearly demonstrate
132 solar cycle and radial variations of N^+ relative to O^+ . In a somewhat comparable situation,
133 escaped ionospheric MI were discovered in the outer ring current by Klecker et al. (1986) and
134 identified as NO^+ and O_2^+ . This MI composition was in contrast to an earlier report by Craven et
135 al. (1985) from polar cap observations at ~ 1.1 - 3 Re geocentric that N_2^+ and NO^+ dominated over
136 O_2^+ at higher altitudes and latitudes. This difference was considered inconsequential as Craven et
137 al. postulated that the N_2^+ and NO^+ dominance might be seasonal since there was no overall
138 consensus at that time in the relative abundance of O_2^+ in earlier high-altitude observations. The
139 empirical model of Köhnlein (1989) used observations from 6 satellites to show O_2^+ , a major
140 constituent below 100 - 200 km, falling off more quickly at higher altitudes than N_2^+ and NO^+ .
141 Later, Hoegy et al. (1991) summarized a number of ionospheric data and/or model studies,
142 showing that the densities of both N_2^+ and NO^+ were likely to be somewhat greater than that of
143 O_2^+ at altitudes of ~ 400 - 600 km. Subsequent MI composition consistent with the Craven et al.
144 (1985) and Hoegy et al. (1991) results was reported by Yau et al. (1993) at ~ 2 - 4 Re in a study of

145 mass spectrometer data obtained on hundreds of ionospheric high altitude, high latitude satellite
146 passes. The complementary comprehensive studies by Yau et al. (1993) and Peterson et al.
147 (1994) clearly identified N_2^+ and NO^+ as the principal, comparably-abundant, outflowing
148 ionospheric Mass-30 (~ 27 -33 amu) molecular ion species in high-latitude, high-altitude
149 measurements at 2-3 Re. Yau et al. (1993) reported O_2^+ to be about an order of magnitude
150 smaller and Peterson et al. (1994) chose to not discuss O_2^+ in their study.

151 [1.3] Christon, Hamilton, et al. (1994) and Christon, Gloeckler, et al. (1994) referred to escaped
152 ionospheric MI in the magnetosphere as NO^+ and O_2^+ , reflecting earlier observations and
153 identification by Klecker et al. (1986) and Gloeckler & Hamilton (1987). The characteristics of
154 MI energy loss processes in the instruments of the 1994 studies (in one, the instrument used
155 herein, and the other, a similar instrument), were not clearly understood at the time. As
156 discovered and presented in this and recent investigations (Christon et al., 2013; 2015), we now
157 understand that atomic and molecular ion energy loss measurements in this class of time-of-
158 flight total-energy instrument must be analyzed differently. Based on the comparisons and
159 evidence presented in this paper which utilizes two nearly-identical charge-energy-mass ion
160 spectrometers in different magnetospheres, we now more fully understand and are better able to
161 more clearly identify and characterize the heavier-than-oxygen suprathermal MI populations at
162 these planets using this class of instrumentation. Singly charged heavy ion populations and their
163 measurement using time-of-flight instruments will hopefully be more clearly understood at all
164 three planets as a result of this study. The improved composition assessment of the long-term MI
165 component of outflown ionospheric MI fluxes observed in Earth's magnetosphere presented
166 herein is intended to help focus planning choices for future thermosphere-ionosphere-
167 magnetosphere observations.

168 [1.4] The Geotail energetic charged particle data set at Earth contains multi-decadal
169 measurements of ionospheric origin heavy ions that have flown out of Earth's ionosphere into the
170 magnetosphere and some which escape and travel sunward of Earth's bow shock, into the
171 interplanetary medium (see e.g., Christon et al., 2000). A primary purpose of this paper is to
172 clearly identify which MI ultimately flow out of Earth's ionosphere and are subsequently
173 incorporated into Earth's magnetospheric ion population. This identification is facilitated by
174 results from the other primary purpose of this paper, a direct comparison of heavy ion
175 observations at Earth with those at Saturn and Jupiter. In fulfilling these goals, we also address
176 the fate of escaped MI after they leave the protection of the planetary magnetospheres. A factor
177 in and consequence of this study is the recognition that suprathermal lunar pickup ions, PUIs,
178 from the solar wind interaction with Earth's satellite, the Moon, are readily detected in the solar
179 wind from outside the magnetopause to near the Moon. At the lunar distance (~ 60 Re) sunward
180 of Earth, the solar wind interacts with the Moon, its exosphere, and dust environment (McComas
181 et al., 2009). Additionally, the solar wind interacts with the terrestrial exosphere whose H
182 component, at least, extends to geocentric radial distances of ~ 100 Re (Balukin et al., 2019).
183 Further, to our knowledge, little is established about the composition or distance distribution of
184 either Earth's outwardly traveling energetic neutral atom populations. Note that Earth's exosphere
185 is modeled at low-altitudes presuming a six-component exosphere containing H, He, N, O, N₂,
186 and O₂ (see, e.g., McKenna-Lawlor et al., 2005). That solar wind interactions with the Moon
187 produce PUIs has been established by a quarter century of lunar ion observations sunward of
188 Earth, both near Earth, e.g., Hilchenbach et al. (1992), and near the Moon, e.g., Kirsch et al.
189 (1998), Mall et al. (1998), and Halekas et al. (2015). Heavy lunar PUIs are observed to contain at
190 least ~ 12 – 42 amu/e ions, primarily of O⁺, Si⁺, Al⁺, P⁺, and Ca⁺ (Hilchenbach et al., 1992; Kirsch

191 et al., 1998; Mall et al., 1998) and possibly CO_2^+ (Tanaka et al., 2009), while secondary ion mass
192 spectroscopy of lunar soil simulant samples (Elphic et al., 1991) and actual Apollo regolith
193 samples (Dukes and Baragiola, 2014), that is, the loose surface material covering solid rock,
194 defines a broader range of expected PUIs, additionally including atomic: Na^+ , Mg^+ , Al^+ , Si^+ , P^+ ,
195 K^+ , Ca^+ , Ti^+ , Mn^+ , and Fe^+ , and molecular: $^{43}\text{AlO}^+$, $^{44}\text{SiO}^+$, $^{64}\text{TiO}^+$, and $^{72}\text{FeO}^+$ ions. Of note,
196 Earth's ionospheric meteoric metal neutral and ion layers at $\sim 80\text{-}120$ km altitude include Na, Mg,
197 Al, Si, K, Ca, Ti, and Fe (Plane et al., 2018), many of the expected lunar PUIs (see also our
198 discussions in Christon et al., 2015; 2017). These metals and important molecules are found in
199 the cosmic dust that forms the zodiacal cloud, a circumsolar disk of small particles and debris
200 produced by asteroid collisions and sublimating comets (Carrillo-Sanchez et al., 2016) that
201 extends to ≥ 10 AU (Nesvorný et al., 2010; Poppe, 2016). The main components of the cometary
202 ices that contribute to cosmic dust are H_2O ($\sim 80\%$ by number) followed by CO and CO_2
203 (Bockelée-Morvan, D., 2011). Therefore, the observation of any of these atomic or molecular
204 ions near Earth may not necessarily suggest their source, the Moon or Earth's ionosphere, both of
205 which have been impacted by cosmic dust for billions of years. Concerted investigations for
206 certain ion species have led to null results, as in the case of lunar Fe^+ , which has been sought, but
207 not measured in at least two studies, Hilchenbach et al. (1992) and Kirsch et al. (1998), both
208 using similar instrumentation. We find that, in our current, all-inclusive data set measured in
209 Earth's equatorial magnetosphere (including from the $R < \sim 30$ Re plasma sheet and the outer
210 quasi-trapping regions at $R > \sim 9$ Re, dayside and nightside), lunar PUIs are not obviously present
211 at levels above the resident terrestrial ion populations therein. In fitting background subtracted
212 Mass-30 ions in the magnetosphere, we show below that inclusion of low levels of Si^+ produces
213 negligible effects on the resulting Mass-30 ion distribution. Finally, our observations are

214 consistent with the understanding that Earth is the only one of these three planets where the
215 planet's ionosphere supplies the majority of the locally originated heavy ions on the average.
216 While Saturn and Jupiter's magnetospheric heavy ion populations likely originate primarily from
217 their satellites, rings, and/or dust/neutral/ion tori, the Moon does not appear to contribute
218 significantly to Earth's observed magnetospheric suprathermal ion populations in the ~27-33 amu
219 mass range focused on herein. However, we find that higher-mass lunar-and-or-ionosphere
220 species ions are present in the magnetosphere. Outside the magnetopause, lunar ions are readily
221 detected, whereas inside the magnetospheric plasma sheet region, they are not definitively
222 detected, although they are probably present. These intertwined topics are the subject of this
223 paper.

224 [1.5] Suprathermal Mass-30 ion populations in Earth, Jupiter, and Saturn's magnetospheres are
225 distinct and different. MI in the Mass-30 range are minor components of Earth's total
226 ionospheric contribution to suprathermal ion populations in the magnetosphere and the nearby
227 interplanetary medium, superseded by the atomic ions H^+ , He^+ , O^+ , and N^+ (e.g., Gloeckler and
228 Hamilton, 1987). Comparison of the suprathermal heavy ion measurements from the three
229 planets allow us to utilize the abundant Jovian S^+ measurement peak as a fiducial at 32 amu/e
230 which, when combined with the uncomplicated observations of MI escape from Saturn's
231 magnetosphere, allows us to more clearly identify Earth's magnetospheric MI populations. These
232 observational tools, fiducial and patterned response, have enabled us to better understand the
233 measurements at Earth; allowing us in some situations to separate the ionospheric origin
234 molecular ions N_2^+ , NO^+ , and O_2^+ from ionospheric origin atomic metal layer ions, including Al^+
235 and Si^+ , and atomic lunar PUIs, including Al^+ , Si^+ , and P^+ , (all with mass numbers between 27
236 and 31) in near-Earth plasmas with a cautious level of confidence. At Saturn, we report the first

237 detailed observations of Saturn's H_2O^+ , CO^+ , and O_2^+ in the interplanetary medium. At Jupiter,
238 no MI from Io or the Galilean satellites appear to survive its intense inner-magnetosphere
239 particle radiation environment, except possibly a trace of CO_2^+ , which may be introduced by
240 cosmic dust from the Jupiter family comets (Bockelée-Morvan, 2011). Jovian O^+ and S^+ , likely
241 dissociated primarily from Io's molecules and its torus' SO_2 , dominate the heavy ion population
242 of Jupiter's magnetosphere. Jupiter's S^+ extends far into the near ~ 5 -AU (astronomical unit)
243 interplanetary medium. At Earth, we demonstrate that primarily N_2^+ and NO^+ , but also a
244 detectable, non-negligible amount of O_2^+ , flow out into the magnetosphere. We search for lunar
245 PUIs in Earth's equatorial plasma sheet and outer quasi-trapping regions. We provide general
246 information on the overall MI distribution in the ~ 9 -30 Re near-Earth region and the average MI
247 relation to geomagnetic and solar activity. Overall, MI and their dissociation products might play
248 more of a role in magnetospheric dynamics at Jupiter and Saturn than at Earth.

249 [1.6] The following section contains aspects of the spacecraft trajectories and locations, as well
250 as instrument information. Since several important instrument measurement characteristics are
251 only revealed through the comparison of measurements in different planetary plasma
252 environments and, as far as we know, this will be the first time such information will appear in
253 the literature and used in detailed observation comparisons, these characteristics are introduced
254 and discussed in the following section. A glossary of terminology is located at the end of the
255 paper and duplicated in the supporting information, SI. We attempt to consistently use the terms
256 "outflow" and/or "flow out" to describe transport out of the ionosphere, and reserve "escape" to
257 describe transport out of the magnetosphere.

258

259 **2. Spacecraft and Instruments**

260 2.0. Spacecraft

261 [2.0.0] We use data from the functionally identical Geotail/STICS (Supra-Thermal Ion
262 Composition Spectrometer) and Cassini/CHEMS (CHarge-Energy-Mass Spectrometer) ion
263 spectrometers on the Geotail and Cassini spacecraft, respectively. Geotail is an Earth orbiting
264 spacecraft launched in 1992 which explored Earth's deep magnetotail until early-1995, when it
265 was placed into an equatorial $\sim 9 \times \sim 30$ Re elliptical orbit where it provides measurements to this
266 day. Cassini was launched from Earth in 1997 and used a Jovian gravity assist in 2001 to arrive
267 at Saturn in mid-2004. Thereafter, Cassini was maneuvered through constantly changing orbit
268 configurations in order to investigate various portions and aspects of Saturn's magnetospheric
269 environs until 2017 when the spacecraft was crashed into Saturn. Data used herein were
270 collected from both instruments for all solar and planetary activity levels. Most high background
271 levels in our datasets likely result from the highest disturbed magnetospheric and/or solar activity
272 intervals which we chose to include in this initial survey of the Earth data. Specific information
273 about the trajectories, though not essential for the focus of this paper, is provided in Figure S2
274 and Tables S1 to S3 in the SI for reader review. Please note the distinct plasma regime labeling
275 which differentiates the two planets' regimes in the text and highlights their different selection
276 procedures. Instrument information specific to Cassini/CHEMS is at: [https://pds-](https://pds-atmospheres.nmsu.edu/data_and_services/atmospheres_data/Cassini/logs/mimi_user_guide_9_2_6_18.pdf)
277 [atmospheres.nmsu.edu/data_and_services/atmospheres_data/Cassini/logs/mimi_user_guide_9_2_](https://pds-atmospheres.nmsu.edu/data_and_services/atmospheres_data/Cassini/logs/mimi_user_guide_9_2_6_18.pdf)
278 [6_18.pdf](https://pds-atmospheres.nmsu.edu/data_and_services/atmospheres_data/Cassini/logs/mimi_user_guide_9_2_6_18.pdf). The documents [EPIC_Instrument_Users_Manual-abbr.pdf](#) and
279 [EPIC_STICS_PHA_data_product_description.pdf](#) contain Geotail/STICS instrument
280 information and are at: <https://spdf.sci.gsfc.nasa.gov/pub/data/geotail/epic/documents/>.

281 [2.0.1] Geotail. Geotail is a spinning spacecraft, with spin axis inclined sunward with an angle of
282 87° with respect to the solar ecliptic plane, and a spin rate is 20 rpm (Nishida, 1994). A database

283 of 3-hour interval near-Earth plasma regime regions was constructed from contiguous 12-minute
284 interval Geotail data measurement locations in a single regime as determined by the NASA
285 Satellite Situation Center (SSC) Spacecraft Region Identification utility in the manner described
286 in Christon et al. (2017). Plasma regimes (and acronyms) included herein for Earth are: Earth's
287 magnetosphere (SPHERE), magnetosheath (SHEATH), and lobe (LOBE), and the nearby solar
288 wind, interplanetary medium (SW/IM). A map of the plasma regime locations and the locations
289 of the MI observations is plotted in Figure S1 in the SI, and a smaller version of the regime map
290 appears below. Three-hour intervals with mixed region identifications and/or including
291 magnetospheric boundary layers are not used herein. The SPHERE, the primary plasma regime
292 dominated by Earth's magnetic field inside the magnetopause (MP), contains the plasma sheet
293 (PS), ring current, and near-Earth equatorial dayside locations. The low-density LOBE is roughly
294 colocated with and lies above and below the SPHERE in the magnetotail. The SHEATH is a
295 region of disturbed magnetic field and intermediate solar wind dominated plasmas outside the
296 SPHERE. The magnetopause (MP) is the boundary between SPHERE and SHEATH. The outer
297 boundary of the SHEATH is the bow shock (BS), which is the earthward-most boundary of the
298 generally unperturbed solar wind/interplanetary medium, SW/IM, which is dominated by
299 outflowing solar plasma and magnetic field. As a result of its orbit and solar and geomagnetic
300 disturbances, Geotail spends different lengths of time in each plasma regime. These livetime
301 differences affect the observation times therein and therefore the overall relative observation
302 totals in each regime. We do not correct for these differences in this paper, as full quantitative
303 calculations are reserved for a future publication. Rough ratios of the total observation time in
304 the regimes relative to that in the SPHERE are: SPHERE, 1.0; LOBE, 0.1; SHEATH, 0.7; and
305 SW/IM, 1.5; that is, there is ~50% more observation time spent in the SW/IM than in the

306 SPHERE. We use omnidirectional measurements in this study. Please note that for representative
307 lunar PUI samples, we utilize published measurements of lunar pickup ion composition from
308 Hilchenbach et al. (1992), who used a similar type of ion spectrometer on AMPTE/IRM sunward
309 of Earth's bow shock at $\sim 18.7 R_E$, and Mall et al. (1998), who used the WIND/STICS ion
310 spectrometer, functionally identical to Geotail/STICS (Gloeckler et al., 1995), in a concerted
311 campaign investigating lunar PUIs on 17 lunar flybys at >17 lunar radii. Note also that because
312 all but one of the Kirsch et al. (1998) study's lunar orbits are likely fully included in the Mall et
313 al. (1998) study, we do not add their data to our set of representative lunar PUI samples.

314 [2.0.2] Cassini. Information from Cassini's interplanetary cruise to Saturn, including the Jupiter
315 flyby, as well as the first three years of Cassini's orbits around Saturn are used in this paper.
316 Figure S1B shows Cassini's locations from 1999-001 prior to Earth flyby, past Jupiter, to Saturn,
317 and then at Saturn thereafter until 2017-001 in solar ecliptic coordinates. In the SI, Table S2
318 gives the important events during Cassini's cruise to Saturn. On Cassini's cruise to Saturn, cruise
319 data was obtained as allowed by tracking schedules, so there was very limited data livetime,
320 much less than when Cassini orbited Saturn. During the cruise to Saturn, the CHEMS field-of-
321 view rarely included the solar direction, so the measured fluxes were not necessarily
322 representative of the full three-dimensional interplanetary particle populations. At Saturn,
323 Cassini, a 3-axis stabilized S/C, occasionally rolled for limited intervals. Plasma regimes (and
324 acronyms) used herein for Saturn are: Saturn's magnetosphere (Sphere), magnetosheath (Sheath),
325 and the nearby solar wind, interplanetary medium (Solar Wind). Saturn's Lobe regime is not
326 addressed herein. Figures S1.C, S1.D, and S2 show the Cassini trajectory in Saturn-centered
327 coordinates for an extended interval in which magnetopause and bow shock crossings were
328 identified by a Cassini magnetosphere and plasma science research team (see acknowledgements

329 and Table S3A in the SI). The near-Saturn Solar Wind intervals used herein were collected over
330 28 of the longest continuous near-Saturn interplanetary samples (totaling ~170 hr) from late-
331 2004 to late-2007 using their bow shock identifications (see Table S3 in the SI). Saturn's Sphere
332 data were obtained in the radial range from ~4Rs out to either (1) ~20 Rs or (2) a magnetopause
333 crossing if it was closer (see Christon et al., 2013; 2017). Intervals close to Saturn near ~4-6 Rs,
334 when Cassini was not in nominal, magnetospheric plasma sheet-like plasmas (i.e., radiation
335 belts) were excluded. Lists of the included and excluded $R < 20$ Rs orbit intervals used herein are
336 in Tables S3A to S3D.

337 2.1 Instruments

338 [2.1.0] The Geotail/STICS and Cassini/CHEMS instruments are ion charge state spectrometers
339 using time of flight (TOF), and total energy (E), to measure singly-charged heavy ions' Mass (M)
340 and Mass per Charge (M/Q) in the ~80-200 keV/e energy range with nearly full three-
341 dimensional measurement capabilities. Although STICS was operational before CHEMS, the
342 instruments are very similar in design and nearly identical functionally. They have been
343 described numerous times in the literature. Geotail/STICS is described in detail most recently in
344 Christon et al. (2017) and Cassini/CHEMS in Christon et al. (2013) (see also Williams et al.,
345 1994, and Krimigis et al., 2004, respectively). The energy range used in this paper is: ~83-167
346 keV/e for Cassini/CHEMS, and ~87–212 keV/e for Geotail/STICS. General features of both are
347 reviewed in Tables S1 - S5 in the SI in which particulars regarding launch, orbit, and cruise,
348 deflection voltages, and onboard species rate classifications. Only new aspects and/or
349 perspectives of the instrumentation will be described in the text. In this analysis, we have
350 resolved several issues related to subtle differences between atomic and molecular ion
351 measurements by this class of time of flight instrument. These differences, described in the

352 following paragraphs, are utilized throughout the paper and reflect some SI presented in Christon
353 et al. (2013). Although H_2O^+ is presented and briefly discussed herein, full treatment of Saturn's
354 H_2O^+ is reserved for future analysis. An additional point to note is that, except for species close
355 in mass (e.g., N_2^+ , NO^+ , and O_2^+), the count ratios we report cannot be interpreted as relative
356 abundances because of decreasing detection efficiency with increasing mass, especially for
357 molecules. This paper's primary focus is the Mass-30 diatomic molecular ions.

358 [2.1.1] Electrostatic focusing selects an ion's energy-per-charge (E/Q) and guides it to pass
359 through a thin carbon foil, and, if not widely scattered, to subsequently strike a solid state
360 detector (SSD). TOF measurement of each incident ion's travel from the carbon foil to the SSD,
361 at regularly cycled deflection E/Q steps permits determination of the ions' Mass per Charge,
362 M/Q , classification. Each ion with a TOF measurement may also have sufficient energy
363 remaining to leave a measured residual energy deposit (E_m) above the SSD's electronic
364 threshold energy. If sufficient energy is deposited in the SSD ($E_m > \sim 25$ keV, the electronic
365 threshold), the ion will also be assigned a non-zero Mass (M) classification based on the E/Q ,
366 TOF, and E_m . These physical measurement parameters, along with the known instrument state
367 and orientation at the time of ion measurement is called a Pulse Height Analysis event, or a
368 PHA. The instrument's data processing unit (DPU) subsequently increments various rate
369 counters and registers based on the E/Q , TOF, and E_m of each incident ion. It also retains a
370 limited sample of the full PHA measurement population and transmits only that sample's
371 information to Earth as a result of telemetry bandwidth limitations. These limited, but precise,
372 PHA samples, not the broader, actively collected counting rates, are the basis of the ability to
373 characterize rare and/or closely intermixed ion species. Counting rates of only some ion species
374 are collected automatically, and even these rates cannot automatically correct for spillover of one

375 species' events into another species' rate collection box (see e.g., Christon et al., 2002). Fluxes of
376 various other ion species, such as those in this study, can be studied using the transmitted sample
377 PHA information.

378 [2.1.2] The classification and categorization decisions utilized by the instruments for every
379 measured ion depend on electronically encoded M and M/Q versions of the algorithms we have
380 used to calculate and verify instrument results in this and earlier papers (the interested reader
381 can, for example, review these algorithms in the Geotail/EPIC Instrument Users Manual at
382 <https://spdf.sci.gsfc.nasa.gov/pub/data/geotail/epic/documents/>). These algorithms convert
383 measured incident energy per charge, time of flight (TOF), and energy deposit combinations for
384 each ion into M and M/Q values utilized in categorization decisions made by the instrument's
385 onboard data processing unit (DPU) and discussed in this paper. Our purpose is not to analyze or
386 revise the algorithms. They and their inherent parameters have been used and kept constant since
387 launch of the spacecraft in the early-1990s. Our purpose is to use the instruments' measurements
388 to understand the differences between atomic and molecular ion responses, a natural
389 consequence for which the algorithms cannot anticipate or compensate.

390 [2.2.0] Time of flight (TOF) differences: For ions with the same total mass and incident energy
391 per charge MI TOFs are measurably longer than atomic ion TOFs. Molecular ions are known to
392 lose energy in solids in a more complicated manner than atomic ions (see, e.g., Tape et al. (1976)
393 and a discussion in the SI of Christon et al. (2013). Depending on an MI's internal structure,
394 speed, and alignment/orientation with respect to its velocity vector, a diatomic ion, N_2^+ , for
395 example, can lose more or (rarely) less energy than two independent N^+ ions entering the
396 material simultaneously at the same initial velocity (see e.g., Heredia-Avalos and Garcia-Molina
397 (2007); Eckardt et al. (1978); and Song et al. (2005), and references therein). In the rare instance

398 where a MI's axis of symmetry is aligned along its direction of motion, it can lose less energy
399 than when its axis is otherwise oriented, or when its component ions travel independently at the
400 same velocity for the same distance in the medium (see Figure 5 of Arista, 2000). Generally
401 though, in a randomly oriented distribution, more typical in nature, where only a small fraction
402 of molecular orientations are parallel to the general direction of ion travel, ions will generally
403 lose more energy on the average than the independent, identical, constituent, elemental/atomic
404 ions of the molecule, or the rare parallel-alignment ions. This additional energy loss results in a
405 lower particle kinetic energy upon exiting the target and a longer subsequent TOF. This longer
406 TOF results in a higher resultant calculated M/Q value for molecular ions than for atomic ions
407 with the same mass. In a later section we demonstrate the measured difference between
408 molecular ion TOFs to atomic ion TOFs for several selected energy channels.

409 [2.2.1] Measured residual energy deposit (E_m) differences: There are major, observable
410 differences between atomic and molecular ion energy deposits in the SSD. First, MI dissociation
411 and scattering in the carbon foil results in a bimodal set of energy deposits (E_m) for MI. One
412 mode represents the small scattering situation in which both constituent ions deposit energy in
413 the SSD. The other mode occurs when only one constituent atom deposits its energy in the SSD
414 and the other atom scatters out of the flight path. Consequently, MI data generally result in
415 bimodal mass distributions as is shown below. After DPU-based corrections for detection
416 phenomena, such as the well-known pulse-height defect (Campbell & Lin, 1973; Ipavich et al.,
417 1978), MIs register higher than anticipated calculated Masses in these instruments compared to
418 atomic ions of equal incident energy. On the spacecraft, the DPU assigns and classifies each ion
419 according to fast Mass-per-charge and Mass onboard encoded algorithmic calculations. An ion's
420 Mass determination is positively correlated with both the: (1) time of flight and (2) energy

421 deposited in the solid state detector. The energy measured by a stopping ion is typically less than
422 the ion's incident energy, and this deficit in measured energy increases with the mass of the
423 incident ion (Ipavich et al., 1978). The DPU's Mass calculation assumes atomic ions, the most
424 typical situation in space particle populations, and this assumption results in an overestimate of
425 an MI's Mass assuming both constituent atoms hit the SSD. For an illustrative example with
426 supporting calculations, see Figure S4 in the SI which compares Ar^+ and the noble-gas dimer
427 Ne_2^+ , which both have a total mass of ~ 40 amu. The DPU algorithm's Mass-correction, which
428 uses the MI's mass determined from the M/Q measurement presuming an incident singly-charged
429 single-nucleus atom, is applied to the two constituent atomic ions, each with approximately one-
430 half of the MI's total mass. As a result of the mass-deficit feature, the constituent ions together
431 deposit more energy than an ion with a mass equal to the sum of the MI's atomic constituents.
432 Assuming an atomic ion, the DPU overcorrects the already higher energy deposit (from each of
433 two atoms) with the higher value related to the heavier atomic ion's (presumed smaller) mass
434 deficit, resulting in a higher than nominal Mass calculation for the MI. The instrument's default
435 calculation clearly overestimates the total Mass for MI which deposit a maximum energy from
436 both constituent atoms in the non-scattering condition.

437 [2.2.2] The above differences between atomic and molecular ions' M and M/Q distributions both
438 enable, and are essential in, the separation of the ionospheric molecular ions, N_2^+ , from lunar
439 atomic ions, Si^+ , near Earth. In the near Earth solar wind Mass-30 ions have a significant lunar
440 PUI signal of varying proportion depending on the plasma regime of observation. Earth's SW/IM
441 MI data are the most strongly affected, with the PUI most apparent when the Moon is sunward of
442 Earth during high geomagnetic activity intervals which result from high speed solar wind flow.

443 [2.2.3] $^A\text{Mq}^+$, $^A\text{Ma}^+$, and $^A\text{M}^+$ naming conventions. Using the atomic ions at Earth, Jupiter, and

444 Saturn from our analyses, the M/Q values of atomic ions have been adjusted slightly, e.g.,
445 correcting for slight differences in the various instruments resulting from algorithmic
446 approximations and possible foil thickness variations, so that their M/Q response peaks are
447 centered closer to their nominal masses (Christon et al., 2013; 2017). We therefore expect singly-
448 charged heavy atomic ions to be rather close to their nominal mass per charge values. MI species
449 register slightly higher M/Q values than the sum of their component atomic ions, possibly on
450 account of their lower than atomic ion's TOF velocity resulting from the MI's stronger interaction
451 with and subsequent higher energy loss in the instrument's carbon foil. For initial species
452 identification, we, as does the instrument's electronics, rely on the higher-resolution M/Q -
453 resolved, rather than the much lower resolution M -resolved, species determination. Please note
454 that, when we feel it necessary in this paper, we utilize generic, analysis specific naming-
455 conventions for clarity: when addressing data and the method of collection is important, we use
456 ${}^A\text{Mq}^+$ and ${}^A\text{Ma}^+$, where A is the mass of the ion and Mq (Ma) indicates that the data is ordered
457 by and binned along the M/Q (M) axis, accumulating over the other variable M (M/Q).
458 Depending on the context of usage, the identity of the ions is either known, unknown,
459 generalized, presumed, or indeterminate because there may be two or more known or presumed
460 individual ion species in the mass-variable range, irrespective of the mass-based variable. The
461 form ${}^A\text{Mq}^+$ (${}^A\text{Ma}^+$) applies to ions identified through and ordered by M/Q (M), categorization and
462 classification, typically in discussions of data collection, and analysis. Ions in the generic ranges
463 ~ 12 -19, ~ 20 -26, ~ 27 -33, and ~ 39 -48 are generically called Mass-16, -20, -30, and -40 ions. If the
464 method of ordering is not relevant in a discussion, we simply refer to ${}^A\text{M}^+$ ions, such as "Mass-
465 30 ions" are identified as ${}^{30}\text{M}^+$ ions. At times, we use histograms of PHA data ordered by either
466 M/Q or M , sometimes with a range criterion placed on the other variable, M or M/Q ,

467 respectively. Ordering by M/Q ($^A Mq^+$) is more often than not utilized for accumulations over the
468 full range of M values. On the other hand, ordering by M ($^A Ma^+$) is most likely presented for
469 accumulations over a wide range of Mass values and a limited range of M/Q values. Both
470 conventions are used as needed in the analysis.

471 3. Observations

472 [3.0] Figure 1 summarizes major similarities and differences in the three planets' magnetospheric
473 heavy ion composition, with a specific focus on the clear differences between Mass-30 ions
474 ($\sim 27\text{-}33$ amu/e), observed at the three planets, although aspects of the Mass-40 ions ($\sim 39\text{-}48$
475 amu/e) are important at Earth. The high-resolution M/Q measurements are a more accurate and
476 precise tool with which to collect, order, and separate ion species than the lower-resolution M
477 measurements. However, the information conveyed by the M - M/Q color spectrograms is critical
478 for clearly identifying and separating different atomic and molecular ion charge-state species
479 having similar M/Q values. Vertical dashed lines are drawn at 16, 32, and 56 amu/e to simplify
480 data set comparisons and demonstrate the level of accuracy and possible precision of our
481 procedures. The M/Q comparison is accurate enough to demonstrate that the three Mass-30
482 populations are uniquely different: $^{28}Mq^+$ and $^{30}Mq^+$ ions at Earth; S^+ dominating at Jupiter; and
483 $^{28}Mq^+$ and $^{32}Mq^+$ ions at Saturn. Fe^+ , at ~ 56 amu/e, is observed at Earth and Saturn, but not at
484 Jupiter or in the interplanetary medium (as shown below). Please note that the generic ion
485 identifier Mq was introduced in the final paragraph of the instrument section.

486 [3.0.0] In our long-term averages in Figure 1, O^+ is the principal magnetospheric suprathermal
487 heavy ion at all three planets, but not necessarily dominant at all times; levels of H_2O^+ and S^+
488 comparable to O^+ exist at Saturn and Jupiter, respectively, and the seasonal variation of O^+ at

489

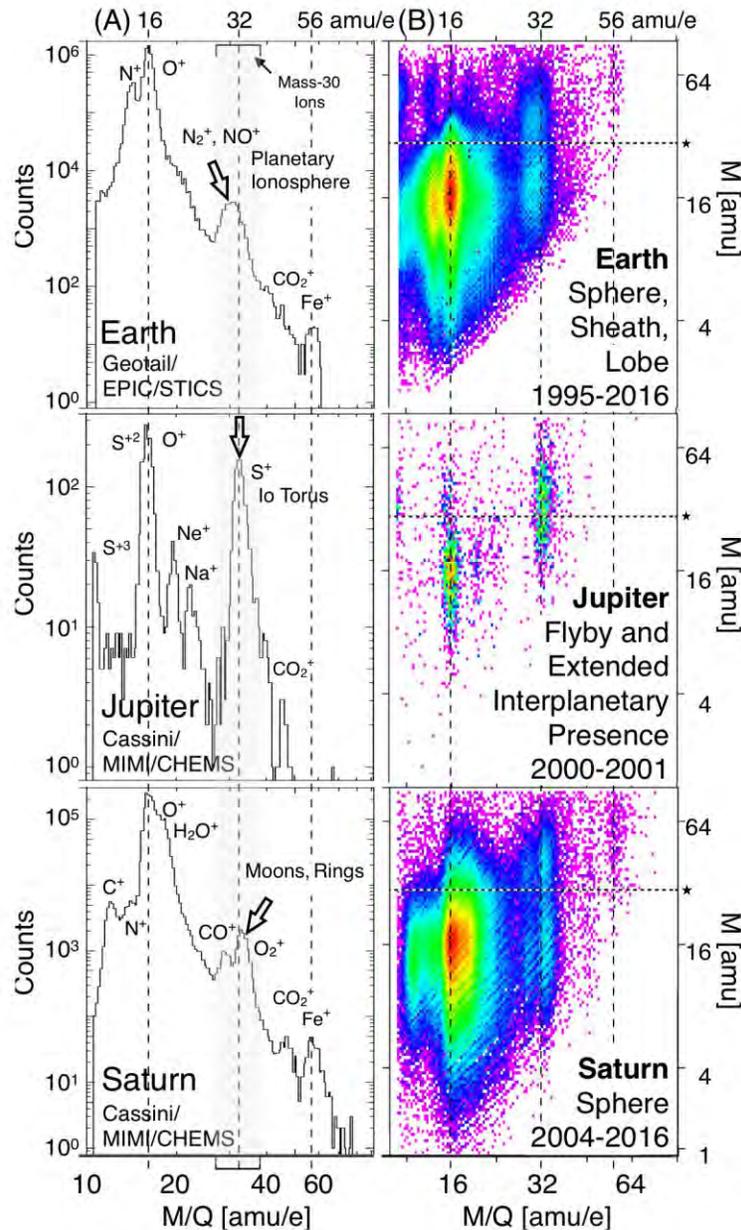


Figure 1. Heavy suprathermal (CHEMS, $\sim 83\text{--}167$ keV/e; STICS, $\sim 87\text{--}212$ keV/e) ion Pulse Height Analysis PHA data obtained by: (top) Geotail in and near Earth's magnetosphere; (middle) Cassini during its Jupiter flyby as well as in the interplanetary medium from $\sim 3\text{--}9$ AU when S^+ was measured; and (bottom) Cassini in Saturn's ≈ 20 Rs magnetosphere (see text for details). The PHA data are presented as (A, left) mass-per-charge (M/Q) histograms and (B, right) mass (M) versus M/Q color spectrograms (colorbars suppressed). Stars at right and horizontal dashed lines identify $M = 32$ amu. All data were adjusted slightly in order to center N^+ , O^+ , and S^+ on their atomic mass in order to account for instrument and spacecraft electronics differences. Mass-30 ions include $\sim 27\text{--}33$ amu/e. General sources of the Mass-30 ions at each planet are noted.

491
492 Earth results in $N^+/O^+ \sim 1$ during solar minimum (Christon et al., 2002; Mall et al., 2002).
493 Additionally, solar wind plasma clearly enters planetary magnetospheres and is subsequently
494 incorporated into their magnetospheric ion populations (Christon, Hamilton, et al., 1994;
495 Peterson et al., 1998; DiFabio, 2012), although solar wind contributions to magnetospheric
496 populations vary by planet and solar season (e.g., Peterson et al., 1981; Fujimoto et al.,
497 1996;1998; Terasawa et al., 1997; DiFabio et al., 2011). As a result of this, the proportion of
498 solar wind pickup O^+ (and/or lunar-origin pickup O^+ in the case of Earth) entering a
499 magnetosphere to the O^+ generated internally, escaping, and possibly reentering at any of the
500 magnetospheres (see e.g., Cohen et al., 2017; Sorathia et al., 2017) is not estimable from single
501 spacecraft studies such as this. Likewise, O^+ escaping from a magnetosphere may not be
502 separable from interplanetary pickup O^+ , even using full three-dimensional distribution
503 functions. At Jupiter, the presence of S^{+2} at ~ 16 amu/e in Figure 1B, middle panel signals that
504 both S and O are important energetic ions at Jupiter and dominance by one or the other may vary
505 depending on the energy range (see e.g., Haggerty et al., 2009). Regardless, in the central
506 magnetotail plasma sheet and dayside equatorial regions of each magnetosphere, O^+ is likely
507 often the dominant heavy ion at all three planets. At Jupiter, O^+ , S^+ , and Na^+ originate mostly
508 from Io; although icy Galilean satellite data show the presence of O_2 , their O_2 is not presumed to
509 necessarily escape the satellites (Johnson et al., 2004). Figure 1 shows that we observe peak
510 $O^+/S^+ < 2$ at Jupiter, while at Earth O^+/N^+ is ~ 5 , and at Saturn O^+/H_2O^+ is $\sim 2-3$. (Please note that
511 the ions in the prominent $O^+-H_2O^+$ peak at Saturn are often referred to as the water group ions, or
512 W^+ . W^+ ions contain O^+ , OH^+ , H_2O^+ , and H_3O^+ ; (see e.g., DiFabio et al., 2011, Allen et al., 2018,
513 and Martens et al., 2008). Molecular and atomic ions from the satellites and rings of Jupiter and
514 Saturn are likely the primary sources of their planet's magnetospheric O^+ populations, while, on

515 the other hand, Earth's ionosphere, not the Moon or interplanetary sources, is the source of most
516 of Earth's magnetospheric O^+ . The Ne^+ identified near Jupiter in Figure 1, is an interstellar
517 pickup ion (Gloeckler, Fisk, Geiss, et al., 2000; Gloeckler, Fisk, Zurbuchen & Schwadron,
518 2000).

519 [3.0.1] Heavy open arrows in the Figure 1 histograms indicate significant differences in the M/Q
520 distribution of Mass-30 ions at the three planets. Mass-30 ions are a small percentage of O^+ at
521 Earth and Saturn, but comparable to O^+ at Jupiter. Inside Earth's bow shock, Mass-30 ions have a
522 broad, rounded peak centered primarily below 32 amu/e suggesting outright, without any further
523 information, that they are probably dominated by N_2^+ and NO^+ , but are clearly missing a coequal
524 O_2^+ component which would have resulted in a peak level extended to and above ~ 32 amu/e. At
525 Jupiter, the atomic ion S^+ is the dominant Mass-30 ion, its peak centered on 32 amu/e. At Saturn,
526 the well documented, and well understood O_2^+ magnetospheric Mass-30 MI peak is clearly
527 centered at $M/Q > 32$ amu/e, consistent with our current new understanding of the instruments
528 presented in Section 2. The question remains, how much O_2^+ is present in Earth's magnetosphere.

529 [3.0.2] We briefly present calculations from two recent ionospheric models showing that less O_2^+
530 than either N_2^+ or NO^+ is expected to flow out of the ionosphere for outflow initiated at altitudes
531 of ~ 250 -500 km, consistent with the cited ionospheric studies and our MI observations in Earth's
532 magnetosphere. The large local time variation of ionospheric density, being about two orders of
533 magnitude higher on the dayside than on the nightside, is demonstrated in Figure 2 using ion
534 number density results from two recent ionospheric models, WACCMX (Liu et al., 2010; 2018)
535 and SAMI3 (Huba et al., 2000; 2008). Both are three-dimensional models of Earth's ionosphere
536 and thermosphere, which predict ion profiles that are generally similar to the in situ ionospheric
537 observations of Yau et al. (1993), Peterson et al. (1994), and Foss et al. (2017). Recent

538

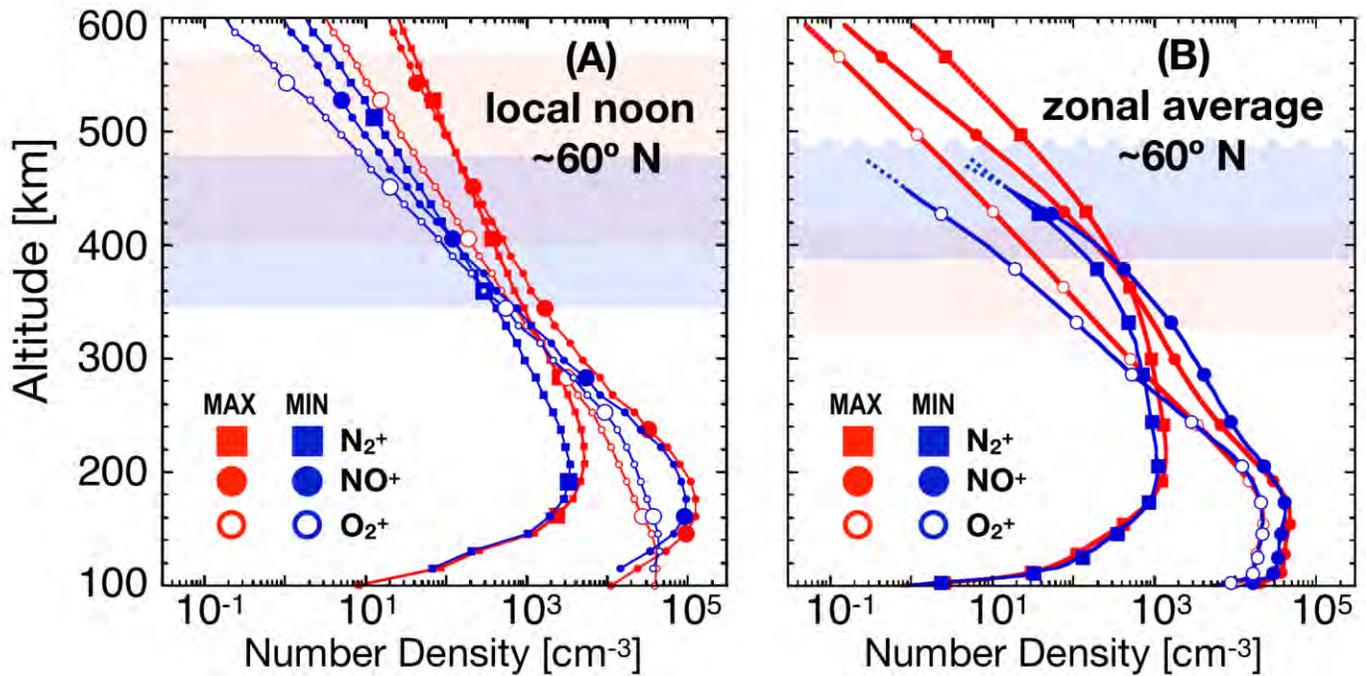


Figure 2. Earth's dayside molecular ion number density profiles of N_2^+ , NO^+ , and O_2^+ at $\sim 60^\circ$ N latitude around Spring equinox calculated for solar maximum (MAX) and minimum (MIN) conditions from (A) the SAMI3 ionosphere model near local noon and (B) the WACCM-X thermosphere/ionosphere model for a one-month narrow-latitude zonal average; see text for input parameter and run information. Unique symbols identify and differentiate the three MI species' altitude profile similarities and differences. The red and blue shaded areas, indicate altitude ranges that vary with solar cycle in which the N_2^+ , NO^+ densities are approximately equal and O_2^+ levels are somewhat lower, but not absent. This unique molecular ion composition signature is characteristic of outflowing MI (see text).

540 observations (e.g., Andersson et al., 2004, Wilson et al., 2004, Haaland et al., 2012, and Yu &
541 Ridley, 2013) collectively demonstrate that both the dayside cusp and the nightside auroral zone
542 can contribute substantial quantities of outflowing ions (from cold, sub-eV, to tens of eV
543 energies) to the total ion plasma population throughout the magnetosphere, as well as to the
544 many ionospheric origin ions that are quickly lost downtail (see e.g., Christon, Gloeckler, et al.,
545 1994). Figure 2 concisely summarizes model number densities of N_2^+ , NO^+ , and O_2^+ in
546 Earth's high latitude ionospheric source regions for solar maximum and solar minimum
547 conditions. The model time selections for Figures 2A and 2B are different: in Figure 2A with
548 SAMI3 we calculate 2-day averages for two widely different sets of conditions: first, quiet
549 geomagnetic activity during low solar activity and second, disturbed geomagnetic activity during
550 high solar activity; in Figure 2B, monthly zonal averages around times of solar maximum and
551 minimum are calculated. Calculations in Figure 2B from SAMI3 (at
552 <https://ccmc.gsfc.nasa.gov/models/modelinfo.php?model=SAMI3>) and WACCM-X (at
553 <https://www2.hao.ucar.edu/modeling/wacm-x>) show that, although O_2^+ can contribute
554 significantly between ~100-150 km, it becomes a minor component at altitudes higher than
555 ~300-450 km and latitudes $\geq 50^\circ$, locations where ionospheric outflow ion composition is
556 determined. Model outflow from these higher regions shown in panels 2A and 2B results in
557 outflowing MI dominated by nearly equal parts of N_2^+ and NO^+ , each generally more populous
558 than O_2^+ by a factor of >3 , irrespective of solar and geomagnetic activity (see Table S10 in the SI
559 for the SAMI3 and WACCM-X model run parameters and intervals). That $O_2^+/(N_2^+ + NO^+) < 1$,
560 characteristic of the ionospheric observations noted above, is shown by several other ionospheric
561 models (Koehlein, 1989; Cannata, 1990; Richards, 2013; see also Hoegy, 1991). We note further
562 that in an investigation demonstrating solar cycle variation of ionospheric MI by Richards

563 (2013), who used yet a different model, O_2^+ was shown to decrease relative to N_2^+ and NO^+
564 above ~ 280 - 290 km. Results from these various models are generally consistent, but the public
565 access to WACCM-X and SAMI3 allows interested readers to look further into the MI
566 compositional aspects demonstrated here. We now resume our investigation of the new
567 information in this report which will reveal that throughout the equatorial $\sim 9 < R < \sim 30$ Re
568 magnetosphere, outflowing ionospheric N_2^+ and NO^+ quantities are comparable, and a relatively
569 smaller amount of O_2^+ escapes overall.

570 [3.0.3] Differences between atomic and molecular ion responses are immediately apparent in the
571 instruments' color spectrograms in Figure 1B, where atomic ions at all three planets, such as N^+ ,
572 O^+ , S^+ , and Fe^+ , exhibit single-peaked Mass distributions, but the Mass-30 MIs, N_2^+ and NO^+ at
573 Earth and CO^+ and O_2^+ at Saturn, exhibit two Mass peaks as discussed in the instrument section.
574 No apparent MI instrument responses are clearly evident at Jupiter. Horizontal white, dashed
575 reference lines drawn at $M = 32$ amu in the color spectrograms (note the stars to the right of the
576 right-hand panels), show that the upper MI peaks are located at Mass values displaced ~ 25 - 40%
577 higher than the incident ion's mass, $M \sim 28$ - 32 amu. This over-estimation of a MI's total Mass,
578 discussed in Section 2, is visible in Figure 1B at Earth and Saturn for each of the Mass-30 MI
579 distributions. Although the Mass axis is only roughly calibrated, note that Jupiter's S^+ Mass
580 distribution is centered at ~ 32 amu (midway between 16 and 64 amu on the log scale axis) and
581 the O^+ distributions at all three planets peak at ~ 16 amu.

582 [3.1] Jupiter. In Jupiter's magnetosphere, where we obtained the least information, we primarily
583 address S^+ , our Mass-30 atomic ion M/Q reference fiducial. Jupiter's high energy particle
584 radiation environment appears to quickly dissociate and ionize most, if not all, molecules into
585 their component atomic ions. These molecules may include SO, SO_2 , and S_2 anticipated from Io

586 (Wilson et al., 2002), CO, CO₂, H₂O, and O₂ from Ganymede, Europa, and Callisto (e.g., Cooper
587 et al., 2001), or Jupiter family comets (Bockelée-Morvan, 2011), and/or NaCl and/or NaOH from
588 Io (McEwan et al., 2007; Kuppers and Schneider, 2000). Io's molecules result in predominantly
589 S⁺ and O⁺ at suprathermal energies, as evidenced in Figure 3. We do not observe a peak near the
590 expected locations of SO₂⁺ and S₂⁺ at M/Q ≥ 65 amu/e in or near Jupiter's magnetosphere,
591 suggesting that all ionian SO₂⁺ and S₂⁺ quickly dissociate before they can be accelerated to
592 suprathermal energies, or that our detection efficiency for the very heavy ions is too low. We
593 presume that a large amount of asteroid belt material in the form of interplanetary dust particles,
594 or IDPs, are also drawn into and present in Jupiter's intense magnetospheric radiation
595 environment. Although we would anticipate all MI to dissociate in Jupiter's magnetosphere, the
596 clear, but small, signal at ~45 amu/e in and near Jupiter's magnetosphere presents a conundrum.
597 The possible species that could result in a ~44-46 amu/e signal are: Sc⁺ (with Mass of 45 amu),
598 SiO⁺ (44 amu), CO₂⁺ (44 amu), and/or possibly SiOH⁺ (45 amu). The abundance of Sc in cosmic
599 dust, the most likely Sc source at Jupiter, is small, but Si compounds are prevalent in IDPs
600 (Grebowsky & Aikin, 2002; Plane et al., 2016). However, most, if not all, IDP material likely
601 dissociates completely into its component atoms at Jupiter and we assume it unlikely that any
602 IDP origin MIs survive and are observed in Jupiter's magnetosphere. Therefore, assuming that
603 this is likely an MI signal and remembering that MI energy loss in the carbon foil results in a
604 higher than expected M/Q value for MIs than atomic ions, the remaining 44-amu MI candidate
605 (with a ~45 amu/e M/Q value) is CO₂⁺. Therefore, this small, distinct peak may be evidence of
606 CO₂⁺ derived from Jupiter's Galilean moons (Hibbitts et al., 2000; Gomis and Strazzulla, 2005)
607 or Jupiter family comets (Bockelée-Morvan, 2011). Ne⁺, Mg⁺, and some O⁺ are interplanetary
608 pickup ions (Kallenbach et al., 2000) as the interplanetary data in the bottom panels suggest,

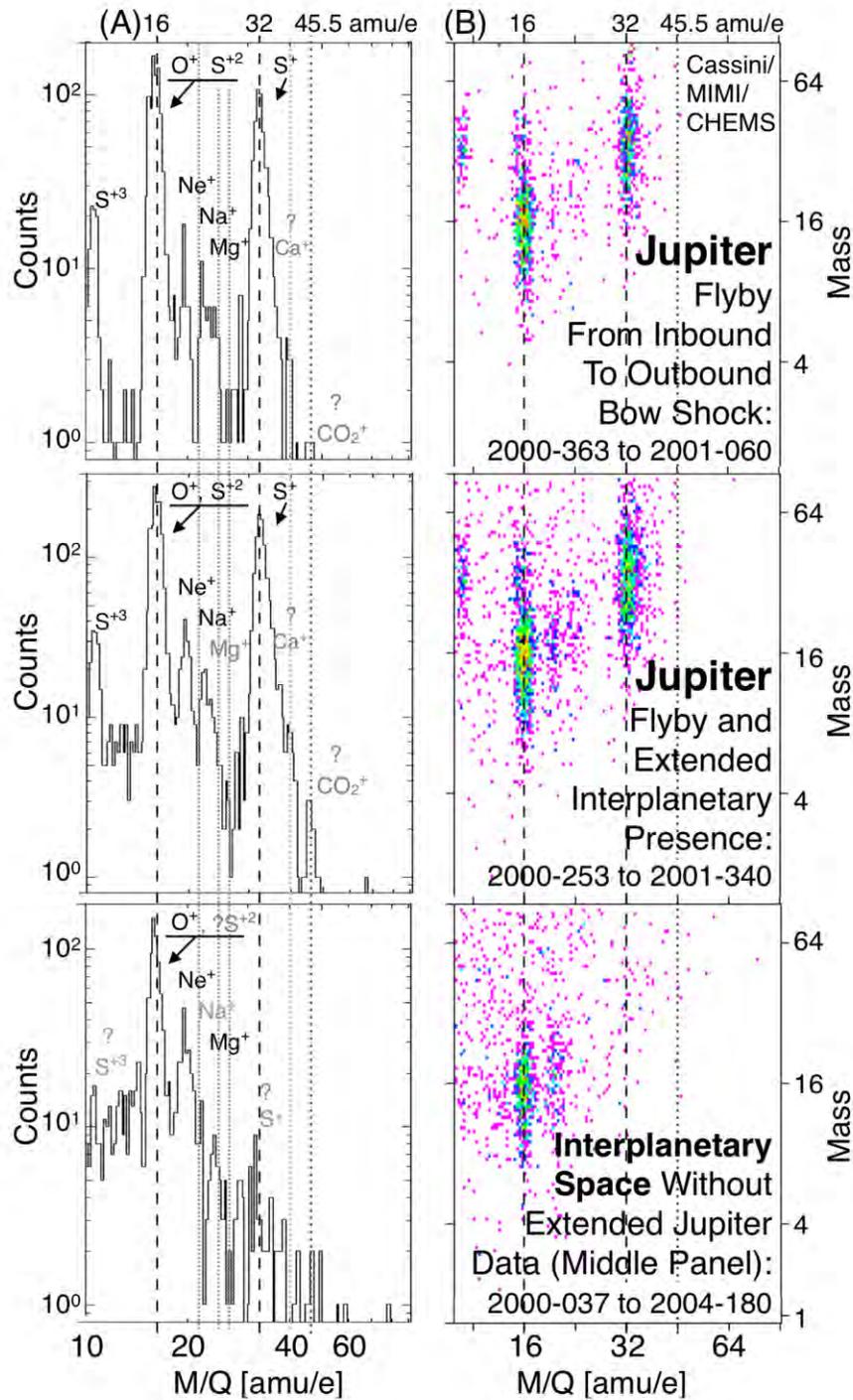


Figure 3. Cassini's measurements of jovian and solar wind/interplanetary medium suprathermal (~ 83 - 167 keV/e) ion populations during: (top) the Jupiter flyby between inbound and outbound bow shock encounters; (middle) the extended interval over which S⁺ from Jupiter was detected in the solar wind before, during, and after the Jupiter flyby; and (bottom) the ~ 3 year Cassini cruise to Saturn, excluding the extended ~ 1 -year interval of jovian fluxes from the middle panel. The tentative identification of jovian magnetospheric Ca⁺ and CO₂⁺ are noted by lighter dotted lines.

612 although magnetospheric Mg^+ might also derive from outflowing IDP material ablated in the
613 ionosphere of Jupiter (Kim et al., 2001) and/or possibly that of Ganymede, whose diameter at
614 ~ 0.4 times that of Earth, from which it would be easier to escape. (However, the lack of observed
615 Fe^+ near Jupiter makes an IDP source seem less likely.) Jupiter's magnetospheric Na^+ , O^+ , and S^+
616 likely derive from Io (Wilson et al., 2002; Bodisch et al., 2017), while the other icy Galilean
617 moons may also contribute to O^+ (Strobel & Yung, 1979). Jupiter's primary contribution to this
618 study is the strong, clear S^+ atomic-ion distribution at 32 amu/e which we use as our Mass-30
619 M/Q reference fiducial. S^+ became increasingly discernible after day 2000-253, ~ 0.7 AU from
620 Jupiter (Krimigis et al., 2002). S^+ remained intermittently present out to ~ 6.6 AU and was not
621 detected after days 325-340, 2001 (see Figure 3 and Table S2 in the SI). Note also, that S^{+2} and
622 S^{+3} components, centered at ~ 32 amu and $\sim 10-11$ and ~ 16 amu/e, respectively, are evident in the
623 upper and middle M-M/Q color spectrograms - a component which would otherwise be masked
624 by O^+ if only M/Q measurements without the accompanying Mass measurements were available.
625 A trace ion signal at $\sim 39-40$ amu/e present in and near Jupiter's magnetosphere (3A, 3B), but not
626 in interplanetary space (3C), may represent $^{39}\text{K}^+ \parallel ^{40}\text{Ar}^+ \parallel ^{40}\text{Ca}^+$ (please note, that we use the
627 logical symbol " \parallel " below to represent the phrase "and/or" when there are two or more candidate
628 ions that cannot be differentiated). Only $^{33/38}\text{Ar}$ and CO_2 have currently been identified in
629 Jupiter's atmosphere (Mahaffy et al., 2000; Kunde et al., 2004). However, although cosmic dust
630 and Jupiter Family comets possibly containing K, Ca, SiO, and CO_2 likely interact with Jupiter's
631 magnetosphere, we will restrict our discussions/references of Jovian Mass-40 ions to Ca^+ and
632 CO_2^+ .

633 [3.2.0] MI at Saturn and Earth. Mass-30 ion data obtained at Saturn from late-2004 to late-2007
634 are plotted vertically in Figures 4A and 4B for ease of comparison: (4A) inside Saturn's

635 magnetosphere at $\sim 4 < R < 20 R_S$, in the Sphere (Christon et al., 2013; 2014; 2015) and (4B) in
636 the Solar Wind outside Saturn's magnetosphere at $R > R_{BS}$ (the Saturn bow shock distance).
637 Cassini magnetopause and bow shock crossings were determined by a Cassini magnetosphere
638 and plasma science research team for the years 2004-2007. Lists of the intervals collected for this
639 study are in the SI. Note that we refer to Saturn's $R < 20 R_S$ and $R > R_{BS}$ data as Saturn's
640 "Sphere" and "Solar Wind" data, respectively. The top panel of Figure 2 shows that Earth's
641 Mass-30 molecular ion mass distributions, $^{28}\text{Ma}^+$ and $^{30}\text{Ma}^+$, overlap significantly. Therefore, the
642 observationally determined distributions in M we show in Figure 4 and discuss below are
643 collected in narrow M/Q range selections near the center of their M/Q distributions in order to
644 limit background spillover from nearby species. Spillover from $^{30}\text{Ma}^+$ ions into $^{28}\text{Ma}^+$ ions is
645 unlikely, as TOF variations are physically limited toward longer TOFs. The curves for $R < 20 R_S$
646 are smoothed fits to the PHA histogram. As a result of the uncertainties associated with defining
647 a best fit to the low counting statistics of the $R > R_{BS}$ data, we simply redrew the fitting curves
648 from $R < 20 R_S$ at appropriate levels for the $R > R_{BS}$ data in order to highlight these ions'
649 apparently consistent spectral shape similarity inside and outside Saturn's magnetosphere. For
650 clarity, representative count uncertainties are only shown at two values near the right-hand axis
651 in Figure 4B. No atomic ions appear to be present in these $^{28}\text{Ma}^+$ and $^{32}\text{Ma}^+$ data collections
652 either inside or outside Saturn's magnetosphere, only molecular ions. The $^{28}\text{Ma}^+$ (light blue) and
653 $^{32}\text{Ma}^+$ (rose) Mass-30 ions likely dominated by CO^+ and O_2^+ , respectively, are more widely
654 separated so there is somewhat less spillover at Saturn (see Figure S8 in the SI). While the
655 species identification of O_2^+ at $^{32}\text{Ma}^+$ is much more certain than that of CO^+ at $^{28}\text{Ma}^+$ (Christon et
656 al., 2013, 2015; Hamilton and Christon, 2018), noncommittal species descriptors are used for all
657 molecular ion species in this Figure. Both MI species at Saturn display a clear two-lobed shape

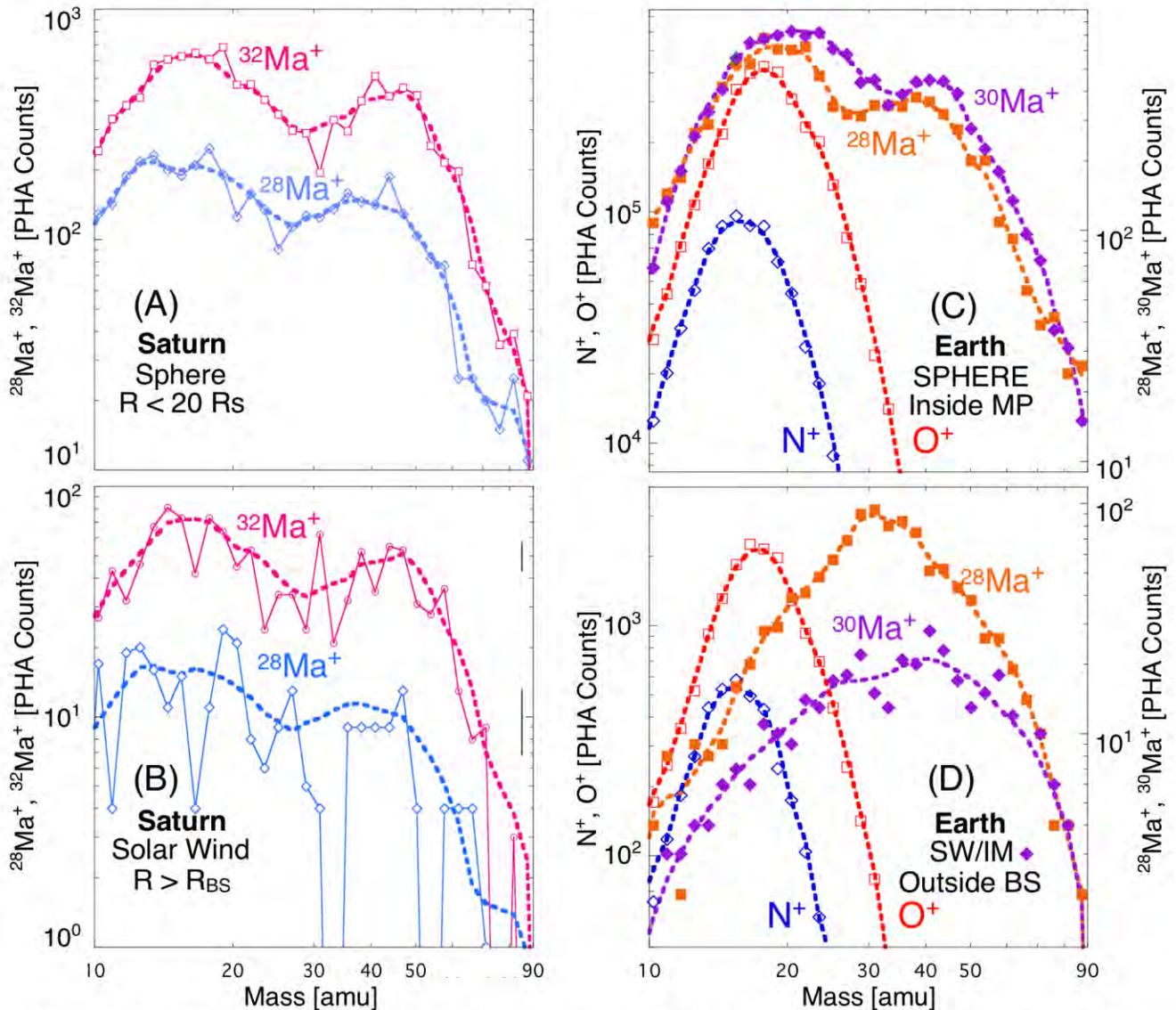


Figure 4. Mass distributions of Mass-30 suprathermal (CHEMS at Saturn, ~ 83 – 167 keV/e; STICS at Earth, ~ 87 – 212 keV/e) ion data at Saturn and Earth highlight atomic and molecular ion (MI) differences. Data points and smoothed fits are shown. The indeterminate species descriptors " $^{28}\text{Ma}^+$ " and " $^{30}\text{Ma}^+$ " are used as ion species channel names in this figure for primarily singly charged Mass-30 ions selected in narrow M/Q ranges near 28 and 30 amu/e because it is clear that there is an admixture of ion species in at least two cases. The identifier "Ma" represents a M - M/Q spectrogram selection over a limited M/Q , but wide M , range in which the selected ions' species identification is sometimes complex (see text for the full discussion). Mass histograms of $^{28}\text{Ma}^+$, $^{30}\text{Ma}^+$, and/or $^{32}\text{Ma}^+$, heavy ion species having mass numbers of 28, 30, and 32 amu, respectively, are likely dominated by CO^+ (or N_2^+), NO^+ , and O_2^+ . At Saturn, data from mid-2004 through 2007 containing: (A) all intervals when Cassini was in Saturn's magnetosphere, the Sphere, at $\sim 4 < R < 20 R_S$; and (B) only intervals in the solar wind for which an outbound and a subsequent inbound bow shock (BS) crossing were identified, including travel to and from apoapsis, thus placing Cassini in the Solar Wind near Saturn at $R > R_{BS}$, the distance of Cassini's bow shock encounters. Representative uncertainties are shown near the right vertical axis in (B). At Earth, data from early-1995 through 2015 are shown for intervals when Geotail was in (C) the SPHERE, Earth's magnetosphere, and (D) the SW/IM, the near Earth, unshocked, solar wind of the interplanetary medium. See text for details.

661 in the magnetosphere and, most likely, in the solar wind, although the statistics are poorer there
662 than in the magnetosphere. The bimodal MI shapes at Saturn are consistent with those observed
663 in Earth's magnetosphere (panel 4C), which suggests measurements of predominantly diatomic
664 Mass-30 MI (see Section 2) inside both magnetospheres. Figures 4A and 4C demonstrate that
665 there is no significant difference in instrument response characteristics to MI inside the two
666 planets' magnetospheres, and that, other than two MI species, we do not detect any evidence for
667 Mass-30 lunar PUI (Al^+ , Si^+ , or P^+) at measurable levels in Earth's SPHERE plasma regime.
668 Future selective analysis might help reveal times when the atomic lunar PUI in this Mass range
669 are visible in the SPHERE, but that is not our current objective.

670 [3.2.1] Earth. Figures 4C and 4D show the Mass-30 ion mass distributions from Earth's
671 magnetosphere, SPHERE, and the near-Earth solar wind, SW/IM, respectively. The shapes of the
672 Mass-30 ions, $^{28}\text{Mg}^+$ (orange) and $^{30}\text{Mg}^+$ (purple), compared to those of the dominant atomic ions
673 O^+ (red) and N^+ (blue) at Earth and to the MI data at Saturn in Figures 4A and 4B, indicate that
674 Earth's SPHERE data in Figure 4C contain clear MI responses similar to those from Saturn's
675 Sphere. However, Earth's SW/IM Mass-30 channels in Figure 4D do not contain clear MI
676 responses. Review of Figure 2 suggests that there is no detectable spillover of O^+ into the MI
677 distributions at either planet. Unlike the situation at Saturn, where Sphere and Solar Wind shapes
678 are nominally similar, the shapes of Earth's SW/IM $^{28}\text{Mg}^+$ and $^{30}\text{Mg}^+$ distributions are not similar
679 to the shapes of either Saturn's or Earth's magnetospheric MI distributions or any single atomic
680 ion's distribution. The less sharply peaked $^{30}\text{Mg}^+$ SW/IM distribution's shape is only slightly
681 more similar to the MI shapes in the SPHERE than to the shape of the SW/IM $^{28}\text{Mg}^+$. As an
682 operating presumption, we demonstrate below that the difference between Earth's SPHERE and
683 SW/IM Mass-30 ion distributions indicates the presence of lunar PUI responses in Earth's $^{28}\text{Mg}^+$

684 and $^{30}\text{Ma}^+$ SW/IM data. Relative mixtures of PUI and ionospheric contributions present in the
685 two ion channels are not the same. Different portions of the three lunar PUIs' Mass distributions
686 contribute to the two channels, specifically, Al^+ and Si^+ in $^{28}\text{Ma}^+$, and Si^+ and P^+ in $^{30}\text{Ma}^+$,
687 consistent with earlier lunar PUI composition measurements. We now briefly discuss relevant
688 aspects of Mass-30 ion comparisons at Earth and Saturn to clarify the effects of the lunar PUI
689 background interference on our MI observations.

690 [3.2.2]. Figure 5 compares long-term average heavy ion composition measurements normalized
691 to O^+ in the near-Earth and near-Saturn plasma regimes. Histograms of magnetospheric data are
692 shown in red (measured using red axis values on the left), contrasted with magnetosheath and/or
693 solar wind data in blue (measured using blue axis values on the right). As noted above, the
694 plasma regime label terminologies for Earth and Saturn data are individualized, constructed to be
695 uniquely different visually, so that textual references to each planet's plasma regimes should not
696 to be mistaken for the other's and the reader can clearly and easily differentiate between the two
697 planets in our discussions and comparisons. The labeling differences also highlight the different
698 plasma regime identification procedures at the two planets (see Section 2 and Christon et al.,
699 2013; 2017). Shown are ~ 21 years of data at Earth and ~ 3 years at Saturn, so that vertical scaling
700 is consequently different for the two planet's panels. However, vertical scaling for each panel's
701 right and left axes is identical, with the deficit on the right hand axes left open to highlight
702 intensity differences. Dashed fiducial lines at 16, 32, and 56 amu/e are drawn to simplify
703 comparisons (color spectrograms for these Earth and Saturn data are in Figure S3 and nominally
704 drawn, unnormalized individual panels are in Figure S13 in the SI). Despite the vast difference in
705 the Earth and Saturn magnetospheric sizes, the ratios of Mass-16 atomic ion levels in the two
706 magnetospheres compared to their levels in the planets' nearby sheath and solar wind are very

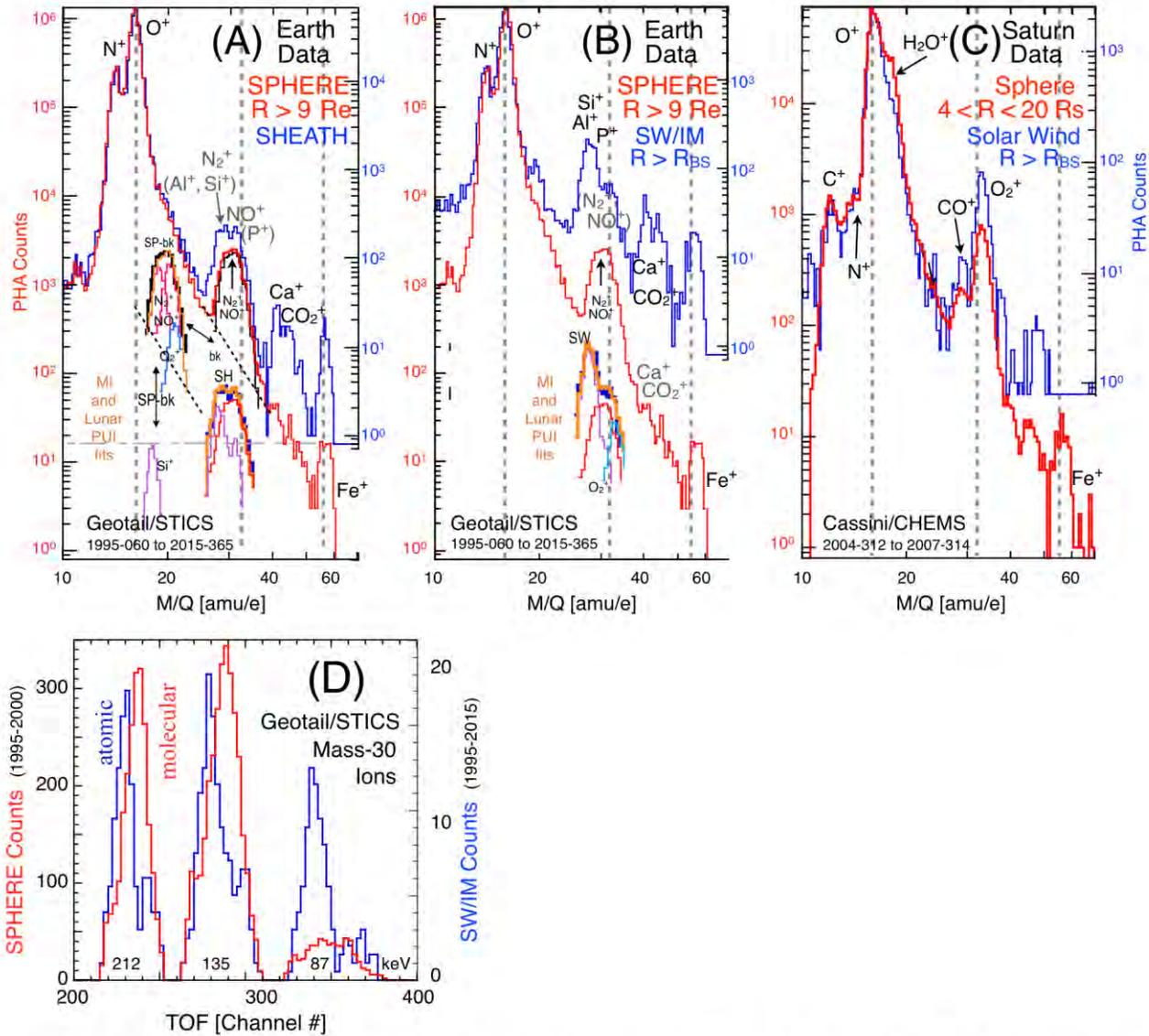


Figure 5. Long-term suprathermal (CHEMS, ~83-167 keV/e; STICS, ~87–212 keV/e) heavy ion composition measurements in near-Earth (A, B) and near-Saturn (C) plasma regimes are normalized to O⁺ and compared directly. The vertical axes of SPHERE data (red left axis labels), SHEATH, and SW/IM data (blue right axis labels) are offset in order to compare selected species' importance relative to O⁺. Shown are ~21 continuous years of Earth data and samples from ~3 years of select Solar Wind and contemporaneous Sphere intervals at Saturn. Note the distinct plasma regime labeling which differentiates the two planets' regimes in the text and highlights their different selection procedures. Vertical fiducial lines at 16, 32, and 56 amu/e are drawn to simplify visual comparison. At Earth, the Mass-30 SHEATH (A: blue) distribution is intermediate between that of the SPHERE (A, B: red) and SW/IM (B: blue). Floating insets (near bottom of A and B) show rough visual fits (orange) to the background (bk) subtracted SPHERE (SP-bk, black), SHEATH (SH), and SW/IM (SW, at distances R > R_{BS}, the distance to the bow shock) data. The fits use SPHERE MI (A: rose for N₂⁺ and NO⁺, light blue for O₂⁺) and select distributions of lunar pickup ions, PUI, and/or, in the case of the SPHERE, ionospheric Si⁺ (purple) at the Fe⁺ level (long-dash line). Prominent lunar PUI species are identified. (D) In these instruments, dominant SPHERE molecular ion (MI) dissociation energy loss in the carbon foil result in slightly longer times-of-flight (TOF) with subsequently higher M/Q values than for the dominant SW/IM atomic ions of the same mass. See text.

710 similar as shown in Figures 5B and 5C; that is, at Earth, the ratios $N^+/O^+_{\text{SPHERE}} \approx N^+/O^+_{\text{SHEATH}} \approx$
711 $N^+/O^+_{\text{SW/IM}}$, and at Saturn, $N^+/O^+_{\text{Sphere}} \approx N^+/O^+_{\text{SolarWind}}$ and $C^+/O^+_{\text{Sphere}} \approx C^+/O^+_{\text{SolarWind}}$. Saturn's
712 Sheath data are excluded for brevity. Of note, H_2O^+ is the only ion in these comparisons whose
713 PHA counts relative to O^+ can be seen to decrease significantly outside the magnetosphere rather
714 than increase (this also likely occurs for OH^+ , but OH^+ is masked by O^+ and H_2O^+). The Mass-30
715 data at Saturn are most informative because the escape of its MI into the Solar Wind appears to
716 be uncomplicated (as shown above in Figures 4A and 4B), in that the escaped MI measurements
717 in the Solar Wind are not masked by other Mass-30 ion species; the same MI species measured
718 in the Sphere are those measured in the Solar Wind outside Saturn's bow shock. Mass-30 MIs are
719 dominated by equal amounts of N_2^+ and NO^+ in Earth's magnetosphere and primarily by O_2^+ at
720 Saturn, except at equinox (Christon et al., 2013), with overall average $MI/O^+ \sim 0.1\%$ at each.
721 That normalization to O^+ does not introduce uncertainty in this presentation of the relative
722 importance of escaped magnetospheric ions and lunar PUIs is supported by the similarity of
723 Mass-16 atomic ions' relative intensities inside and outside these two magnetospheres noted
724 above. (Interested readers can find Figure 5 data plotted separately with identical vertical axes in
725 Figure S13 in the SI.) This similarity suggests that the magnetospheric and solar wind O^+ peaks
726 are both dominated by magnetospheric ions at both planets and the relative proportions of the
727 Mass-16 atomic ions remain similar, as might be anticipated for escape of atomic ions of similar
728 mass and charge. The Figure 5 comparisons are constructed more for rough qualitative, not
729 precise quantitative, comparisons as the amount of data at Earth is more comprehensive than at
730 Saturn and, for this initial report we use fairly rudimentary fitting procedures. Mass-16 atomic
731 ion ratios (N^+ to O^+ at Earth and C^+ and N^+ to O^+ at Saturn) are nearly identical in the different
732 regimes, suggesting similar escape probabilities and solar wind interactions for the $^{16}M^+$ ions.

733 This does not imply that the magnetospheric escape mechanisms are identical, although they may
734 be, irrespective of the factor of ~ 10 difference in magnetospheric scales. For Mass-30 ions the
735 detection situation is different, being complicated at Earth by the presence of the lunar Mass-30
736 PUI. The nearly flat, double-peaked Mass-30 ion SHEATH PHA distribution (blue in 5A) is
737 intermediate between the rounder SPHERE PHA distribution (red in 5A and 5B), with mostly
738 N_2^+ and NO^+ , and the distinctly different SW/IM PHA distribution (blue in 5B), dominated by
739 the narrow lunar PUI peak centered near ~ 28 amu/e. The flat-topped SHEATH feature has
740 significant contributions from lunar Si^+ and Al^+ PUI. We now determine rough estimates of the
741 composition of Earth's Mass-30 ion peaks.

742 [3.2.3] The insets in Figures 5A and 5B demonstrate the superposition of the model distributions
743 used to visually determine fits (orange in insets) approximating Earth's Mass-30 ion composition
744 in the different plasma regimes (separate larger plots with identical axes are in Figure S13 in the
745 SI). Fits are made to the background-subtracted SPHERE (black in inset SP-bk), the SHEATH
746 (blue in inset SH), and the SW/IM (blue in inset SW) Mass-30 ions. SP-bk is the only inset
747 plotted at the same count level as the data, first under the MI peak of the SPHERE data (red
748 curve) near ~ 32 amu/e, and then offset to the left near ~ 20 amu/e with its component fitting
749 curves; the SH inset is plotted lower than the SHEATH data by a factor of ~ 40 , at the correct
750 M/Q location. Precise quantification of all ion components' contributions in this Figure has not
751 been attempted. For fitting shapes, we use (a) Earth's O^+ SHEATH and Saturn's O_2^+ Solar Wind
752 M/Q data peaks as representative atomic and molecular M/Q ion responses, respectively, to
753 model the different regimes' Mass-30 ion shapes, and (b) the SPHERE MI distribution to
754 represent escaped magnetospheric MI in the SHEATH and SW/IM, in which we did not attempt
755 to quantify relative magnetospheric N_2^+ , NO^+ , and O_2^+ numbers because the relative amounts of

756 lunar Al^+ , Si^+ , and P^+ is not necessarily well determined as is shown below. Assuming an
757 exponential decrease, the diagonal dashed black line in Figure 5A estimates the SPHERE's O^+
758 high-M/Q tail underlying the SPHERE's Mass-30 peak. Subtracting this estimate from the
759 SPHERE's Mass-30 peak creates the background-subtracted 'SP-bk' peak, the black histogram
760 underlying the SPHERE Mass-30 peak and in the SP-bk inset. SP-bk is initially fit with only N_2^+
761 and NO^+ (both rose-colored) and then with O_2^+ (light-blue colored) fitting shapes, resulting in
762 relative proportions $\sim 43\% \text{N}_2^+$, $\sim 46\% \text{NO}^+$, and $\sim 10\% \text{O}_2^+$. Figures 5A and 5B reveal a distinct
763 transition in Earth's Mass-30 ions from only ionospheric origin MI being apparent in the
764 SPHERE, to mixed escaped magnetospheric MI (still dominant) and lunar atomic PUI in the
765 SHEATH, and then to lunar PUI dominance in the SW/IM. The SHEATH and SW/IM insets use
766 a reduced level escaped-SPHERE MI shape (red) for N_2^+ and NO^+ , and different admixtures of
767 Al^+ , Si^+ , and P^+ , the relevant lunar PUI species (purple), in proportions that allow a reasonable
768 overall fit to the Mass-30 data shapes. The relative importance of escaped magnetospheric MI
769 and atomic lunar PUI reverses moving from the SHEATH (with $\sim 64\%$ escaped MI and $\sim 36\%$
770 PUI) into the SW/IM (with only $\sim 17\%$ escaped MI and $\sim 83\%$ PUI). Our fit to the SW/IM Mass-
771 30 peak, demonstrates the necessity of using a relatively enhanced level of O_2^+ to approximate
772 the SW/IM distribution's shape. Given that (1) we cannot uniquely identify peaks for the
773 individual presumed ion species from ~ 27 to 33 amu/e, that is for Al^+ , Si^+ , N_2^+ , NO^+ and/or P^+ ,
774 and (2) the counting uncertainty at these count levels is not negligible, we can vary the relative
775 lunar PUI and MI levels in the SW/IM widely. We found that the Mall et al. (1998) lunar PUI
776 distribution fails to approximate the SW/IM data any better than other lunar PUI choices (see
777 Figure S9 in the SI). Nevertheless, one fact which we will return to below is clear: a low level of
778 PHA counts which we can explain using the model O_2^+ peak is clearly detected in the SW/IM

779 and which cannot be explained by any other currently identified ionospheric or lunar origin ion
780 species. Additionally, as shown in the SW inset in Figure 5B, the model O_2^+ count level relative
781 to N_2^+ and NO^+ is enhanced over its magnetospheric level (see also Figure S13 in the SI). Once
782 the MI are exposed to solar wind flows in the SHEATH and SW/IM, their relative impact
783 dissociation characteristics may become important. However, this study is not designed to
784 answer all questions related to these ions.

785 [3.2.4] Approximately equal ionospheric Si^+ and Fe^+ abundances result from meteoric ablation
786 (Vondrak et al., 2008; Plane, 2012) at Earth. As N_2^+ and NO^+ are commonly observed in
787 ionospheric outflow, Si^+ , with a similar mass, should also be observed - possibly more often than
788 the observed Fe^+ , attributed to the ionospheric Fe^+ derived from meteoroids. In the background-
789 subtracted SPHERE inset of panel 5A, SP-bk, we show the effect of including a Si^+ component
790 (purple) at the same level as the SPHERE Fe^+ . This addition of Si^+ to the SP-bk fit produces a
791 negligible (0.7%) effect on the amount of N_2^+ needed for a good fit (we note further that the
792 addition of Si^+ degraded our subsequent total SP-bk fitting attempts). Since the O^+ background
793 subtraction results in larger uncertainties at the leading edge of the SP-bk data, ~ 28 amu/e, the
794 efficacy of adding Si^+ is very difficult to ascertain with the present data set. Si^+ is also a principal
795 observed lunar PUI (Hilchenbach et al., 1992; Mall et al., 1998). As anticipated from lunar
796 sample secondary ion mass spectrum, SIMS, studies (Elphic et al., 1991; Dukes and Baragiola,
797 2015), lunar PUI Fe^+ is expected to be present near the Moon at slightly lower levels than Si^+ .
798 However, while lunar Si^+ PUIs are observed from the Moon to the magnetopause, no Fe^+ was
799 observed in a concerted campaign of near Moon PUI measurements with an instrument
800 functionally identical to ours (Mall et al., 1998; Kirsch et al., 1998).

801 [3.2.5] By normalizing the plasma regime data to their respective peak O^+ counts, we focus

802 attention on species' abundances relative to O^+ . One consequence is that the 1-count levels in
803 Earth's SHEATH and SW/IM (blue curves) appear progressively higher compared to the
804 SPHERE (red curves) in panels 5A and 5B, reflecting a progressively significant decrease in O^+
805 counts with distance from the SPHERE. (Unnormalized versions of the Figure 5 panels are in
806 Figure S13 in the SI.) The same O^+ decrease is apparent for the Sphere (red curve) to Solar Wind
807 (blue curve) comparison at Saturn in panel 5C. That the ratios of N^+ and C^+ to O^+ at Saturn and
808 N^+ to O^+ at Earth remain approximately constant on escape suggests similar magnetospheric
809 escape processes and paths for these heavy atomic ions at both planets, but not necessarily
810 similar specific processes. Secondly, the Mass-30 ion levels at both planets do not decrease as
811 much as the atomic ion levels suggesting fewer escape losses for the MI, although the presence
812 of lunar PUIs masking escaped MI at Earth complicates a simple, straightforward comparison.
813 Therefore, we address the simpler situation of Saturn's Mass-30 MI first.

814 [3.2.6] Figure 5C compares M/Q histograms of Saturn's Sphere and Solar Wind data. We
815 restricted our survey at Saturn to the Solar Wind regime because magnetopause and bow shock
816 motions appeared to render the Sheath data more difficult to isolate. Saturn's O_2^+ peaks in the
817 Sphere and Solar Wind show no significant difference in overall shape, whereas the Sphere CO^+
818 peak is not sufficiently higher than the local background count level to accurately determine a
819 possible change in shape, given the limited sample intervals currently available. As noted above,
820 Saturn's C^+ and N^+ ratios to O^+ in the Sphere and Solar Wind are comparable. Conversely, both
821 CO^+ and O_2^+ exhibit relative peak count level increases with respect to O^+ . Larger CO^+ and O_2^+
822 gyroradii with respect to C^+ , N^+ , and O^+ are consistent with these MI having larger
823 diffusion/transport coefficients than the lower mass ions (e.g., Scholer et al., 2000), resulting in
824 less MI flux decrease relative to O^+ . Probable CO_2^+ in Saturn's Sphere does not appear in the

825 Solar Wind. However, a higher M/Q species evident at ~45-50 amu/e in the Solar Wind, does not
826 appear to have a cognate in the Sphere. One might hypothesize that this ion species, close to the
827 mass of Ti^+ (M/Q = 48 amu/e), may be related to Phoebe and its pervasive ring (Verbischer et
828 al., 2009) and does not appear to enter Saturn's magnetosphere in a manner similar to the
829 apparent difficulty of lunar PUI near Earth to pervade Earth's magnetosphere. Note that Fe^+ ,
830 clearly observed in the Sphere is absent from the Solar Wind samples we collected for this study.
831 Given the size of Saturn's magnetosphere with respect to Earth's, Fe^+ might be less likely than
832 Mass-30 MIs, for example, expected to escape Saturn's magnetosphere than Earth's, on account
833 of its larger gyroradius, although the opposite seems to be the case (see e.g., Mauk et al., 2019).
834 These preliminary observations suggest that further collection and research of solar wind and
835 magnetosheath ion data near Saturn is needed to determine: (a) extant ion species, and (b)
836 whether any Fe^+ escapes from Saturn's magnetosphere. One must remember that the dimensions
837 of Saturn's magnetosphere are approximately an order of magnitude larger than Earth's, that is, 1
838 $R_s \sim 60,300$ km, whereas $1 R_e = 6378$ km, and $R_{\text{MP,Saturn}} \sim 22 R_s$, whereas $R_{\text{MP,Earth}} \sim 9-10 R_e$, so
839 The scale of Saturn's system may play a role in these observational results. We now address
840 Saturn's H_2O^+ component which shows a significant decrease relative to O^+ not seen for any
841 other ion at Saturn or Earth.

842 [3.2.7] We return to the apparent loss of H_2O^+ in the Solar Wind near Saturn. Figure 5C shows
843 that H_2O^+ is depleted with respect to O^+ in the Solar Wind compared to their relative values in
844 the Sphere, whereas CO^+ and O_2^+ are relatively enhanced in the Solar Wind. As this larger
845 relative deficit occurs only for H_2O^+ , we interpreted this as likely resulting from stronger solar
846 wind impact dissociation for H_2O^+ than for either CO^+ or O_2^+ , resulting from the lower H_2O^+
847 bond energy. (Please note that OH^+ , also present in the W^+ peak, probably dissociates similarly,

848 as its bond energy is lower than that of H_2O^+ ; see Table S12 in the SI. However, in this work, we
849 have not currently attempted to determine the OH^+ presence at Saturn, be it either inside or
850 outside it's magnetosphere.) The Mass-16 atomic ion ratios in Saturn's Solar Wind data are
851 similar those same ratios in Saturn's Sphere data in a manner similar to the situation for N^+ and
852 O^+ at Earth (see Figures 5B and 5C), suggesting that the O^+ peak in the near-Saturn Solar Wind
853 beyond Saturn's bow shock is likely dominated by Saturn's escaped O^+ , not interplanetary PUI
854 O^+ . Note that all Sphere data from late-2004 through 2007 is shown in Figure 5C (the species
855 ratios for only those Saturn Sphere orbits adjacent to the Solar Wind intervals of Figure 5C are
856 very similar to the overall sum but have poorer statistics, see Figure S11). At and near Saturn,
857 Cassini and CHEMS were fully operational with near-continuous data recording/transmission,
858 whereas, during the cruise interval data transmission was at intermittent (see Section 2). Because
859 of these different CHEMS operational situations, we have not yet attempted to directly compare
860 these O^+ fluxes to nominal interplanetary data, given the possibly error-prone process of
861 estimating the interplanetary O^+ fluxes during Cassini's cruise to Saturn which makes a reliable
862 comparison difficult. Clearly, the magnetospheric escape processes at Saturn may be
863 complicated and a more detailed analysis should be pursued in the future when additional Cassini
864 bow shock and magnetopause crossing identifications near Saturn have been determined and
865 verified. Nevertheless, the initial bow shock and magnetopause crossing identifications at Saturn
866 have been critical in enabling this analysis, and our considerations and interpretation of the
867 Mass-30 ions' identity outside the planets' magnetospheres. The data have demonstrated that: (A)
868 the peak of O_2^+ M/Q PHA distributions are clearly not centered at the MI's atomic mass, but
869 displaced to $M/Q > 32$ amu/e both inside and outside Saturn's magnetosphere, and (B) the
870 overall shape of the MI PHA Mass distributions are rather similar inside and outside Saturn's

871 magnetosphere, very different observational environments.

872 [3.2.8] Figure 5D shows the clear TOF differences between Mass-30 ions in Earth's SPHERE
873 and SW/IM regimes (collected over ~6 years and ~21 years, respectively) at three widely spaced
874 electrostatic deflection step voltages. The TOFs of SPHERE MI data (red), mostly N_2^+ and NO^+ ,
875 are generally longer than the TOFs of SW/IM data (blue), where there is a significant
876 contribution from atomic Si^+ lunar PUIs. As explained above in Section 2, MIs travel slower
877 than the atomic ions on account of losing additional energy in the carbon foil. These data
878 demonstrate the significant differences between atomic and molecular ion responses and will be
879 useful in separating the lunar and terrestrial origin ions in subsequent, dedicated studies.

880 Geomagnetic and Solar Activity Dependence at Earth

881 [3.3.0] Geomagnetic Activity. Figure 6 shows the overall geomagnetic and solar activity
882 dependence of long-term average 3-hr PHA count sums of the MI channels, N^+ , O^+ , and Fe^+ .
883 These 3-hr PHA count averages are rough guides to different species' occurrence rates, likely
884 more accurate at low levels and underestimates of actual species rates at their highest levels.
885 However, they provide guidance as to species' flux dependence on geomagnetic and solar
886 activity. Data collected over all regimes are plotted versus K_p in Figures (6A) and F10.7 in (6C)
887 with $^{28}Mq^+$ and $^{30}Mq^+$ ions separated. K_p averages are taken over the -, o, + range of integer K_p
888 values, where, for example, $K_p = 3$ in the plot includes $K_p = 3-$, $3o$, and $3+$. Parallel heavy
889 dashed lines in (6A) and (6C) are drawn parallel to the approximate N^+ and O^+ slopes at high K_p
890 and at $F10.7 > 100 \text{ } 10^{22} \text{ W/m}^2/\text{Hz}$, respectively. These lines represent the nominal moderate-to-
891 high activity ionospheric outflow rate increase of dominant heavy ionospheric atomic ions with
892 respect to K_p and $F10.7$, that is, the terminal outflow rate. They are meant to guide the eye and to
893 highlight similarities and differences of ion group geomagnetic and solar activity dependences.

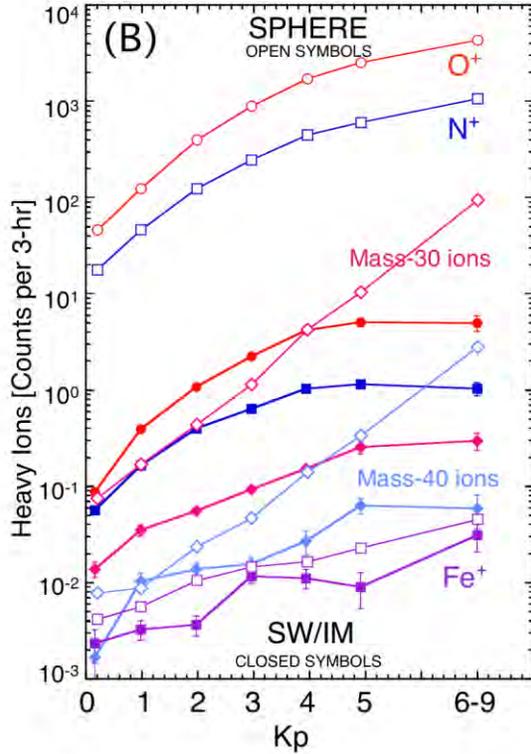
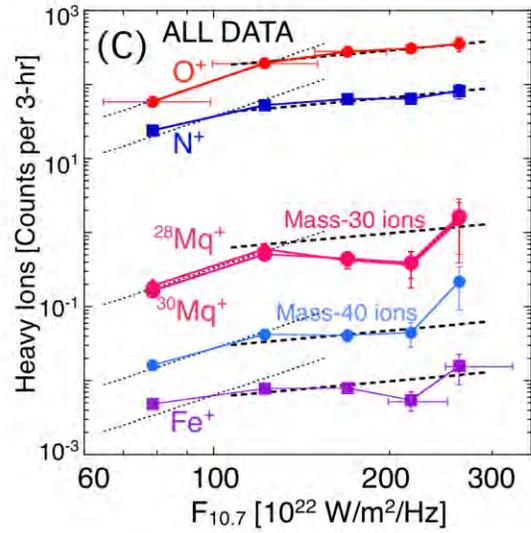
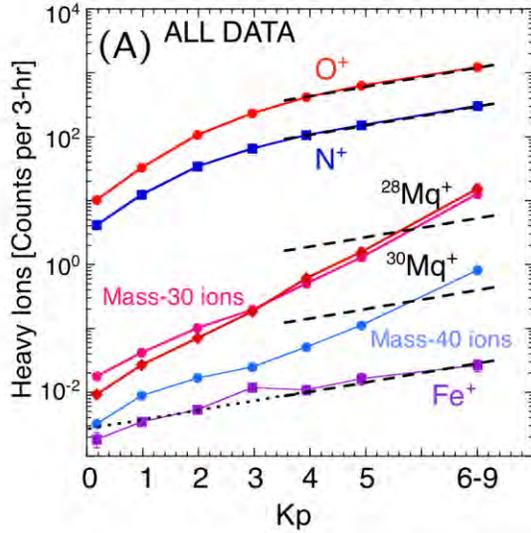


Figure 6. Averages of suprathermal (~87–212 keV/e) N^+ , O^+ , Fe^+ , Mass-30 ions ($^{28}Mq^+$ and $^{30}Mq^+$) and Mass-40 ions 3-hr PHA count sums are plotted versus interval averages of (A, B) K_p and (C) $F_{10.7}$ values from 1995 to 2015. In (A) and (B), all data are shown. In (B) the data are from two plasma regimes, SPHERE (open symbols) and SW/IM (closed symbols). Uncertainties, standard error of the mean, are generally smaller than the point size. Horizontal bars in (C) indicate $F_{10.7}$ ranges. K_p averages are over the -, o, + range of the K_p index integer values. Dashed and dotted lines in (A and C) are intended to guide the eye in comparing the heavier ions to N^+ and O^+ (see text). The dotted extension of the Fe^+ line in (A) highlights the outlying $K_p = 3$ average which is also apparent in the SW/IM data.

896 Correlations of the ion groups with Kp are positive overall. At low Kp, Fe⁺ increases with Kp
897 less rapidly than any of the other species' average rates, all of which are similar. The O⁺ and N⁺
898 outflow Kp-rates relax to that of Fe⁺ at high Kp. The overall Fe⁺ Kp-rate increase changes the
899 least of all species, being roughly characterized overall by the terminal outflow rate. The Mass-
900 30 and Mass-40 ions' Kp-rates of increase never relax to the average terminal outflow rate of O⁺,
901 N⁺, and Fe⁺, instead they increase significantly in the highest Kp range. The overall Mass-40 ion
902 Kp-rate increase accelerates more rapidly than any other species at low Kp, relaxes somewhat at
903 mid-Kp, and then accelerates to be the fastest outflow Kp-rate at Kp ≥ 5. Data collected
904 separately in the SPHERE and SW/IM regimes are plotted as open (closed) symbols versus Kp
905 in Figure 6B, where we note that both Mass-40 ions and Fe⁺ may be insufficiently sampled in the
906 SW/IM. SPHERE and SW/IM intervals dominate the magnetospheric ion data set because it is
907 there, in the magnetosphere and the solar wind, respectively, where fluxes are the highest in the
908 first case and where the satellite spends the most time in the second, respectively. In Figure 6B:
909 (a) monotonic Kp-rate increases are evident for all species in the SPHERE at all Kp values,
910 where the Mass-30 and Mass-40 ion increases are the strongest and Fe⁺ is the weakest; (b) all
911 SW/IM Kp-rate profiles except that of Fe⁺ are generally similar, relaxing, even decreasing
912 slightly in two cases, at high Kp; (c) Fe⁺ experiences a large increase at Kp = 3 in the SW/IM,
913 but then decreases until Kp = 5, above which it resumes increasing. The continual strong rate of
914 increased Mass-30 and Mass-40 ion flux in the SPHERE is uniquely different from that of O⁺,
915 N⁺, and Fe⁺, and is consistent with the findings of Lennartsson et al. (2000) and should be
916 studied in the future.

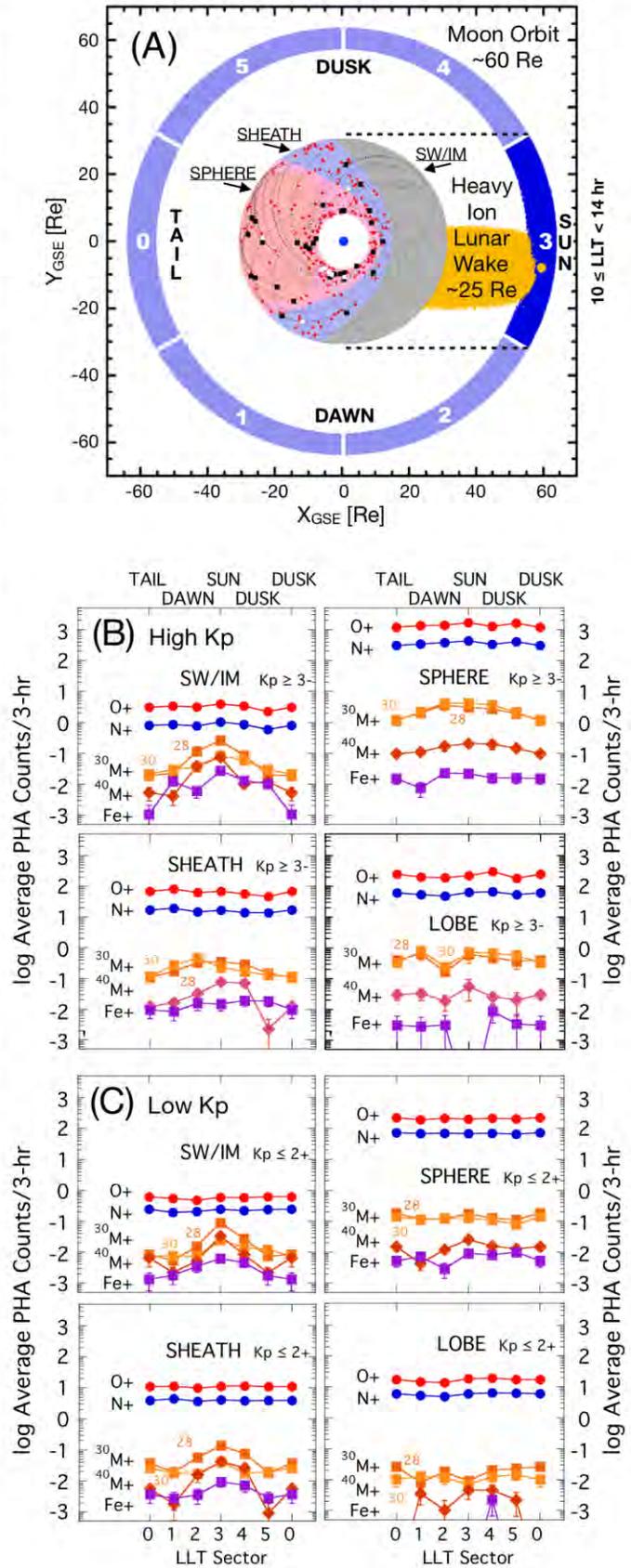
917 [3.3.1] Figure 6C shows that while O⁺ and N⁺ F10.7 dependences are similar, only O⁺ increases
918 monotonically with F_{10.7} unambiguously. N⁺ is more similar to O⁺ than to the heavier ions,

919 although its mid- $F_{10.7}$ points barely increase. In contrast, the Fe^+ dependence on $F_{10.7}$ is more
920 similar to that of Mass-30 and Mass-40 ions than to N^+ or O^+ , even though the Fe^+ and N^+
921 dependence from low to mid $F_{10.7}$ values is very similar. From $(80 \leq F_{10.7} \leq 120) 10^{22} \text{ W/m}^2/\text{Hz}$,
922 all species $F_{10.7}$ -rates appear to increase, although each with individual characteristics. O^+ and
923 Mass-30 ions increase more strongly at low $F_{10.7}$, N^+ and Fe^+ more weakly. Mass-30 and Mass-
924 40 ion $F_{10.7}$ -rates decrease noticeably at $(120 \text{ to } 170) 10^{22} \text{ W/m}^2/\text{Hz}$. At $\sim(120 \text{ to } 220) 10^{22}$
925 $\text{W/m}^2/\text{Hz}$, mid- $F_{10.7}$ values, Mass-30, Mass-40, and Fe^+ ion $F_{10.7}$ -rates all decline, and then
926 increase significantly at the highest $F_{10.7}$ value. The following discussion of lunar ion fluxes
927 should increase our understanding of the differences. Below, we briefly introduce, define, and
928 characterize relevant information we have found in our data regarding the lunar PUI background
929 in the Mass-30 ion data before characterizing MI variations identifiable in the Mass-30 and
930 Mass-40 ion channels near Earth.

931 4. Lunar PUI fluxes near Earth

932 [4.0] Lunar PUI separation/identification. In Section 3 we demonstrated that the Mass-30 $^{28}\text{Mq}^+$
933 and $^{30}\text{Mq}^+$ ion channels both contain significant atomic lunar PUI components. The map of
934 Geotail orbit plasma regimes in Figure 7A also shows the Earth (blue dot at center), the average
935 locations of the SPHERE, SHEATH, and SW/IM plasma regimes (as described in Section 2.0.1),
936 the Moon's orbital variation range, and a number of Geotail $R < \sim 30 \text{ Re}$ orbit segments for an
937 overview perspective. Two spatial features/conditions are important for considering possible
938 lunar influence: (1) the Moon's Lunar orbital Local Time (or LLT) "Sector 3" range, $10 \leq \text{LLT} \leq$
939 14 hours (1/6 of the Moon's full orbit), which includes lunar orbit locations where the Moon is
940 more or less "directly" sunward of the Earth and the Geotail orbits to which it can most readily
941 contribute PUIs via convection; and (2) the heavy ion Lunar Wake, drawn here with a broad, ~ 25

Figure 7. (A) A sketch of the Earth (blue dot at center), the Moon's orbital range, and Geotail orbital range, $\sim 9 < R < 35$ Re. Two spatial criteria for considering possible lunar pickup ion influence in Geotail/STICS suprathermal ($\sim 87\text{--}212$ keV/e) ion measurements are the lunar local time (LLT) and the 'Lunar Wake'. LLT marks the orbital location of the Moon with respect to the Earth-Sun line. At $10 \leq \text{LLT} \leq 13$ hours, LLT-sector 3, the Moon is sunward of Geotail's nominal orbital $X_{\text{GSE}} - Y_{\text{GSE}}$ range. From favorable orbit locations ~ 60 Re sunward of Earth, the Moon can convectively contribute pickup ions to our SW/IM data near Earth. The 'Lunar Wake', drawn here with a ~ 25 Re width to include heavy ion (e.g., CO_2^+ or Fe^+) gyroradii in the nominal ($\sim 7\text{--}9$ nT) interplanetary magnetic field at 1 AU, is probably always present, varying in strength, and is likely important in supplying lunar PUI to near-Earth locations. Selected segments of Geotail orbits, the dotted traces near Earth, terminate when an Fe^+ was observed during low to moderate solar/geomagnetic conditions. White (black) squares indicate Fe^+ observations obtained when the Moon was (not) in LLT-sector 3. Red dots show other measured Fe^+ data. Arrows point from underlined labels to three near-Earth plasma regimes. The LOBE (not shown) overlies the SPHERE. (B,C) Four panels at (B) High-Kp and (C) Low-Kp levels enable investigation of some possible observable effects of lunar PUIs intermixed with Earth's escaped ionospheric ions which are related to the Moon's orbital location. The effects differ between observations made in the four near-Earth plasma regimes used in this study.



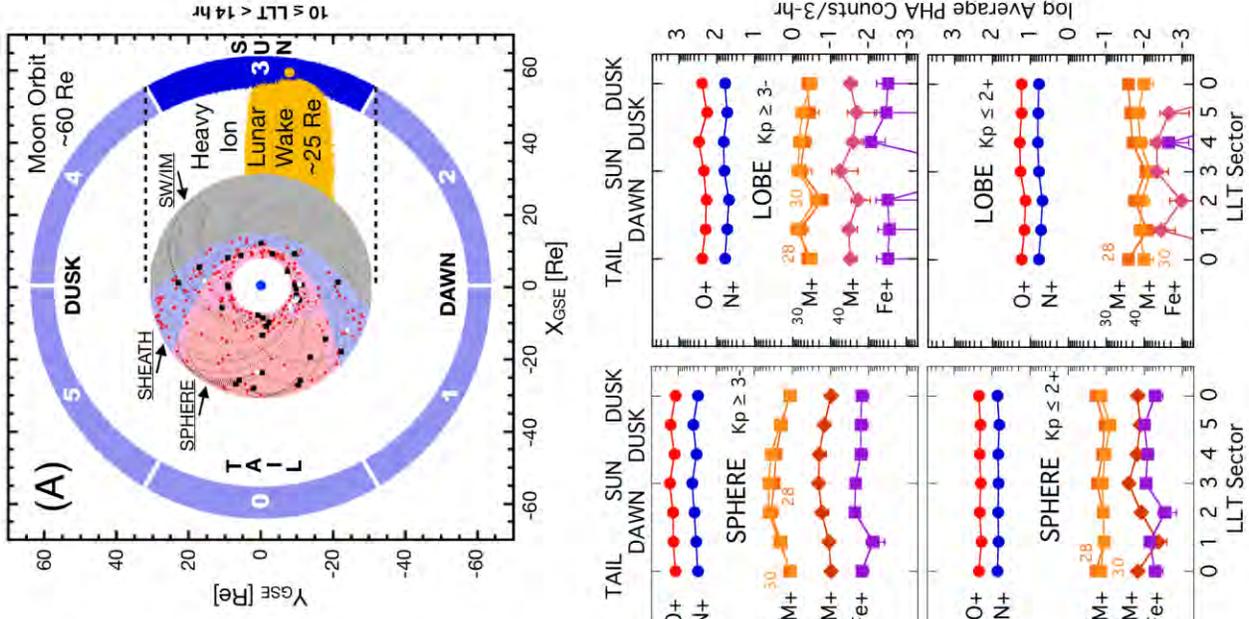


Figure 7. (A) A sketch of the Earth (blue dot at center), the Moon's orbital range, and Geotail orbital range, $\sim 9 < R < 35 \text{ Re}$. Two spatial criteria for considering possible lunar pickup ion influence in Geotail/STICS suprathermal ($\sim 87\text{--}212 \text{ keV/e}$) ion measurements are the lunar local time (LLT) and the 'Lunar Wake'. LLT marks the orbital location of the Moon with respect to the Earth-Sun line. At $10 \leq \text{LLT} \leq 13 \text{ hours}$, LLT-sector 3, the Moon is sunward of Geotail's nominal orbital XGSE -YGSE range. From favorable orbit locations $\sim 60 \text{ Re}$ sunward of Earth, the Moon can convectively contribute pickup ions to our SW/IM data near Earth. The 'Lunar Wake', drawn here with a $\sim 25 \text{ Re}$ width to include heavy ion (e.g., CO_2^+ or Fe^+) gyroradii in the nominal ($\sim 7\text{--}9 \text{ nT}$) interplanetary magnetic field at 1 AU, is probably always present, varying in strength, and is likely important in supplying lunar PUI to near-Earth locations. Selected segments of Geotail orbits, the dotted traces near Earth, terminate when an Fe^+ was observed during low to moderate solar/geomagnetic conditions. White (black) squares indicate Fe^+ observations obtained when the Moon was (not) in LLT-sector 3. Red dots show other measured Fe^+ data. Arrows point from underlined labels to three near-Earth plasma regimes. The LOBE (not shown) overlies the SPHERE. (B,C) Four panels at (B) High-Kp and (C) Low-Kp levels enable investigation of some possible observable effects of lunar PUIs intermixed with Earth's escaped ionospheric ions which are related to the Moon's orbital location. The effects differ between observations made in the four near-Earth plasma regimes used in this study.

945
946 Re, width to include heavy ions with masses up to SiO^+ , CO_2^+ , or Fe^+ , for example, suprathermal
947 energy heavy ions with large gyroradii in the nominal ($\sim 7\text{-}9$ nT) interplanetary magnetic field at
948 ~ 1 AU. In the map near Earth, dotted traces and large black and white squares indicate 37
949 Geotail orbit segments that are ≥ 24 hours long and end at the Fe^+ measurement (squares). The
950 orbit segments include 42 Fe^+ PHA measurements in the SPHERE (33 black) and in the
951 SHEATH (9 white) obtained during low to moderate geomagnetic and solar activity conditions.
952 A PHA color spectrogram for the data from these orbits is shown in Figure S5 in the SI. The
953 orbit intervals were selected by Christon et al. (2017) for their characteristic of having a low
954 presence of PHA counts in the M/Q range of Mass-30 ions (which may include Al^+ , Si^+ , N_2^+ ,
955 NO^+ , O_2^+ , P^+ , and Fe^{+2}), specifically to exclude possible Fe^{+2} charge-exchanged from high-
956 charge-state solar wind iron, $\text{Fe}^{+7\text{:}+14}$, prior to the measurement of a Fe^+ in the SPHERE or
957 SHEATH. Use of this observation interval selection scheme near Earth under low Mass-30 ion
958 (e.g., Si^+) conditions is germane to arguments regarding lunar PUIs because various laboratory
959 studies have suggested that Fe^+ is an expected product of lunar soil irradiation by typical solar
960 wind energy ions through secondary ion mass spectrometry (e.g., Elphic et al., 1991; Dukes and
961 Baragiola, 2015). Lunar PUI Fe^+ has been anticipated in lunar ion observations and modeling
962 (e.g., Yokota and Saito, 2005; Sarantos et al., 2012; Poppe et al., 2016) but lunar PUI Fe^+ has not
963 yet been observed near the Moon with instruments that are designed to measure Fe^+ (e.g., Mall et
964 al., 1998; Kirsch et al., 1998; Yokota and Saito, 2005; Sarantos et al., 2012; Poppe et al., 2016).
965 Geotail/STICS has measured Fe^+ near Earth. The selected orbit segments include times when the
966 Mass-30 ion counts near Fe^{+2} (M/Q ~ 28 amu/e, M $\sim 50\text{-}70$ amu) were consistent with the
967 surrounding, extant, low background-count levels representative of relatively low-to-moderate
968 geomagnetic and solar activity intervals near-Earth (Christon et al., 2017). The 9 large white

969 squares here, 7 in the SPHERE and 2 in the SHEATH, are ~21% of the 42-count Fe^+ -sample and
970 represent Fe^+ observations made at times when the Moon was sunward of Earth in the ~10-13
971 hour LLT range (LLT Sector 3). The large black squares represent Fe^+ observations from the
972 other select orbit segments when the Moon was not sunward of Earth and it was in locations
973 around the Earth from which one would not necessarily expect to observe lunar PUI transported
974 via convective processes to the SPHERE. (The red dots show the other Fe^+ measurement
975 locations.) The 9 Fe^+ counts measured when the Moon was in LLT Sector 3, as a portion of the
976 42 total PHA events, are not significantly different from the overall average seven total Fe^+
977 counts per LLT Sector, consistent with there being no elevated lunar PUI signal in LLT Sector 3.
978 This argues that the Fe^+ observed in and near the SPHERE at low to moderate geomagnetic
979 activity levels is unlikely to be of lunar origin at times when few Mass-30 ions of either
980 ionospheric or lunar origin are measured in the SPHERE. Further, PHA data from these orbit
981 segments (shown in Figure S5 in the SI, and below) argue that the Fe^+ , combined with the Mass-
982 30 ions' M/Q distribution from these orbits, is more consistent with an ionospheric, rather than
983 lunar origin. This does not imply that lunar PUI Fe^+ may not be produced during intervals of
984 more intense geomagnetic and/or solar activity. As we comment below, lunar PUIs may be
985 present in the SPHERE during disturbed conditions, but currently we have not yet characterized
986 their proportion or occurrence likelihood.

987 [4.0.1] Ion Flux Variations Related to Moon Location. Figures 7B and 7C shows average N^+ , O^+ ,
988 Fe^+ , Mass-30 ($^{30}\text{M}^+$) and Mass-40 ($^{40}\text{M}^+$) ion data collected during high-Kp ($\text{Kp} \geq 3-$) and low-
989 Kp ($\text{Kp} \geq 2+$) intervals, respectively, in each of the plasma regimes for times when the Moon
990 was in each LLT Sector, irrespective of solar activity level. The highest Kp intervals ($\text{Kp} \geq 8$)
991 were excluded from these initial averages because of spatial sampling effects. In the following,

992 for a specific ion channel, we consider a "signal" to be a LLT Sector PHA count average higher
993 than the channel's overall average having a statistically significant difference from the other
994 averages in that species' Kp and plasma regime selection grouping, such as the $^{30}\text{M}^+$ and $^{40}\text{M}^+$
995 ions in the high-Kp and low-Kp SW/IM panels. The analysis is designed so that a significant
996 lunar PUI signal should result in an LLT distribution that peaks at LLT Sector 3, especially in the
997 SW/IM and SHEATH data. A similar result should be evident for the heavier ions as a result of
998 the solar wind convection anisotropy, although a convective signature would likely dissipate in
999 SW/IM and the SHEATH Sectors farther from Sector 3 and disappear while the spacecraft was
1000 in the SPHERE and the LOBE. One would anticipate a strong PUI signal for $^{40}\text{M}^+$ ions which we
1001 presume are dominated by lunar PUI in SW/IM and SHEATH data, but obscured in the SPHERE
1002 by backgrounds (see Figure 5 and Figure S3 in the SI). A lunar PUI signal should add to the
1003 convective anisotropy peak in all LLT sectors, but primarily in the sunward LLT Sector 3. We
1004 take Figure 5's N^+ and O^+ distributions in the SW/IM and SHEATH to represent the "lighter-ion"
1005 escaped, or escaping, magnetospheric ion signal; $^{40}\text{M}^+$ in the SW/IM and SHEATH to represent a
1006 lunar PUI signal; and Fe^+ in the SHEATH to represent a convective signal. Please note that
1007 distinct, single-LLT-Sector variations, such as a discontinuous large increase or decrease in a
1008 single LLT sector, may result from either (a) unintended inclusion or exclusion of solar related
1009 particle events (flares, shocks, and/or CMEs) inadvertently missed in the data
1010 inspection/inclusion procedures, or (b) low counting statistics. Several overall aspects shown in
1011 the panels are: (a) $^{16}\text{M}^+$ species do not exhibit any outstanding LLT-Sector peaks in any regime
1012 and no statistically significant differences throughout, although, the LLT-Sector 3 average is just
1013 significantly different with respect to the LLT-Sector 2 average at low Kp and nearly-so at high
1014 Kp; (b) $^{30}\text{M}^+$ and $^{40}\text{M}^+$ ions have highly-statistically-significant peaks centered on LLT-Sector 3

1015 in the SW/IM and SHEATH which are more pronounced than any signal possibly present for
1016 $^{16}\text{M}^+$ ions and Fe^+ ; (c) SW/IM $^{30}\text{M}^+$ and $^{40}\text{M}^+$ ions likely have strong lunar PUI contributions, as
1017 evidenced by their strong, broad peaks centered on LLT-Sector 3 in the SW/IM at high Kp and
1018 for $^{40}\text{M}^+$ ions in the SHEATH, although, see (d); (d) at high Kp M^+ likely dominate SHEATH
1019 $^{30}\text{M}^+$ ions as suggested by the somewhat uniform overall distribution (more comparable to the
1020 $^{16}\text{M}^+$ ion data than at low Kp), whereas lunar PUI likely dominate SHEATH $^{30}\text{M}^+$ ions at low Kp
1021 as indicated by the prominent LLT-Sector 3 peak; (e) the $^{40}\text{M}^+$ ions in the SPHERE appear to be
1022 dominated by random background counts, hence, the uniform distribution; (f) Fe^+ , for which
1023 LLT-Sector 3 is sometimes stronger and LLT-Sector 0 is sometimes weaker in the various
1024 plasma regimes, seems generally consistent with convective anisotropies, not a lunar PUI source;
1025 (g) for high-Kp averages, Fe^+ may have a lunar PUI component in SW/IM data on account of the
1026 statistical significance of LLT-Sector 3 which is higher than the next three highest Fe^+ high-Kp
1027 averages, the difference is significant for only LLT-Sector 5; (h) Fe^+ appears to have a strong
1028 convective anisotropy in the low-Kp SW/IM averages; and, (i) in the LOBE, no species shows a
1029 large overall variation and very little Fe^+ is observed. Additionally, comparison of the SW/IM
1030 Fe^+ LLT-Sector 3 average to the other Fe^+ LLT-Sector averages in the various regimes (see
1031 Table S6 in the SI) suggests that despite similar enhanced levels at and near LLT-Sector 3, the
1032 Fe^+ count differences are much less extreme than those of $^{30}\text{M}^+$ and/or $^{40}\text{M}^+$ ions, tending
1033 possibly toward the uniformity characteristics of $^{16}\text{M}^+$ ions than lunar PUI. This brief assessment
1034 of the ion channels suggests for SW/IM: a clear lunar PUI/convective signal in $^{30}\text{M}^+$ and $^{40}\text{M}^+$;
1035 possible convective signals in $^{16}\text{M}^+$; a broad convective and possible lunar PUI signal in Fe^+ at
1036 high Kp; SHEATH: clear $^{40}\text{M}^+$ and probable/possible $^{40}\text{M}^+$ and Fe^+ convective and/or lunar PUI
1037 signal; SPHERE: broad, higher $^{30}\text{M}^+$ sunward sector levels at high Kp suggest lunar PUI leakage

1038 into the SPHERE; background dominated $^{40}\text{M}^+$ shows a possible lunar PUI signal; SPHERE Fe^+
1039 is nominally as uniform as SPHERE $^{16}\text{M}^+$, with no statistically significant inter-average
1040 differences; and LOBE: statistically significant $^{30}\text{M}^+$ and $^{40}\text{M}^+$ and possible Fe^+ PUI signals in
1041 LLT-sector 5.

1042 [4.1] Mixing of MI and PUI near Earth. Separation of the superposed $^{28}\text{Ma}^+$ ions' ionospheric
1043 N_2^+ and lunar PUIs dominated by Si^+ , in the near-Earth SW/IM's Mass-30 PHA distributions is
1044 demonstrated in Figure 8 using two different methods. The solid curves in Figure 8A are
1045 smoothed fits to the near Earth SW/IM plasma regime ion data from Figure 4D. O^+ and N^+ are
1046 again shown for comparison. As noted above in the discussion of Figure 4, Earth's $^{28}\text{Ma}^+$ and
1047 $^{30}\text{Ma}^+$ SW/IM data are more complex than, and not similar to, the comparable $^{28}\text{Ma}^+$ and $^{30}\text{Ma}^+$
1048 SPHERE data as a result of the presence of lunar PUIs. For example, in addition to ionospheric
1049 N_2^+ and NO^+ , the $^{28}\text{Ma}^+$ and $^{30}\text{Ma}^+$ channels will also contain some lunar Si^+ , Al^+ , and P^+ . As a
1050 first step, we first scale the $^{30}\text{Ma}^+$ distribution shape upward by a factor of 1.55 (the purple
1051 dashed curve) in order to extract the most important differences between the $^{30}\text{Ma}^+$ and $^{28}\text{Ma}^+$
1052 distributions. At this upward-scaled level, the differences between the lower portions of the
1053 scaled $^{30}\text{Ma}^+$ curve and the $^{28}\text{Ma}^+$ curve (those segments below the gray horizontal area) are
1054 statistically negligible, but now comparable. Subtracting the scaled $^{30}\text{Ma}^+$ curve (purple dashed
1055 curve) from the $^{28}\text{Ma}^+$ data (orange solid curve) leads to an initial crude estimate of $^{28}\text{Ma}^+$ lunar
1056 PUIs, the black dashed curve labeled "Estimate of lunar PUI" in panel 8A. The shape of the
1057 Estimate's peak is very similar to that of the O^+ peak, as shown by the shifted O^+ peak (red
1058 dashed curve) centered at the Estimate's peak. But for a distinct shoulder at $\sim 15\text{-}24$ amu, the
1059 curves' similarities argue that the SW/IM $^{28}\text{Ma}^+$ ions are likely dominated by a single atomic ion
1060

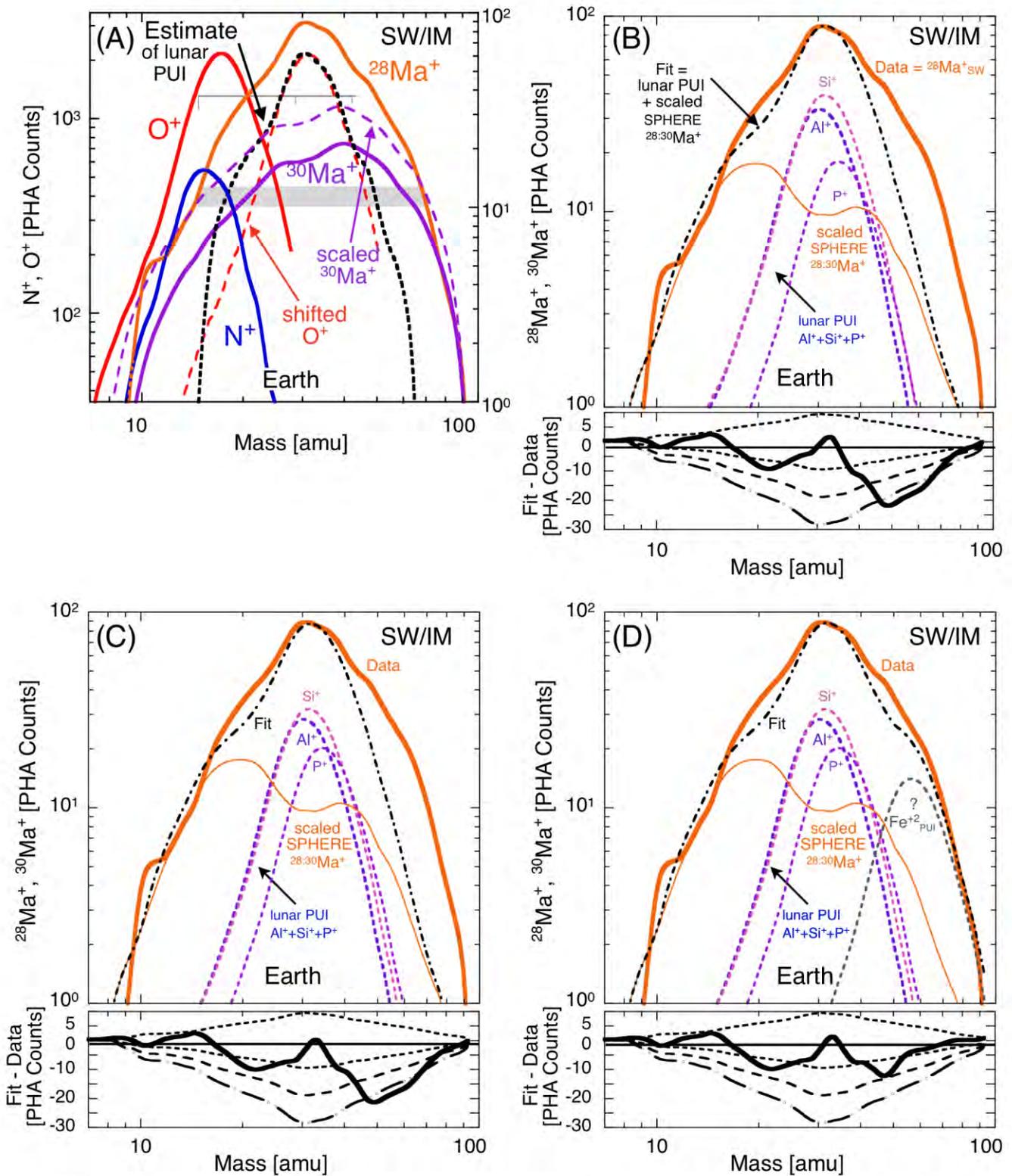


Figure 8. The superposition of the PHA distributions of lunar pickup ions, PUI, mostly Si^+ , and ionospheric N_2^+ in the near-Earth solar wind, SW/IM, PHA distributions is investigated using two different comparisons. (A) The suprathermal (~ 87 – 212 keV/e) ion data are all from the SW/IM plasma regime near Earth. Solid curves are smoothed, interpolated fits to the data in Figure 3D. The shape of

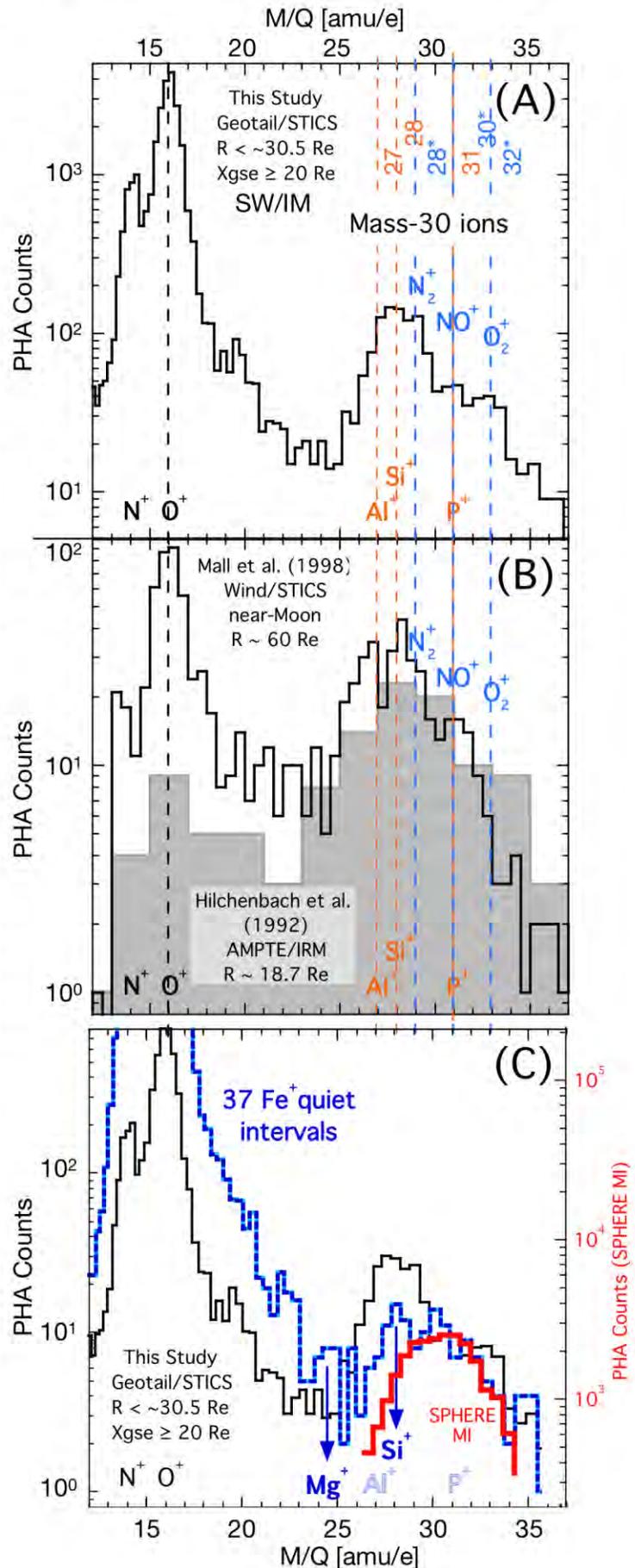
1062 with a shape similar to that of O^+ . This result is encouraging, because Si^+ dominates the lunar
1063 Mass-30 PUIs measured by Hilchenbach et al. (1992) and Mall et al. (1998), measurements we
1064 will address in the next section.

1065 [4.1.1] A more detailed investigation of the $^{28}Ma^+$ peak is demonstrated in Figures 8B, 8C, and
1066 8D, in which model atomic ion PHA distributions are used to fit the observed $^{28}Ma^+$ data (orange
1067 solid curve). First, we determined that the atomic ion Mass distributions of SW/IM O^+ and solar
1068 wind $Fe^{+5:+11}$ Mass distributions are similar, but not identical (see Figure S7 in the SI), that is, the
1069 $Fe^{+5:+11}$ ions' shape is slightly narrower. However, given that the shape of the lunar PUI
1070 component in Figure 8A was very similar to that of O^+ and there is presently no straightforward
1071 method to determine the shape of an individual Mass-30 ion's Mass distribution, we simply use
1072 the O^+ shape as our model atomic ion shape in the following analysis. We first use this model
1073 shape to visually determine the contributions from lunar PUI Al^+ , Si^+ , and P^+ at levels consistent
1074 with the proportions of relevant lunar PUIs from Mall et al. (1998) combined with a scaled
1075 representation of an admixture of MI-dominated $^{28}Ma^+$ and $^{30}Ma^+$ SPHERE data assuming
1076 escaped magnetospheric MI populations do not change significantly during the escape process
1077 (consistent with the distributions of escaped Saturn MI in Figure 4). The heavy dot-dash curves
1078 labeled "Fit" in Figures 8B, 8C, and 8D approximate, as best we can, the expected shape of our
1079 $^{28}Ma^+$ SW/IM data. (Please note that we use the capitalized word Fit in this paragraph to refer to
1080 these "Fit" curves displayed in Figure 8.) The mixture of Mass-30 atomic ion species in lunar
1081 PUI from Mall et al. (1998) data shown in Figure 8B is $Al^+ : Si^+ : P^+ = 0.72 \pm 0.09 : 1.00 \pm 0.12 :$
1082 0.51 ± 0.07 (106 : 148 : 76 PHA counts). These ratios are derived from our assessment of their
1083 Figure 1 PHA histograms (shown below and in Figure S10). After subtracting this mixture of
1084 ionospheric MI and lunar PUI, the residual (the heavy solid black curve in Figure 8B, lower

1085 panel) demonstrates that we can account for most of the observed SW/IM $^{28}\text{Ma}^+$ shape within
1086 one standard deviation of the Data curve (1, 2, and 3 standard deviations shown as dashed curves
1087 in the bottom panels of Figures 8B, 8C, and 8D). However, two ranges of wider Fit-Data
1088 mismatch remain: one at $\sim 15\text{-}24$ amu and the other at $\sim 38\text{-}100$ amu. Presuming that the lunar
1089 PUI composition might change over different phases of a solar activity cycle and the Mall et al.
1090 data were obtained near the minimum solar activity of 1994-1998, we then sought a different,
1091 more optimal combination of lunar PUIs required to fit our Data (obtained over two full solar
1092 cycles) which is shown the PUIs incorporated into the Fit in Figure 8C. The PUI ratios for this
1093 optimal PUI Fit are: $\text{Al}^+ : \text{Si}^+ : \text{P}^+ = 0.89 : 1.00 : 0.63$. The Data-Fit mismatch below ~ 40 amu is
1094 slightly better, but the significant Data-Fit mismatch peaking at $M \approx 50$ amu remains and does
1095 not appear to be explained by our current estimate of ion composition candidates. Any solution
1096 to the mismatch must have the M/Q of ~ 28 amu/e and a Mass between ~ 50 to ~ 60 amu. The only
1097 reasonable physical explanation we arrived at is that we may be measuring a population of
1098 doubly charged iron, Fe^{+2} , which has $M = 56$ amu and $M/Q = 28$ amu/e. Fe^{+2} has been identified
1099 at lower energies in coronal mass ejection solar wind flows (Gilbert et al., 2012) and as a
1100 possible interstellar PUI generated by and transported with the solar wind (Taut et al., 2015).
1101 Recent analysis also revealed that Fe^{+2} is a component of nano-dust particles in space-weathered
1102 lunar soils (Thompson et al., 2016). Therefore, we added a Fe^{+2} model PUI component to our
1103 admixture and found that the overall agreement with data improved as shown in Figure 8D. The
1104 relative Mass-30 PUI ratios with this addition to our optimal PUI Fit is: $\text{Al}^+ : \text{Si}^+ : \text{P}^+ : \text{Fe}^{+2} = 0.89 :$
1105 $1.00 : 0.63 : 0.44$. Next, we briefly examine Mass-30 ion upstream measurements, that is, sunward
1106 of the foreshock region of the SW/IM data, a regime where bow-shock-related effects might be
1107 less evident.

1108 [4.2] Earth's foreshock region. Figure 9A demonstrates that, even in the presence of lunar PUI in
1109 the same mass range, small amounts of the three ionospheric molecular ions, N_2^+ , NO^+ , and O_2^+
1110 are likely visible at $X_{GSE} \geq 20$ Re out to $R \sim 30$ Re, the furthest sunward locations sampled by
1111 Geotail. These ion data are obtained at ≥ 5 Re sunward of the nominal bow shock subsolar
1112 distance - locations nominally sunward of direct bow shock the bow shock interactions with the
1113 solar wind or lunar PUI, even though some backscattered ions and upstream wave and field
1114 effects are probably present (Mitchell et al., 1983; Kis et al., 2004). Over 40% of all SW/IM
1115 Mass-30 observations are obtained sunward of $X_{GSM} = 20$ Re where Geotail dwells longest at its
1116 ~ 30 Re apogee, so this selection at ~ 5 -15 Re upstream of the average bow shock location can
1117 reveal significant information about the solar wind as minimally affected by upstream bow shock
1118 related effects that our data set can provide. Figure 9B shows the lunar PUI data from
1119 Hilchenbach et al. (1992) and Mall et al. (1998), the shaded and line histograms, respectively, for
1120 direct comparison of lunar PUIs slightly closer to Earth and near the Moon, respectively.
1121 Hilchenbach et al. (1992) selected antisunward flows at ~ 18 Re, ~ 3 Re sunward of the average
1122 bow shock location, during several intervals when the moon was approximately sunward of their
1123 spacecraft. Their data are the most comparable to ours, both data sets having been accumulated
1124 in approximately the same location, although for different purposes, with different
1125 methodologies, and under different solar activity conditions. They noted the presence of O^+ and
1126 suggested the presence of Al^+ , Si^+ , or S^+ (focusing on their counts near 32 amu/e, while rejecting
1127 detection of Earth's MI) as possible candidate ions for the observed Mass-30 ions, as well as a
1128 few ions with marginal statistics measured from 40 to 54 amu/e that they suggested were
1129 consistent with Ar^+ , Ca^+ , or Fe^+ . Mall et al. (1998) presented two PHA histograms of lunar PUI
1130 data in their Figure 1. A lunar-radial variation was found, where lunar PUI counts decreased with

Figure 9. Histograms of N^+ , O^+ , and Mass 30 ion PHAs ordered by M/Q from (A) this study's farthest upstream Geotail/STICS data compared to that from (B) Mall et al. (1998) and Hilchenbach et al. (1992). Mass-30 molecular ion, MI (blue text), and lunar pickup ions, PUI (tan text), masses are identified. The MI generate higher M/Q values (asterisked values) than atomic ions of the same mass (see text). Our farthest upstream ~ 87 - 212 keV/e ion data were measured sunward of the bow shock at $X_{GSE} \geq 20$ Re out to $R \sim 30.5$ Re over approximately 2 full solar cycles. Hilchenbach et al. (1992) measured ~ 80 - 226 keV/e lunar PUIs sunward of the bow shock at $R \leq 18.7$ Re over 3 months in late-1985. Mall et al. (1998) presented two PHA histograms of Wind/STICS measurements of ~ 20 - 200 keV/e lunar (PUI) obtained from 1995 to 1997 sunward of Earth near the Moon at >17 lunar radii. Given their study's lower number of counts, we summed their two PHA histograms into one (see text). N^+ and O^+ are shown for reference. Both the Hilchenbach et al. (1992) and Mall et al. (1998) data were obtained during minimum solar activity conditions. (C) This panel compares our farthest upstream data (black) to that from the overall SPHERE shape (red), which is dominated by MI, and to the 37 low to moderate solar/geomagnetic condition orbits used for the traces in Figure 7A (blue). Si^+ is both a major ionospheric origin ion from IDPs (Plane et al., 2016) and one of the major lunar pickup ions (e.g., Mall et al., 1998; Poppe et al., 2015). The quiet interval data show little similarity to our farthest upstream data, but do show evidence of peaks at Mg^+ and Si^+ (ionospheric ions) superposed on ions with the overall shape of the SPHERE data, but Al^+ and P^+ (lunar pickup ions) are not evident. The data are plotted in order to match their values near ~ 32 amu/e.



1133 increasing distance from the Moon. On account of the low number of counts in their histograms,
1134 we summed their two M/Q histograms which widened their O⁺ to Si⁺ energy range to ~20-200
1135 keV/e. We focus on their Mass-30 species, suppressing any discussion of other species they
1136 discussed with respect to their data (Mall et al. did not mention Fe⁺). Their Moon-related
1137 measurements were obtained sunward of Earth at ~17-150 lunar radii from the Moon which is at
1138 ~60 Re from the Earth. The mixture of Mass-30 PUI atomic ion species in our summing of their
1139 data normalized to Si⁺ (in absolute counts) is: Al⁺ : Si⁺ : P⁺ = 0.72 ± 0.09 : 1.00 ± 0.12 : 0.51 ±
1140 0.07 (106 : 148 : 76 PHA counts, see also Figure S10 in the SI). In order to center the three data
1141 sets' O⁺ peaks, we translated the M/Q locations of both the Hilchenbach et al. and Mall et al. data
1142 to slightly lower M/Q values by ~0.5 - 1 amu/e. All three data sets are limited by low counting
1143 statistics, different observation locations, and widely different solar activity conditions. That is,
1144 the Hilchenbach et al. (1992) and Mall et al. (1998) data were both obtained during solar activity
1145 minimum conditions, whereas our data encompass all data obtained over two solar cycles, and as
1146 seen in Figure 6, lunar ion production is likely enhanced during the disturbed solar conditions,
1147 conditions which also result in enhanced ionospheric outflow.

1148 [4.2.0] Finally, Figure 9C shows that sunward of the bow shock O⁺ and N⁺ still dominate the
1149 omnidirectional heavy ions and the Mass-30 ion peak extends from ~25 to ~35 amu/e. We note
1150 that O₂⁺ is likely evident by the contiguous elevated count levels up to ~34-35 amu/e in the two
1151 datasets measured sunward of the bow shock, ours and Hilchenbach et al. (1992). Therefore,
1152 these selected SW/IM data obtained somewhat upstream of the bow shock contain
1153 straightforward evidence of some O₂⁺ in addition to P⁺ || NO⁺. Our study and Hilchenbach et al.
1154 (1992) both measured these data with similar time-of-flight versus total energy instruments, and
1155 it appears that both instruments reveal similar evidence sunward of the bow shock of an ion at

1156 ~2-3 amu/e higher than the likely P^+ location - an ion that we suggest is O_2^+ given our current
1157 understanding of these instruments' response to MI. That is, the near-bow shock data are not
1158 consistent with being explained alone by lunar PUI P^+ , which should only mask a portion of the
1159 NO^+ . While there is no obvious evidence of O_2^+ in the Mall et al. near-Moon data, there is
1160 evidence of O_2^+ in our data. Because of the small O_2^+ abundance relative to N_2^+ and NO^+ in the
1161 SPHERE, O_2^+ is difficult to detect there, being masked by NO^+ and/or various magnetospheric
1162 background signals present in this initial survey in which we have presented data from all
1163 geomagnetic and solar activity levels (see for example, the color spectrograms in Figure 2 and
1164 histograms in Figure 5). We are puzzled by the persistence of O_2^+ relative to N_2^+ and NO^+ ,
1165 species which have bond energies stronger than O_2 , both as ions and neutral molecules (see
1166 Table S12 in the SI).

1167 [4.2.1] Figure 9C shows this study's farthest upstream data compared to that from the data
1168 measured in various regimes on the 37 orbits of low to moderate solar/geomagnetic conditions,
1169 which were selected for their low Mass-30 ion conditions (Christon et al., 2017; see also Figure
1170 S5) and used to construct the traces in Figure 7A. Mass-30 ions have a peak near ~28 amu/e in
1171 both data sets. Si^+ is both a major ionospheric origin metal ion derived from IDPs (Zbinden et al.,
1172 1975; Plane et al., 2016) and a prominent lunar pickup ion (e.g., Mall et al., 1998; Halekas et al.,
1173 2015; Poppe, Halekas, et al., 2016). Although the quiet interval data show overall similarity to
1174 the farthest upstream data, evidence of a peak at Mg^+ and, although not a Mass-30 ion, at Na^+
1175 (both likely from the ionosphere's meteoric metal ion layers), but not necessarily at Al^+ or P^+
1176 (likely lunar pickup ions) argues more strongly for an ionospheric ion dominance and somewhat
1177 against a lunar pickup ion presence in these multi-regime data obtained during low-to-moderate

1178 geomagnetic activity. Mg^+ , a well-known ionospheric IDP origin ion, has not been clearly
1179 identified in lunar PUI (Halekas et al., 2015; Poppe, Halekas, et al., 2016).

1180

1181 5. Discussion and Summary

1182 [5.0] Important new information on suprathermal atomic and molecular ions in and near the
1183 magnetospheres of Earth, Jupiter, and Saturn is presented in this paper. Although the principal
1184 intended subject of the paper did not initially include atomic ions, establishing characteristics of
1185 some atomic ions in and near the three magnetospheres, for example, a focus on S^+ at Jupiter and
1186 the characterization of some aspects of lunar pickup ions near Earth, was essential in more fully,
1187 and we hope more accurately, describing magnetospheric molecular ions at Earth and Saturn. We
1188 discuss our results in that context, with our primary focus on the molecules and only
1189 supplementary reportage on atomic ions as is relevant to this survey at this juncture.

1190 [5.1] Jupiter. Cassini was in the Jovian magnetosphere for far too short an interval for CHEMS to
1191 obtain detailed composition measurements. Nevertheless, review of the Cassini/CHEMS cruise
1192 data within ~ 2 AU of Jupiter shows the wide extent of Jovian-origin S^+ in the solar system.
1193 Interplanetary Jovian S^+ is not necessarily energized in Jupiter's magnetosphere, followed by
1194 subsequent escape. Rather, the S^+ in interplanetary space likely derives from energetic neutral S
1195 atoms that escape Jupiter and are subsequently ionized and picked up by the solar wind far from
1196 Jupiter, as demonstrated by Luhmann (2003). Inside the Jovian S^+ PUI cloud, CHEMS observed
1197 S^+ sporadically in interplanetary space from ~ 4.3 to ~ 6.6 AU (see Figures S1B and S12), but not
1198 outside of that heliocentric distance range (that is, before $\sim 2000-246$ or after $\sim 2002-001$). We
1199 feel that, at the level so far investigated, our observations are generally consistent with
1200 Luhmann's model. Even though the data are sparse, the basic unnormalized S^+ interplanetary

1201 observations herein may help interested researchers refine their interplanetary transport models.
1202 We noted above that a small amount of Ca^+ and a possible trace of CO_2^+ , may be present in and
1203 near Jupiter's magnetosphere. This Ca^+ and CO_2^+ , and likely O^+ , may escape from Jupiter's
1204 magnetosphere as S^+ clearly does, and some interplanetary/interstellar PUI O^+ likely enters
1205 Jupiter's magnetosphere, further complicating relative abundance comparisons used in
1206 evaluating magnetospheric sources and escape scenarios. Other Jupiter-related MI, such as SO^+
1207 from Io and/or O_2^+ from the Galilean satellites, for example, may be ejected into the
1208 magnetosphere, but are more weakly bound than CO_2^+ (see Table S6 in the SI) and do not appear
1209 to survive in the harsh Jovian environment. Magnetospheric Jovian SO^+ and O_2^+ are not evident
1210 in our observations at suprathermal energies. The approximately equal amounts of O^+ and S^+
1211 shown in Figure 3A argue for radiolysis of sulfur-based molecules as a source, with little
1212 contribution from the other possible O^+ sources, the water-ice Galilean satellites. However, full
1213 accounting of higher O and S charge states consistent with satellite source modeling may decide
1214 the true proportion of O^+ and S^+ derived from SO^+ .

1215 [5.2] Saturn. Of the ion species identified at up to $\sim 35\text{-}40$ amu/e inside Saturn's magnetosphere,
1216 all but Fe^+ appear to escape, but at or with different rates, paths, or modes. Of note, Fe^+ is not
1217 observed in the Solar Wind samples collected for this study. Further review of the complete
1218 Sheath and Solar Wind data near Saturn will be needed to expand discussion of this topic.
1219 Figures 4 and 5 summarize the new information about MI at Saturn. Although we do not explore
1220 details of MI dissociation herein, we suggest that the robust decrease of H_2O^+ relative to O^+ may
1221 result from either strong H_2O^+ impact dissociation in the solar wind or from differences in
1222 escape or transport processes. Both CO^+ and O_2^+ appear to have fewer losses escaping Saturn's
1223 magnetosphere than C^+ , N^+ , and O^+ , in that the overall MI levels relative to O^+ are higher in the

1224 Solar Wind than in the Sphere unlike the atomic ions whose levels relative to O⁺ are the same in
1225 both plasma regimes. This will all need to be sorted out when accurate instrumental efficiencies
1226 for these MI are applied and differential intensities are evaluated.

1227 [5.3] Earth. An important portion of this paper has been devoted to first establishing and
1228 characterizing the importance of the Mass-30 lunar PUI Al⁺, Si⁺, and P⁺ that are present in the
1229 near-Earth environs outside the magnetosphere, and subsequently treating the lunar PUI as the
1230 background they are in this study of Earth's MI. We roughly quantified the ratios of N₂⁺, NO⁺,
1231 and O₂⁺ that flow out of the ionosphere into Earth's magnetosphere, showing that, relatively, the
1232 components of the magnetospheric MI population are roughly ~43% N₂⁺, ~47% NO⁺, and ~10%
1233 O₂⁺. While demonstrating the separation of several lunar PUI from escaped ionospheric N₂⁺ in
1234 our solar wind data, we found possible evidence of a lunar Fe⁺² PUI component. During low
1235 geomagnetic activity levels when lower levels of MI are present, Si⁺, very difficult to
1236 differentiate from the MI signal, is likely present at levels comparable to Fe⁺ in these select
1237 intervals, consistent with an ionospheric source for both. Si⁺ would be far more evident than Fe⁺
1238 if their source was the Moon, because repeated in situ efforts to measure Fe⁺ near the Moon have
1239 produced null results. This study was our first opportunity to characterize and investigate these
1240 mixed distributions, given the rarity and low count levels of some of these ions and the need for
1241 sufficient data to make statistically sound relative species proportion estimates. It is clear that
1242 ionospheric origin molecular ions escape into the SHEATH and then into the SW/IM sunward of
1243 the bow shock, and it is likely that they also travel at least ~10-15 Re sunward of the nose of the
1244 bow shock into the upstream solar wind at 1 AU.

1245 6. Concluding Remarks

1246 [6.0] This paper provides new details regarding the molecular and atomic suprathermal heavy ion

1247 composition in and near three important magnetospheres in the solar system, those of Earth,
1248 Saturn, and Jupiter. In the largest magnetosphere, that of Jupiter, S^+ and O^+ from dissociated
1249 Iogenic molecular S and O compounds and, possibly O^+ from its icy moons are dominant. Jovian
1250 S^+ escapes into the interplanetary medium. Tentatively identified CO_2^+ may originate in, survive
1251 in, and escape from Jupiter's magnetosphere. At Saturn, the icy moons and rings are the likely
1252 sources of its most prominent ions, O^+ , H_2O^+ , O_2^+ , and CO^+ . While C^+ , N^+ , O^+ , OH^+ , H_2O^+ , CO^+ ,
1253 and O_2^+ all escape from Saturn's magnetosphere, Fe^+ is not detected in the Solar Wind near
1254 Saturn, and we do not attempt to estimate the relative OH^+ abundance herein. The overall ratio of
1255 H_2O^+ relative to O^+ decreases in interplanetary space; all other ion's overall ratios to O^+ are ≥ 1 .
1256 H_2O^+ must either dissociate more quickly than the CO^+ and O_2^+ , or its transport characteristics
1257 might constrain its escape more than for these two heavier molecules. At Earth, heavy ions
1258 observed inside the magnetosphere are dominated by those of ionospheric origin. Lunar origin
1259 ions are not detected at significant levels in the magnetosphere, except in the magnetospheric
1260 lobes where Mass-40 lunar Ca^+ and CO_2^+ PUI are detected. We demonstrate that the dominant
1261 heavy lunar PUI, Si^+ , constitutes, at best, a negligible contribution to the long-term averaged
1262 suprathermal magnetospheric Mass-30 ion population. Our measurements of magnetospheric
1263 molecular ions, N_2^+ and NO^+ each $\sim 43\text{-}46\%$, and O_2^+ $\sim 10\%$, all originating in Earth's ionosphere,
1264 suggest that geomagnetic storms at Earth typically do not extract ions from ionospheric altitudes
1265 much lower or higher than $\sim 300\text{-}500$ km over the long term, that is, altitudes low enough to
1266 allow sufficient amounts of O_2^+ to escape, balanced with dominant, approximately equal, N_2^+
1267 and NO^+ contributions.

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1280 Geotail/EPIC/STICS data set for 1992 through 2010 can be found at
1281 http://spdf.gsfc.nasa.gov/pub/data/geotail/epic/stics_pha_ascii_gzip/ and for later times at
1282 <http://sd-www.jhuapl.edu/Geotail/>. Geotail ephemeris data are at <http://sscweb.gsfc.nasa.gov/>.
1283 Solar and geomagnetic indices were obtained from both
1284 <http://omniweb.gsfc.nasa.gov/form/dx1.html> and <http://wdc.kugi.kyoto-u.ac.jp/dstae/>. The entire
1285 Cassini/MIMI/CHEMS data set and Cassini ephemeris data can be found at <http://pds.nasa.gov>.
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1289 Modeling Center at Goddard Space Flight Center through their public Runs on Request system
1290 (<http://ccmc.gsfc.nasa.gov>).

1291 **7 Glossary**

1292 Glossary of Acronyms and Terms

1293 BR* - Basic Rate M-vs-M/Q collection region (where * is 0 - 2 for STICS and 0 - 6 for CHEMS)

1294 CHEMS - the Charge-Energy-Mass ion composition Spectrometer on the Cassini spacecraft

1295 CIR - large-scale, fast/slow solar wind stream interaction regions that corotate with the sun

1296 CME - Coronal Mass Ejection - large-scale solar plasma flux that propagates in the solar wind

1297 E - total ion kinetic energy

1298 Em - energy deposited in the solid state detector by an incident ion

1299 EPIC - the energetic particle experiment on the Geotail spacecraft in Earth orbit

1300 escape - the process of magnetospheric ions exiting the magnetospheres of their origination

1301 EUV - Extreme UltraViolet high-energy electromagnetic radiation naturally generated by the sun

1302 which affects planetary atmospheres and ionospheres

1303 F10.7 - a measurement of the 10.7 cm radio flux RF emissions from the Sun, approximately

1304 correlated with solar EUV flux

1305 IDP - Interplanetary Dust Particle (dust, small asteroids, meteoroids, comet particulates)

1306 io..., pu..., sw..., - prefixes distinguishing ionosphere, pickup, and solar wind source ions

1307 Kp - geomagnetic activity index

1308 livetime correction - procedure used in calculating ion fluxes using PHAs and Basic Rates

1309 LOBE - part of magnetosphere where magnetic field pressure dominates over plasma pressure

1310 LPUI - a lunar origin PUI

1311 M - ion mass determined instrumentally from energy deposit and time of flight

1312 M/Q - mass per charge determined instrumentally from time of flight and E/Q

1313 magnetosphere - region where planetary magnetic fields dominate

- 1314 Mass-16 ions ($^{16}\text{M}^+$): Earth: N^+ , O^+ ; Jupiter: O^+ ; Saturn: C^+ , N^+ , O^+ , OH^+ , H_2O^+ , H_3O^+
- 1315 Mass-20 ions ($^{20}\text{M}^+$): Earth, Moon, Jupiter, Saturn, Interplanetary: Ne^+ , Na^+ , Mg^+
- 1316 Mass-30 ions ($^{30}\text{M}^+$): Earth(Moon): N_2^+ , NO^+ , Al^+ , Si^+ , P^+ ; Jupiter: S^+ ; Saturn: CO^+ , O_2^+
- 1317 Mass-40 ions ($^{40}\text{M}^+$): Earth(Moon): K^+ , Ar^+ , Ca^+ , CO_2^+ , SiO^+ , Ti^+ ; Jupiter: CO_2^+ , SO^+ ; Saturn:
- 1318 Ar^+ || Ca^+ , CO_2^+
- 1319 MIMI - the energetic particle experiment on the Cassini spacecraft
- 1320 $^{28}\text{MQ}^+$ - Saturn's $\sim 28\text{-}30$ amu/e molecular ions, which could be N_2^+ and/or CO^+
- 1321 outflow - the process in which ions exit (flow out of) the ionosphere of their origination
- 1322 PHA - a Pulse Height Analyzed ion event sample of all ions measured by STICS or CHEMS
- 1323 prime Fe PHA - a Fe PHA event in a restricted M and MPQ analysis range
- 1324 PUI - a PickUp Ion, an initially neutral particle ionized and picked up by the solar wind
- 1325 Q - charge (charge state) of an ion
- 1326 RID - an acronym for plasma Regime IDentification, only used in the Supporting Information
- 1327 SHEATH - turbulent, shocked solar wind plasma region between magnetopause and bow shock
- 1328 Solar Cycle - the ~ 11 -year disturbance activity cycle of the sun
- 1329 SPHERE - parts of magnetosphere where particle pressure dominates over magnetic pressure
- 1330 STICS - SupraThermal Ion Composition Spectrometer charge-energy-mass ion composition
- 1331 spectrometers on the Geotail and WIND spacecraft
- 1332 SW, SH, SP, LB - two-letter acronyms for the SW/IM, SHEATH, SPHERE, and LOBE names
- 1333 SW/IM - unshocked solar wind, interplanetary medium outside the bow shock
- 1334 TOF - time of flight measured instrumentally
- 1335 V_{sw} - solar wind speed
- 1336 W^+ - ion group containing O^+ , OH^+ , H_2O^+ , and H_3O^+

1337

1338 **8 Figure Captions**

1339 Figure 1. Heavy suprathermal (CHEMS, ~83-167 keV/e; STICS, ~87–212 keV/e) ion Pulse
1340 Height Analysis PHA data obtained by: (top) Geotail in and near Earth's magnetosphere;
1341 (middle) Cassini during its Jupiter flyby as well as in the interplanetary medium from ~3-9 AU
1342 when S^+ was measured; and (bottom) Cassini in Saturn's $\leq 20 R_s$ magnetosphere (see text for
1343 details). The PHA data are presented as (A, left) mass-per-charge (M/Q) histograms and (B,
1344 right) mass (M) versus M/Q color spectrograms (colorbars suppressed). Stars at right and
1345 horizontal dashed lines identify $M = 32$ amu. All data were adjusted slightly in order to center
1346 N^+ , O^+ , and S^+ on their atomic mass in order to account for instrument and spacecraft electronics
1347 differences. Mass-30 ions include ~27-33 amu/e. General sources of the Mass-30 ions at each
1348 planet are noted.

1349 Figure 2. Earth's dayside molecular ion number density profiles of N_2^+ , NO^+ , and O_2^+ at ~60° N
1350 latitude around Spring equinox calculated for solar maximum (MAX) and minimum (MIN)
1351 conditions from (A) the SAMI3 ionosphere model near local noon and (B) the WACCM-X
1352 thermosphere/ionosphere model for a one-month narrow-latitude zonal average; see text for
1353 input parameter and run information. Unique symbols identify and differentiate the three MI
1354 species' altitude profile similarities and differences. The red and blue shaded areas, indicate
1355 altitude ranges that vary with solar cycle in which the N_2^+ , NO^+ densities are approximately
1356 equal and O_2^+ levels are somewhat lower, but not absent. This unique molecular ion composition
1357 signature is characteristic of outflowing MI (see text).

1358 Figure 3. Cassini's measurements of jovian and solar wind/interplanetary medium suprathermal
1359 (~ 83 - 167 keV/e) ion populations during: (top) the Jupiter flyby between inbound and outbound
1360 bow shock encounters; (middle) the extended interval over which S^+ from Jupiter was detected in
1361 the solar wind before, during, and after the Jupiter flyby; and (bottom) the ~ 3 year Cassini cruise
1362 to Saturn, excluding the extended ~ 1 -year interval of jovian fluxes from the middle panel. The
1363 tentative identification of jovian magnetospheric Ca^+ and CO_2^+ are noted by lighter dotted lines.

1364 Figure 4. Mass distributions of Mass-30 suprathermal (CHEMS at Saturn, ~ 83 - 167 keV/e;
1365 STICS at Earth, ~ 87 - 212 keV/e) ion data at Saturn and Earth highlight atomic and molecular ion
1366 (MI) differences. Data points and smoothed fits are shown. The indeterminate species descriptors
1367 " $^{28}Ma^+$ " and " $^{30}Ma^+$ " are used as ion species channel names in this figure for primarily singly
1368 charged Mass-30 ions selected in narrow M/Q ranges near 28 and 30 amu/e because it is clear
1369 that there is an admixture of ion species in at least two cases. The identifier "Ma" represents a M-
1370 M/Q spectrogram selection over a limited M/Q, but wide M, range in which the selected ions'
1371 species identification is sometimes complex (see text for the full discussion). Mass histograms of
1372 $^{28}Ma^+$, $^{30}Ma^+$, and/or $^{32}Ma^+$, heavy ion species having mass numbers of 28, 30, and 32 amu,
1373 respectively, are likely dominated by CO^+ (or N_2^+), NO^+ , and O_2^+ . At Saturn, data from mid-
1374 2004 through 2007 containing: (A) all intervals when Cassini was in Saturn's magnetosphere, the
1375 Sphere, at $\sim 4 < R < 20 R_S$; and (B) only intervals in the solar wind for which an outbound and a
1376 subsequent inbound bow shock (BS) crossing were identified, including travel to and from
1377 apoapsis, thus placing Cassini in the Solar Wind near Saturn at $R > R_{BS}$, the distance of Cassini's
1378 bow shock encounters. Representative uncertainties are shown near the right vertical axis in (B).

1379 At Earth, data from early-1995 through 2015 are shown for intervals when Geotail was in (C) the

1380 SPHERE, Earth's magnetosphere, and (D) the SW/IM, the near Earth, unshocked, solar wind of
1381 the interplanetary medium. See text for details.

1382 Figure 5. Long-term suprathermal (CHEMS, ~ 83 -167 keV/e; STICS, ~ 87 -212 keV/e) heavy ion
1383 composition measurements in near-Earth (A, B) and near-Saturn (C) plasma regimes are
1384 normalized to O^+ and compared directly. The vertical axes of SPHERE data (red left axis labels),
1385 SHEATH, and SW/IM data (blue right axis labels) are offset in order to compare selected
1386 species' importance relative to O^+ . Shown are ~ 21 continuous years of Earth data and samples
1387 from ~ 3 years of select Solar Wind and contemporaneous Sphere intervals at Saturn. Note the
1388 distinct plasma regime labeling which differentiates the two planets' regimes in the text and
1389 highlights their different selection procedures. Vertical fiducial lines at 16, 32, and 56 amu/e are
1390 drawn to simplify visual comparison. At Earth, the Mass-30 SHEATH (A: blue) distribution is
1391 intermediate between that of the SPHERE (A, B: red) and SW/IM (B: blue). Floating insets (near
1392 bottom of A and B) show rough visual fits (orange) to the background (bk) subtracted SPHERE
1393 (SP-bk, black), SHEATH (SH), and SW/IM (SW, at distances $R > R_{BS}$, the distance to the bow
1394 shock) data. The fits use SPHERE MI (A: rose for N_2^+ and NO^+ , light blue for O_2^+) and select
1395 distributions of lunar pickup ions, PUI, and/or, in the case of the SPHERE, ionospheric Si^+
1396 (purple) at the Fe^+ level (long-dash line). Prominent lunar PUI species are identified. (D) In these
1397 instruments, dominant SPHERE molecular ion (MI) dissociation energy loss in the carbon foil
1398 result in slightly longer times-of-flight (TOF) with subsequently higher M/Q values than for the
1399 dominant SW/IM atomic ions of the same mass. See text.

1400 Figure 6. Averages of suprathermal (~ 87 -212 keV/e) N^+ , O^+ , Fe^+ , Mass-30 ions ($^{28}Mq^+$ and
1401 $^{30}Mq^+$) and Mass-40 ions 3-hr PHA count sums are plotted versus interval averages of (A, B) Kp
1402 and (C) $F_{10.7}$ values from 1995 to 2015. In (A) and (B), all data are shown. In (B) the data are

1403 from two plasma regimes, SPHERE (open symbols) and SW/IM (closed symbols). Uncertainties,
1404 standard error of the mean, are generally smaller than the point size. Horizontal bars in (C)
1405 indicate $F_{10.7}$ ranges. Kp averages are over the -, o, + range of the Kp index integer values.
1406 Dashed and dotted lines in (A and C) are intended to guide the eye in comparing the heavier ions
1407 to N^+ and O^+ (see text). The dotted extension of the Fe^+ line in (A) highlights the outlying Kp = 3
1408 average which is also apparent in the SW/IM data.

1409 Figure 7. (A) A sketch of the Earth (blue dot at center), the Moon's orbital range, and Geotail
1410 orbital range, $\sim 9 < R < 35 R_E$. Two spatial criteria for considering possible lunar pickup ion
1411 influence in Geotail/STICS suprathermal ($\sim 87\text{--}212$ keV/e) ion measurements are the lunar local
1412 time (LLT) and the 'Lunar Wake'. LLT marks the orbital location of the Moon with respect to
1413 the Earth-Sun line. At $10 \leq LLT \leq 13$ hours, LLT-sector 3, the Moon is sunward of Geotail's
1414 nominal orbital $X_{GSE} - Y_{GSE}$ range. From favorable orbit locations $\sim 60 R_E$ sunward of Earth, the
1415 Moon can convectively contribute pickup ions to our SW/IM data near Earth. The 'Lunar Wake',
1416 drawn here with a $\sim 25 R_E$ width to include heavy ion (e.g., CO_2^+ or Fe^+) gyroradii in the nominal
1417 ($\sim 7\text{--}9$ nT) interplanetary magnetic field at 1 AU, is probably always present, varying in strength,
1418 and is likely important in supplying lunar PUI to near-Earth locations. Selected segments of
1419 Geotail orbits, the dotted traces near Earth, terminate when an Fe^+ was observed during low to
1420 moderate solar/geomagnetic conditions. White (black) squares indicate Fe^+ observations
1421 obtained when the Moon was (not) in LLT-sector 3. Red dots show other measured Fe^+ data.
1422 Arrows point from underlined labels to three near-Earth plasma regimes. The LOBE (not shown)
1423 overlies the SPHERE. (B,C) Four panels at (B) High-Kp and (C) Low-Kp levels enable
1424 investigation of some possible observable effects of lunar PUIs intermixed with Earth's escaped
1425 ionospheric ions which are related to the Moon's orbital location. The effects differ between

1426 observations made in the four near-Earth plasma regimes used in this study.

1427 Figure 8. The superposition of the PHA distributions of lunar pickup ions, PUI, mostly Si^+ , and
1428 ionospheric N_2^+ in the near-Earth solar wind, SW/IM, PHA distributions is investigated using
1429 two different comparisons. (A) The suprathermal ($\sim 87\text{--}212$ keV/e) ion data are all from the
1430 SW/IM plasma regime near Earth. Solid curves are smoothed, interpolated fits to the data in
1431 Figure 3D. The shape of neither the $^{28}\text{Ma}^+$, 28-amu (orange), nor $^{30}\text{Ma}^+$, 30-amu (purple), ion
1432 mass distribution, is similar to the corresponding bimodal SPHERE MI shapes at Earth or Saturn
1433 (see the scaled SPHERE shape in panel 8B and Figure 4C). Expecting a smaller lunar PUI
1434 background in the $^{30}\text{Ma}^+$ data based on previous measurements by Mall et al. (1998), we
1435 approximate Earth's MI portion of the $^{28}\text{Ma}^+$ SW/IM data by scaling the $^{30}\text{Ma}^+$ curve upward
1436 (dashed purple curve), and subtract this from the SW/IM $^{28}\text{Ma}^+$ (orange) curve. This results in
1437 the black dashed curve, a crude estimate of lunar PUI (mostly Si^+ and Al^+) contributing to the
1438 $^{28}\text{Ma}^+$ data, which has a shape similar to the SW/IM O^+ (shown also as the red dashed curve
1439 shifted to ~ 30 amu). Differences between the Fit and Data below the gray horizontal area drawn
1440 at $\sim 10\text{--}12$ counts are not statistically significant. (B, C, and D) In a different treatment
1441 investigating possible components of the SW/IM $^{28}\text{Ma}^+_{\text{sw}}$ data, we construct a Fit from a scaled
1442 combination of Earth's SPHERE MI, $^{28}\text{Ma}^+_{\text{sp}}$ and $^{30}\text{Ma}^+_{\text{sp}}$, ions added to different ratios of
1443 relevant lunar atomic PUI populations. Al^+ , Si^+ , and P^+ were identified by Mall et al. (1998) as
1444 the principal Mass-30 ions. Separately, in a panel below each set of PUI and Fit curves, the
1445 difference between our Fit and the measured $^{28}\text{Ma}^+_{\text{sw}}$ ions is shown along with dashed $+1$ to -3
1446 standard deviation curves for the Data. In panel 8B, we use our derived Mall et al. relative
1447 proportions (see text) and in panel 8C, we adjust the PUI Al^+ , Si^+ , and P^+ relative proportions to
1448 get a better visual fit. Finally, in panel 8D, in order to further reduce the Fit - Data differences,

1449 we combine those best-fit lunar PUI proportions with a hypothetical lunar Fe^{+2} population to
1450 compare to the Data.

1451 Figure 9. Histograms of N^+ , O^+ , and Mass 30 ion PHAs ordered by M/Q from (A) this study's
1452 farthest upstream Geotail/STICS data compared to that from (B) Mall et al. (1998) and
1453 Hilchenbach et al. (1992). Mass-30 molecular ion, MI (blue text), and lunar pickup ions, PUI
1454 (tan text), masses are identified. The MI generate higher M/Q values (asterisked values) than
1455 atomic ions of the same mass (see text). Our farthest upstream ~ 87 - 212 keV/e ion data were
1456 measured sunward of the bow shock at $X_{GSE} \geq 20$ Re out to $R \sim 30.5$ Re over approximately 2
1457 full solar cycles. Hilchenbach et al. (1992) measured ~ 80 - 226 keV/e lunar PUIs sunward of the
1458 bow shock at $R \leq 18.7$ Re over 3 months in late-1985. Mall et al. (1998) presented two PHA
1459 histograms of Wind/STICS measurements of ~ 20 - 200 keV/e lunar (PUI) obtained from 1995 to
1460 1997 sunward of Earth near the Moon at >17 lunar radii. Given their study's lower number of
1461 counts, we summed their two PHA histograms into one (see text). N^+ and O^+ are shown for
1462 reference. Both the Hilchenbach et al. (1992) and Mall et al. (1998) data were obtained during
1463 minimum solar activity conditions. (C) This panel compares our farthest upstream data (black) to
1464 that from the overall SPHERE shape (red), which is dominated by MI, and to the 37 low to
1465 moderate solar/geomagnetic condition orbits used for the traces in Figure 7A (blue). Si^+ is both a
1466 major ionospheric origin ion from IDPs (Plane et al., 2016) and one of the major lunar pickup
1467 ions (e.g., Mall et al., 1998; Poppe et al., 2015). The quiet interval data show little similarity to
1468 our farthest upstream data, but do show evidence of peaks at Mg^+ and Si^+ (ionospheric ions)
1469 superposed on ions with the overall shape of the SPHERE data, but Al^+ and P^+ (lunar pickup
1470 ions) are not evident. The data are plotted in order to match their values near ~ 32 amu/e.

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SUPPORTING INFORMATION

for

Suprathermal Magnetospheric Atomic and Molecular Heavy Ions**At and Near Earth, Jupiter, and Saturn: Observations and Identification**S.P. Christon¹, D.C. Hamilton², D.G. Mitchell⁴, J.M.C. Plane³, and S.R. Nylund⁴¹ Focused Analysis and Research, Columbia, Maryland, USA² University of Maryland, Department of Physics, College Park, Maryland, USA³ School of Chemistry, University of Leeds, Leeds, U.K.⁴ Johns Hopkins University Applied Physics Laboratory, Laurel, Maryland, USA**Contents of this file**

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Figures S1 to S14 - Captions included with Figures

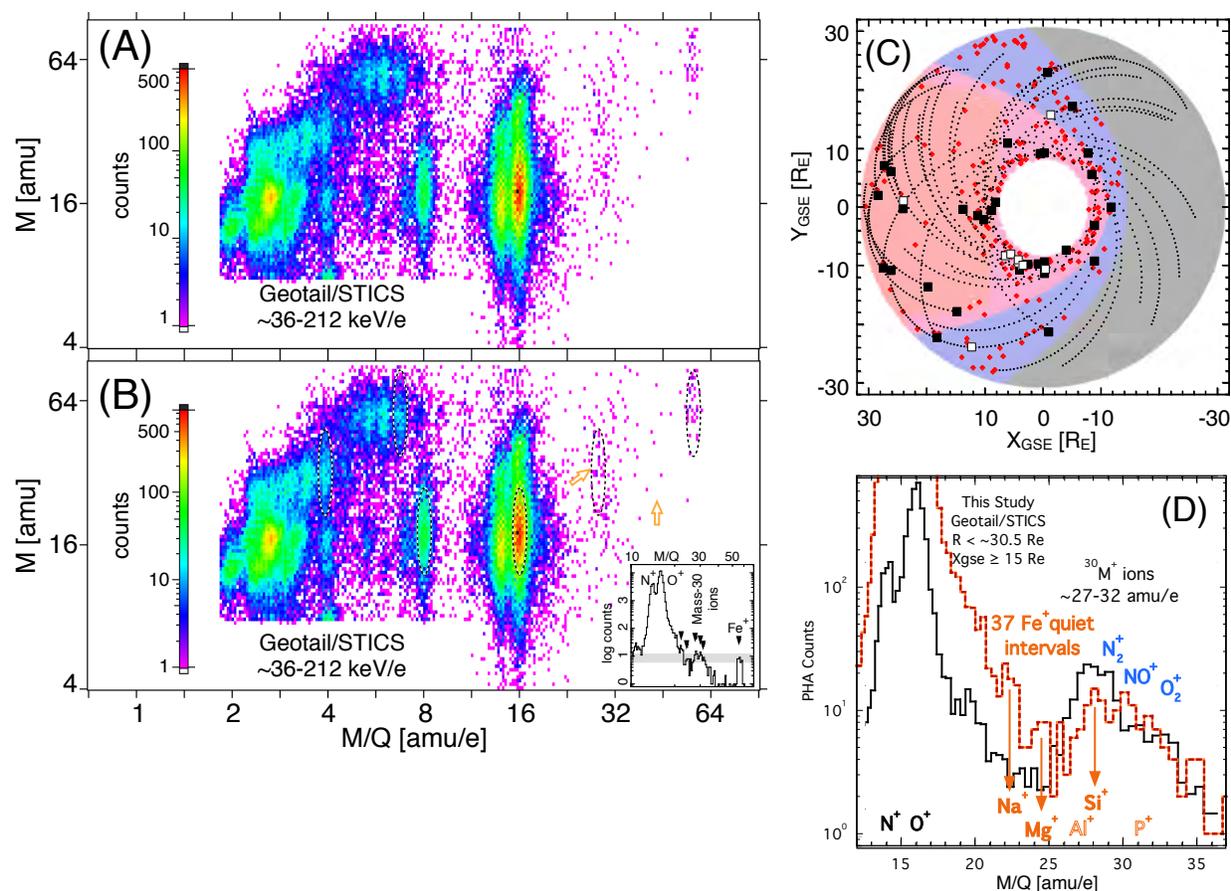


Figure S5. Data shown in panels A (unannotated) and B (annotated), are from intervals **spanning all plasma regimes** which terminate at Fe^+ measurements in the SPHERE or SHEATH. They were chosen at times of minimal $^{28}Mq^+$ presence by Christon et al. (2017). The $^{28}Mq^+$ M/Q range can include both ionospheric N_2^+ and Si^+ , as well as lunar origin Si^+ and Fe^{+2} . Each of the 37 intervals is >25 hours long ($\sim 70\%$ are ≥ 36 hr) and was selected to capture prior solar wind flows possibly carrying solar wind or lunar origin Fe^{+2} or Fe^+ that might result in the observed Fe^+ from these selected SPHERE and SHEATH intervals. K_p ranges from 0 to 6 (mean and mode near $K_p \sim 3$) during these intervals. Dashed ovals in panel B show the similarity of atomic ion PHA distribution shapes for several readily identifiable ions including: ionospheric origin O^+ , O^{2+} , and Fe^+ , and solar wind origin Si^{+7} and Fe^{+8} . An oval is also drawn where Si^+ should be centered (noted by the upper gold arrow, compare to panel A). Si^+ is a major ionospheric origin ion (Plane et al., 2018) and one of the major lunar pickup ions (e.g., Mall et al., 1998; Figure 17 of Saito et al., 2010; Poppe et al., 2015). The PHA counts in the Fe^+ oval appear to be comparable to or greater than the counts in the Si^+ oval. No observational study has yet reported measuring lunar Fe^+ (e.g., Kirsch et al., 1998, using Wind/STICS, reported no observed Fe^+). The vertical open gold arrow at $\sim 40-44$ amu/e shows where lunar PUI Ca^+ and CO_2^+ , often readily identified outside Earth's magnetosphere in our data (Figures 5A, 5B, and S3), are absent in these data. The panel-B-inset shows peaks (triangles) at Na^+ , Mg^+ , Al^+ , Si^+ , and possibly P^+ with peak and summed PHA counts comparable (gray band) to the Fe^+ peak as expected for ionospheric origin ions (e.g., Plane et al., 2018), but not for lunar pickup ions (e.g., Halekas et al., 2015). (C) Hourly points (small black dots) identify the orbit segments. Small red dots identify other Fe^+ measurement locations in the selected SPHERE and SHEATH regimes whose data are not included in panels A and B. Large squares identify these orbit's Fe^+ measurement locations; the 9 white (33 black) squares indicate Fe^+ PHAs measured when the Moon was (not) sunward of Earth (see the Figure 7 discussion). (D) PHA data at >10 amu/e from the panel-B-inset are compared to our far-upstream data from Figure 9.

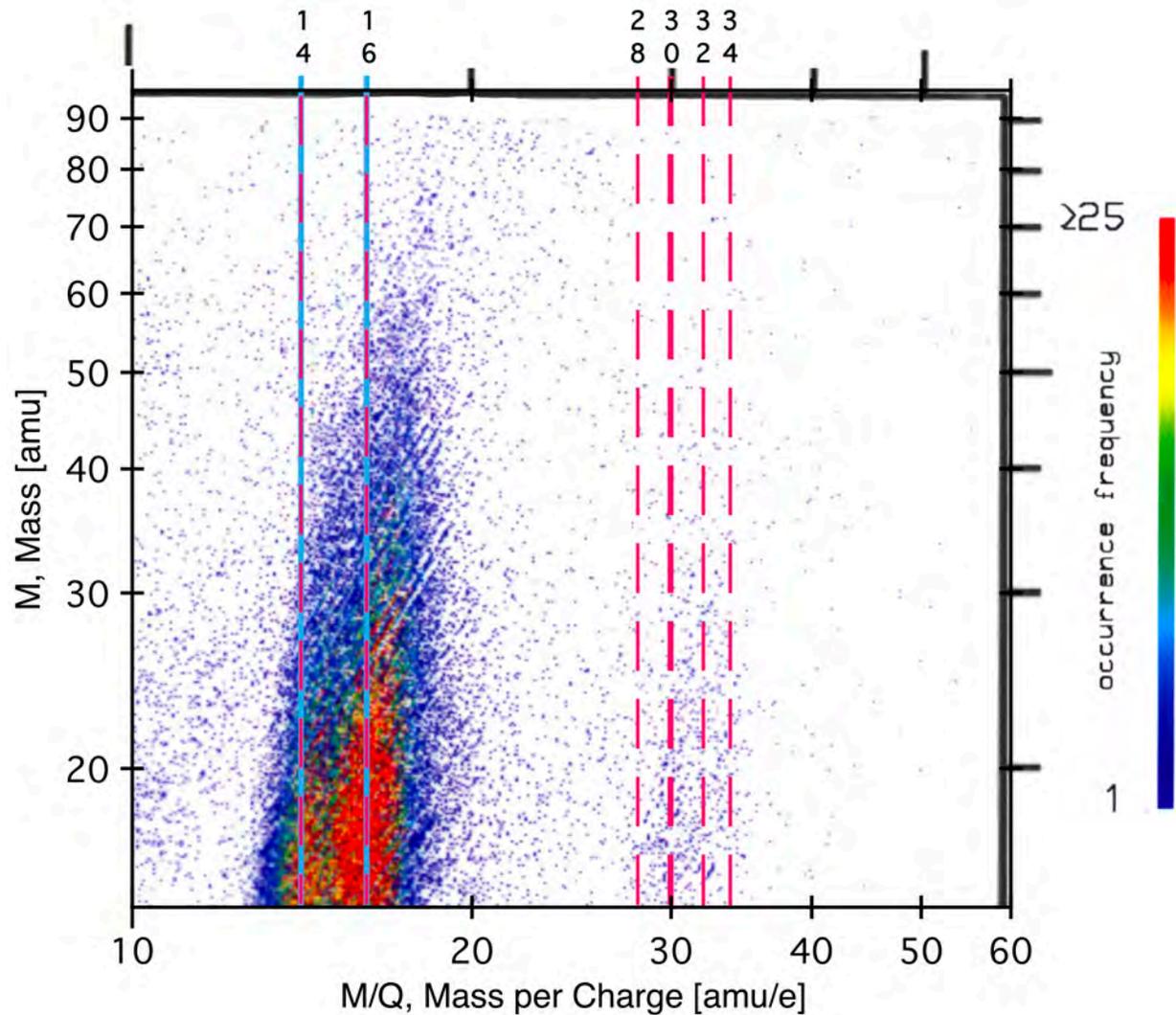


Figure S6. A section of Plate 1 from *Christon et al.* [1994a] containing N^+ , O^+ , and the molecular ions measured from ~ 7 to ~ 9 Re in Earth's quasi-trapping region by the Ampte/CCE/CHEM ion spectrometer from 1985-091 to 1985-211. CHEM had a M/Q response from ~ 0.7 to ~ 50 amu/e. Although molecular ions were not the focus of *Christon et al.* [1994a], they nominally identified the molecular ions broadly centered from ~ 28 to ~ 34 amu/e as NO^+ and O_2^+ following the identification by *Klecker et al.* [1986]. A set of plot axes and fiducials at 14, 16, 28, 30, 32, and 34 amu/e are drawn over the original figure. The N^+ and O^+ fiducials are fairly well-centered at 14 and 16 amu/e, respectively. When *Christon et al.* [2013] studied the CO^+ and O_2^+ ions at Saturn, we developed a fuller understanding of the molecular ion responses in the CHEM/STICS/CHEMS type instruments in magnetospheric environs. Our current understanding of the molecular ion response, based on the description in *Christon et al.* [2013] (supplementary information Section B), is developed further herein (see text). Given this understanding, we feel that O_2^+ , as well as the dominant N_2^+ and NO^+ , might possibly contribute to these ~ 7 - 9 Re molecular ion measurements, although without recollecting and analyzing the Ampte data, this is merely a supposition.

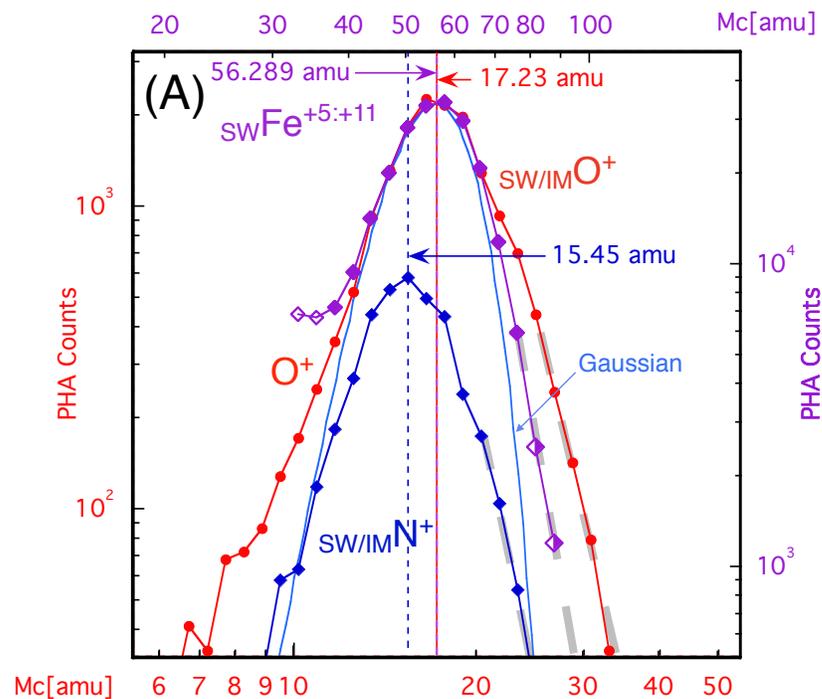
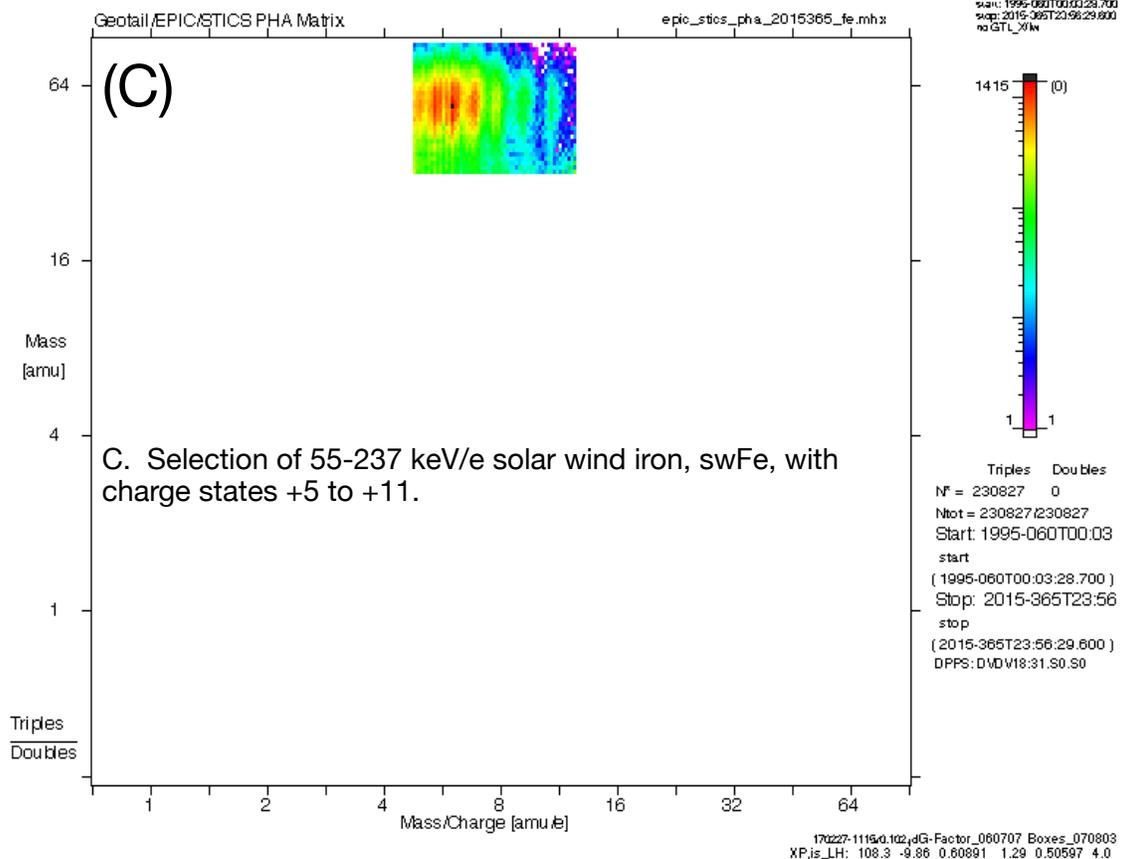
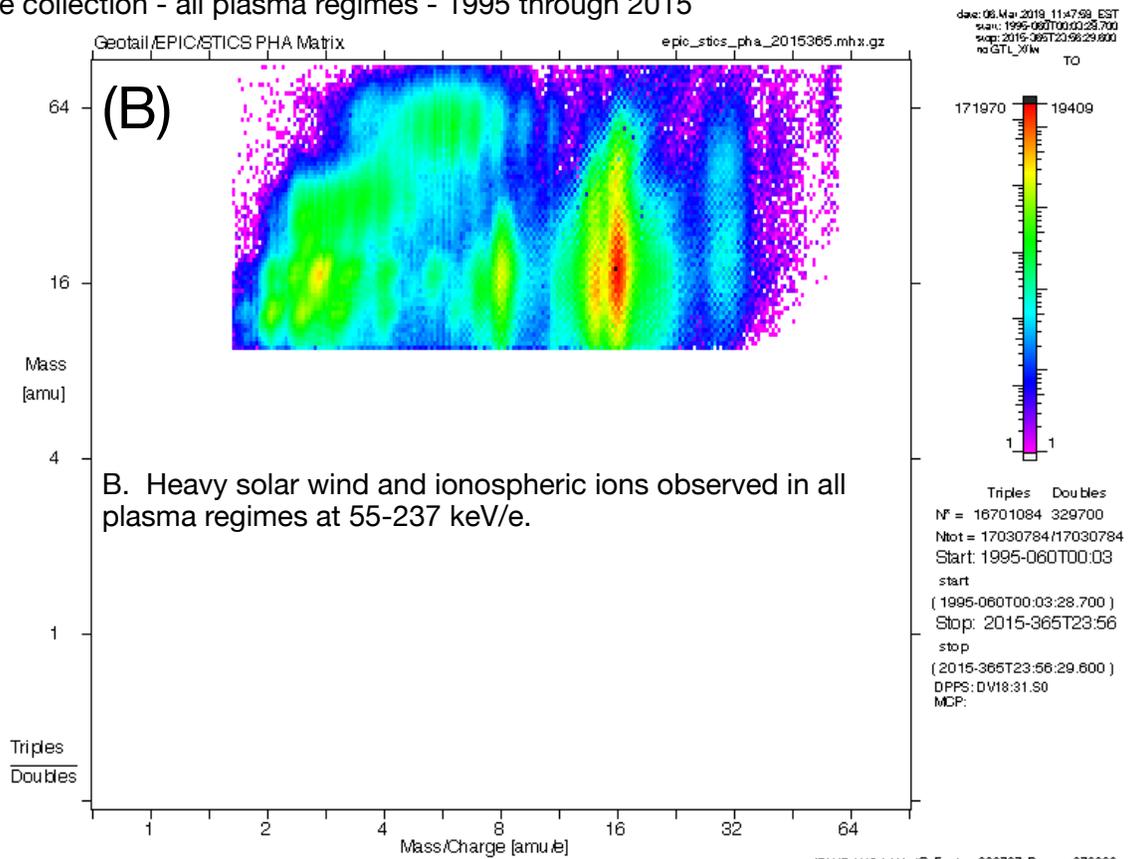


Figure S7. (A) Here we demonstrate the similarity of atomic ion mass distributions from intermediate-Mass N^+ (blue) and O^+ (red) measured in the near-Earth SW/IM plasma regime up to high-Mass high charge state, solar origin swFe ($Fe^{+5:+11}$, purple). M_c is the Mass at the center of the Mass histogram bins. The swFe is from all regimes to increase its counting statistics. O^+ and N^+ measured in the near-Earth SW/IM derives primarily from Earth's ionosphere, but both ion species are shown in Figure 9 as likely having lunar PUI flux components. No single functional form appears to fit the entire Mass distribution shapes; however, they appear to have kappa-like shapes: gaussian-like at and below the peak Mass, and power-law-like at the highest Mass values. A simple gaussian (light blue curve) and power-law lines (heavy gray lines) are shown for reference. The instruments' Mass axis values are presently uncalibrated, resulting in measured values slightly different from the ions' masses. The swFe $^{+6:+11}$ Mass_Mass-per-Charge matrix selection area is shown in Figures S7B and S7C.

swFe collection - all plasma regimes - 1995 through 2015



B. Heavy solar wind and ionospheric ions observed in all plasma regimes at 55-237 keV/e.

C. Selection of 55-237 keV/e solar wind iron, swFe, with charge states +5 to +11.

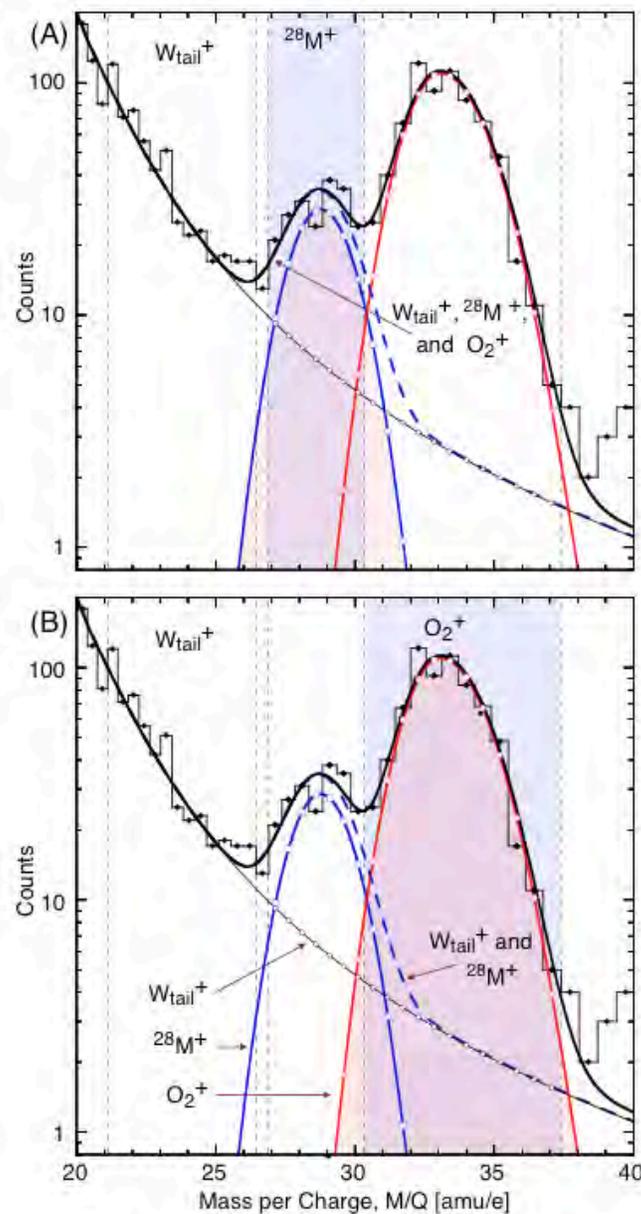


Figure S8. Specific identification of the overlap and spillover of molecular ion species curve fits for Saturn Sphere data used in Christon et al. (2013) based on laboratory calibration responses for $M/Q = 28$ and 32 amu/e at ~ 110 keV/e during Interval A. Estimates of the PHA distributions for O_2^+ and $^{28}\text{M}^+$ as well as the extended W^+ high-mass tail, which represents O^+ and three molecular constituents, OH^+ , H_2O^+ , and H_3O^+ , are based on laboratory calibrations. (from Figure A-1 Christon et al., 2013, Supplementary Information).

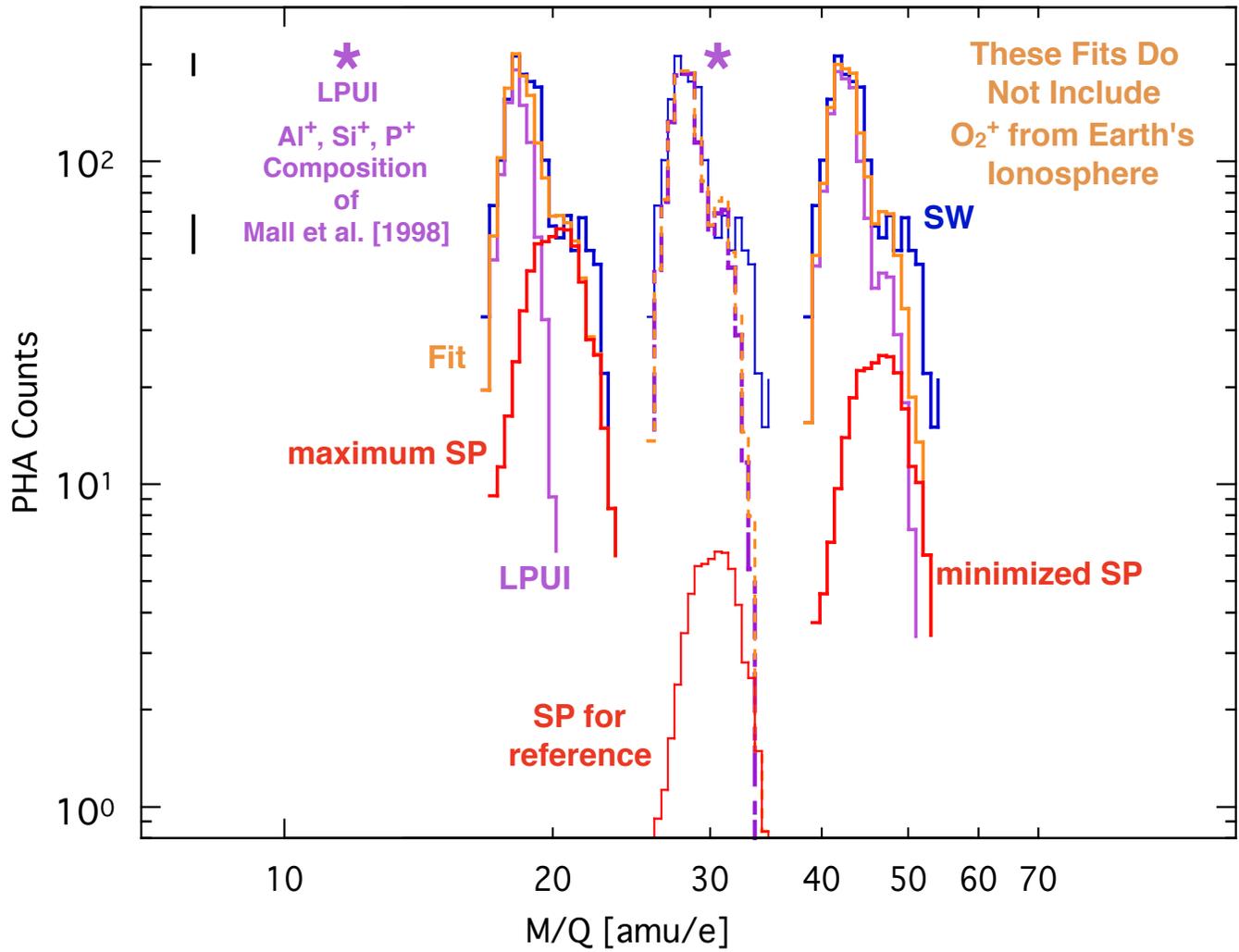


Figure S9. We demonstrate the importance of using escaped ionospheric O_2^+ to fit the SW/IM distribution by leaving it out of our set of ion candidates in these fits. The M/Q axis applies to the two center peaks (*LPUI and SP for reference), those to the right and left are so placed for ease of comparison. LPUI (purple) and escaped SPHERE MI (red) shapes are used to fit the SW/IM distribution (blue). On the left (right) are the maximum (minimum) SPHERE MI contributions we visually fit while maintaining the approximate shape of the SW/IM distribution within statistical uncertainty of measured counts (sample uncertainties on the far left), but not incorporating O_2^+ . (middle) A fit using only the Mall et al. (1998) LPUI composition adjusted within the statistical uncertainty of their measured counts (a SPHERE MI shape is shown at very low levels for reference). In all cases the SW/IM distribution at $M/Q > \sim 32$ amu/e is not accounted for by any of these fits without using an escaped O_2^+ contribution.

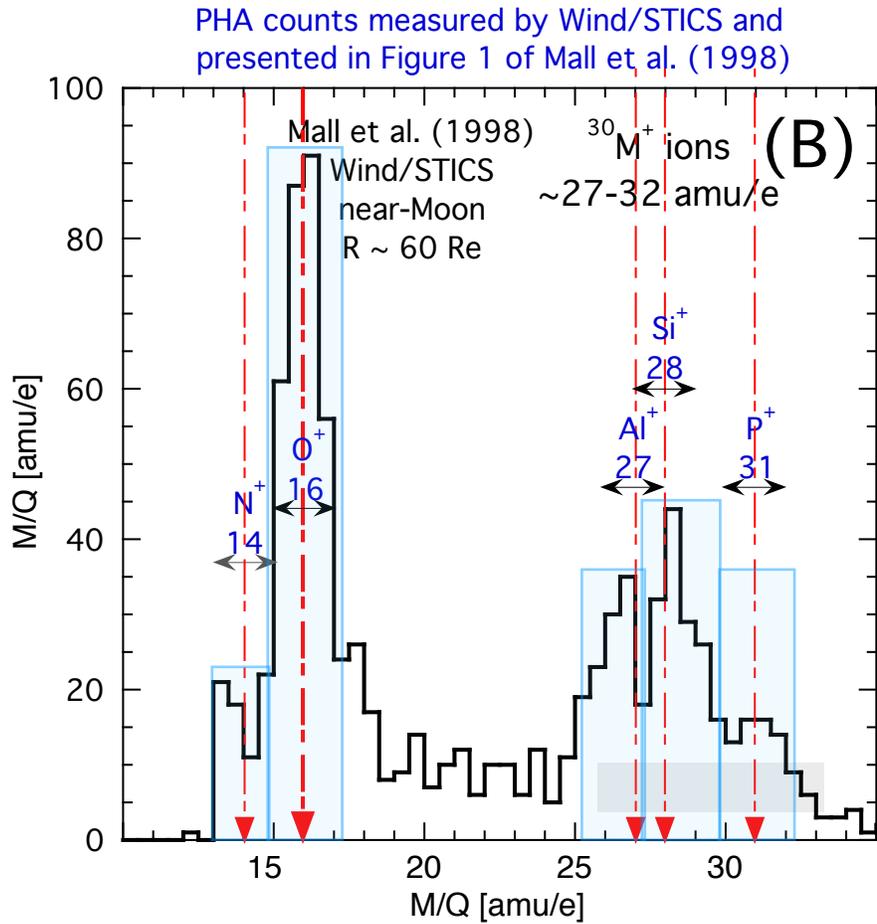


Figure S10. (A) PHA data from Figure 1 of Mall et al. (1998) which presented measurements of lunar pickup ions, PUI, including two M/Q histograms of PHA counts. The Wind/STICS ion data were obtained on the downstream (earthward) side of the Moon at >17 lunar radii when the Moon was sunward of Earth. Given the small number of PHA counts, we summed their two histograms and focus on the Mass 30 ions relevant to our study. We use only a subset of the ion species Mall et al. identified. Horizontal double headed arrows are plotted at the width of the O⁺ peak. The horizontal gray-shaded section is relevant to the Mass-30 lunar PUI background addressed herein (see text). Blue boxes mark the ranges used to sum the PHA counts. The sums and ratios are:

Ions	Counts / Counts	-->	Ratio ± Uncertainty
Al+ / Si+	106 / 148	Al+/Si+	0.72 ± 0.09
Si+ / Si+	148 / 148	Si+/Si+	1.00 ± 0.12
P+ / Si+	76 / 148	P+/Si+	0.51 ± 0.07

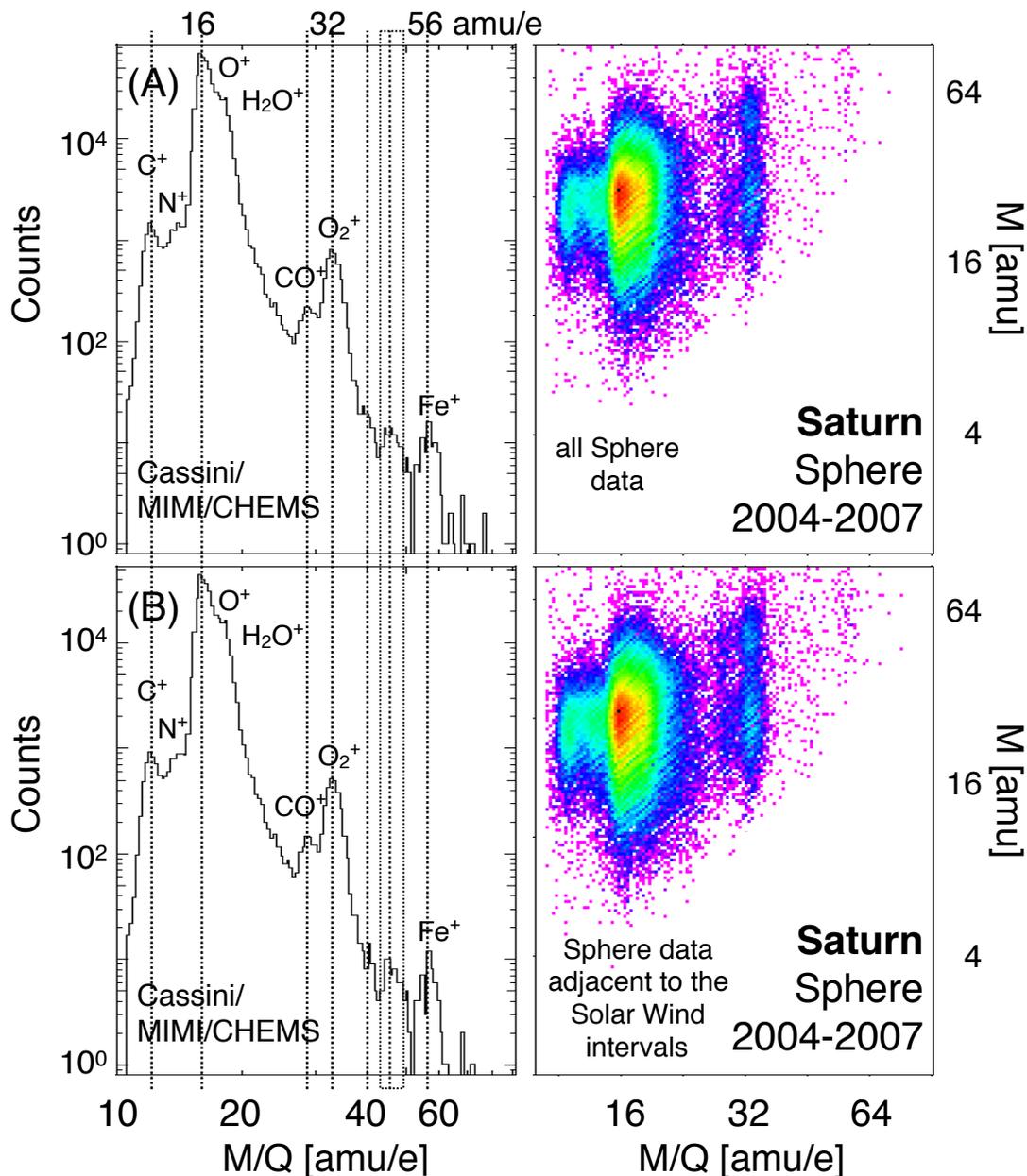


Figure S11. Cassini's measurements of Saturn's suprathermal ion populations in the SPHERE at $R < 20R_s$ during late-2004 to mid-2007: (A, top) all intervals and (B, bottom) only SPHERE intervals before and/or after $R > R_{BS}$ samples. Dashed lines highlight several ion peaks. Ion composition of the overall and select adjacent intervals is nearly indistinguishable.

A Scenario For Cassini's Jovian S⁺ Observations

Luhmann (2003) presented in her Figures 1 and 2 a simple concept (the limited S⁺ PUI cloud) that easily explains why Cassini/CHEMS' observed S⁺ pickup ion PUI fluxes disappear near the start of 2002.

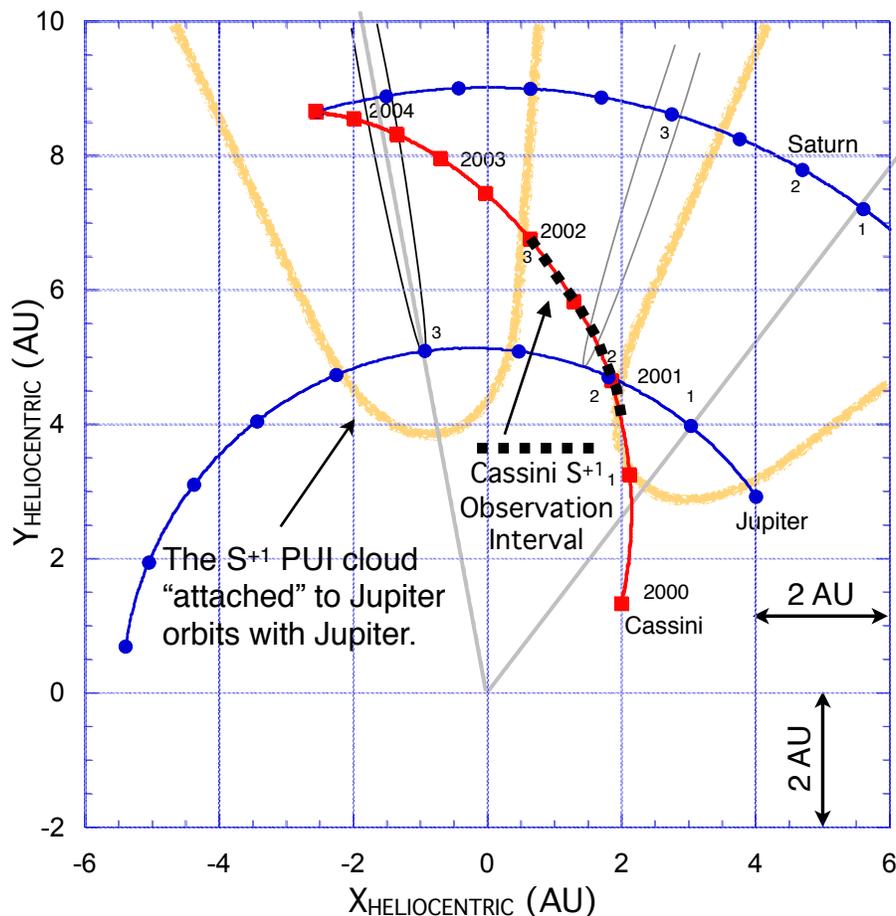
A breakdown of pre-Saturn Interplanetary Data, 1999 to mid-2004:

The sketched scenario below visualizes a possible explanation of the S⁺ interplanetary measurements on Cassini's cruise to Saturn:

- (1) Cassini observes S⁺ PUIs prior to Jupiter flyby after 2000-246,
- (2) at Jupiter encounter, and for a time afterward, until ~2001-110, Cassini is fully embedded in Jupiter's planetary S⁺ PUI cloud.
- (3) By ~2002-001, Jupiter's attached S⁺ PUI cloud orbits past Cassini's more slowly orbitally progressing trajectory position, after which Cassini stops measuring the S⁺.

On the other hand, Jovian O⁺ PUIs are much more widely distributed/dispersed (because O has a higher ionization potential than S). The jovian O⁺ PUIs are difficult to separate from interplanetary/interstellar O⁺ PUIs without detailed analysis.

ref: Luhmann, J. G. (2003). Expected heliospheric attributes of Jovian pickup ions from the extended neutral gas disk. *Planet. Space Sci.*, 51, 387–392. [https://doi.org/10.1016/S0032-0633\(03\)00034-5](https://doi.org/10.1016/S0032-0633(03)00034-5)



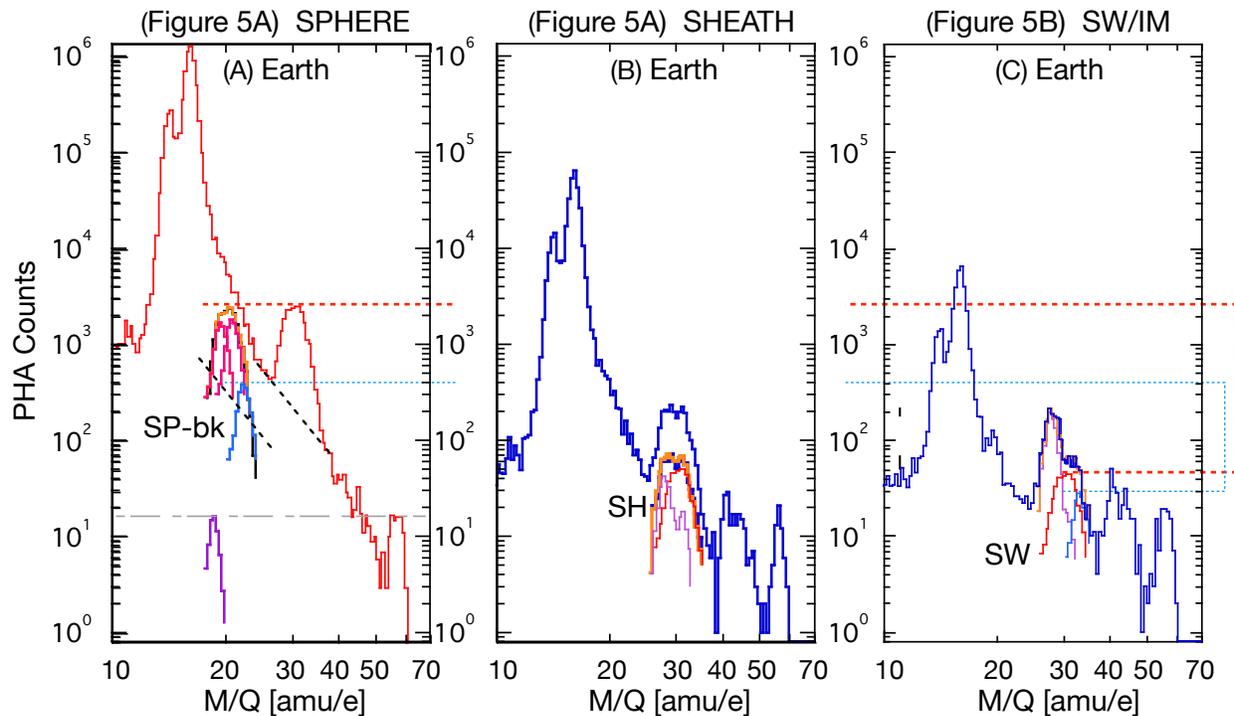


Figure S13A-C. Earth: Long-term suprathermal heavy ion composition measurements in near-Earth plasma regimes that are used in Figures 5A and 5B are plotted without being normalized to O^+ and compared directly. The vertical axes are identical. Shown are ~ 21 continuous years of Earth data. The Mass-30 SHEATH (B: blue) distribution is intermediate between that in the SPHERE (A, red) and SW/IM (C and D: blue). Floating insets at the bottom of the panels (see text) show very rough visual fits (orange) to the: (A) background subtracted SPHERE (SP-bk, black), (B) SHEATH (SH), and (C) SW/IM (SW) data (blue). (A) The SP-bk fit (offset horizontally for clarity) is composed of N_2^+ and NO^+ (both rose), O_2^+ (light blue), and ionospheric Si^+ (purple) distributions. (B) The SH fit (offset vertically by a factor of ~ 3.4) is composed of ionospheric N_2^+ and NO^+ (shown combined by the SPHERE Mass-30 shape in red) and a combination of lunar PUI, Al^+ , Si^+ , and P^+ (purple) distributions. (C) The SW fit (not offset), is composed of a different combination of lunar PUI (purple), the ionospheric N_2^+ and NO^+ (again shown as the SPHERE Mass-30 shape in red), and ionospheric O_2^+ (light blue). Dashed red and blue line constructs drawn across the Figure from the SW/IM to the SPHERE demonstrate that the O_2^+ peak level appears to decrease less than that of the N_2^+ and NO^+ (the red curve) as the MI escape from the SPHERE into the nearby SW/IM; which can be interpreted as suggesting that O_2^+ does not dissociate as quickly as N_2^+ and NO^+ upon reaching the solar wind plasma regime - as also appears to be the case at Saturn (see Figures S13D and S13E). Note that the O_2^+ level is constrained at approximately the level shown, whereas the N_2^+ and NO^+ can be replaced almost fully by lunar PUI (see Figure S9). See text and Figure 5.

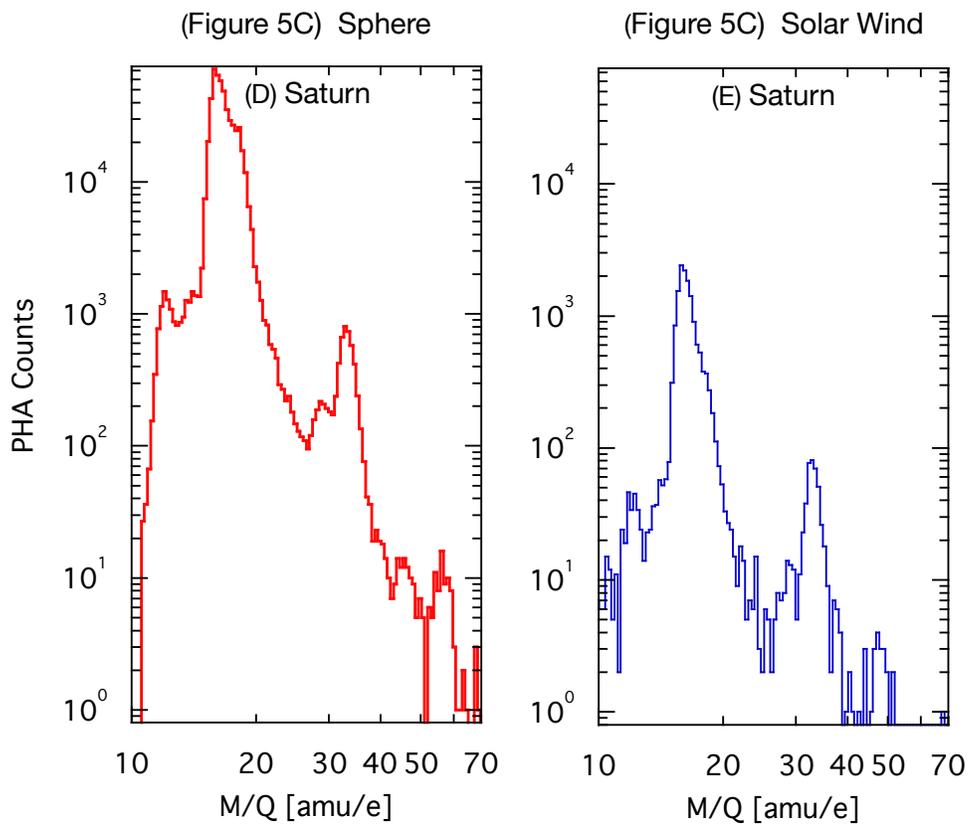


Figure S13DE. Saturn: Long-term suprathermal heavy ion composition measurements in near-Saturn plasma regimes that are used in Figure 5C are plotted without being normalized to O⁺ and compared directly. The vertical axes are identical. Shown are samples from ~3 years of Saturn data; not all Solar Wind intervals at Saturn were included. The Mass-30 Sphere (D: red) distribution is reflected in the Solar Wind (E: blue). See text and Figure 5.

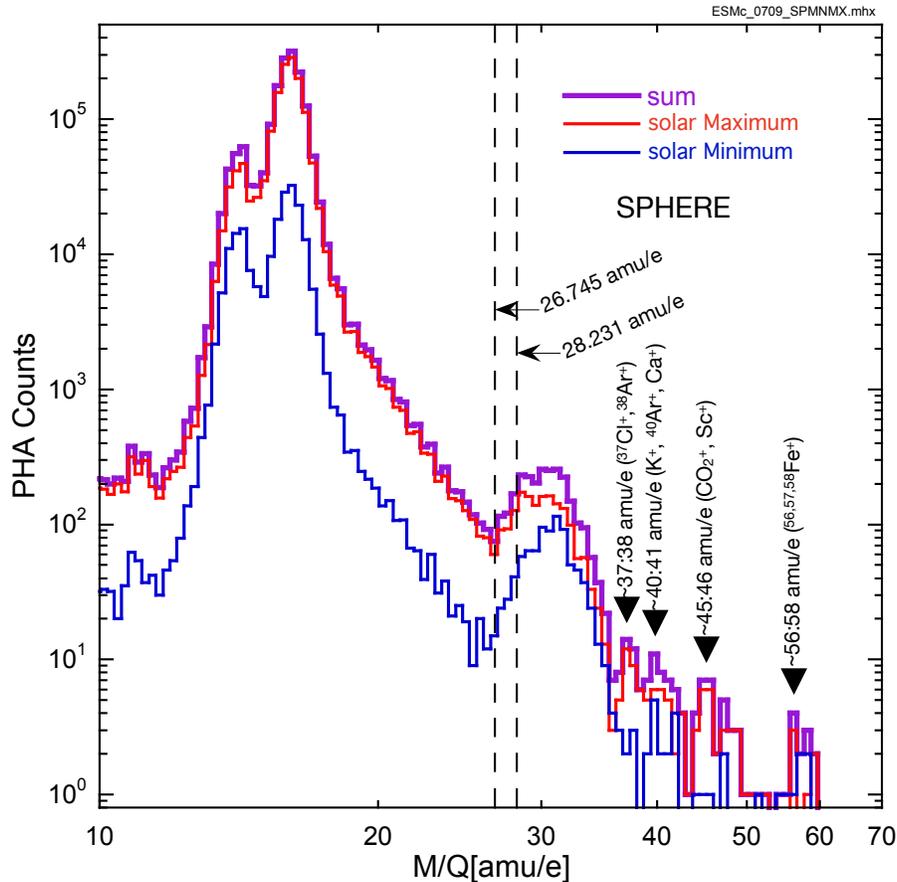


Figure S14. This Figure shows PHA data from Earth's SPHERE plasma regime from a long quiet solar minimum sample (2007, 2008, and 2009) and a moderate solar maximum sample (2000 and 2001) and their arithmetic sum. When separated into select shorter intervals chosen to highlight differences, it is apparent that some ionospheric and/or lunar Al^+ and Si^+ which may be continually present in the SPHERE likely rises to higher relative levels during solar maximum intervals. Two fiducial dashed lines drawn at 26.68 and 28.10 amu/e highlight the likely elevated Al^+ and (lower edge of) Si^+ ionospheric and/or lunar PUI distributions. This Mass-28 atomic ion signal range is probably masked in our mission-long sums because of the dominance of lower geomagnetic and solar activity conditions. At $M/Q > \sim 35$ amu/e various stable isotopes of possible metal ionospheric ion and/or lunar PUI candidate species are listed.